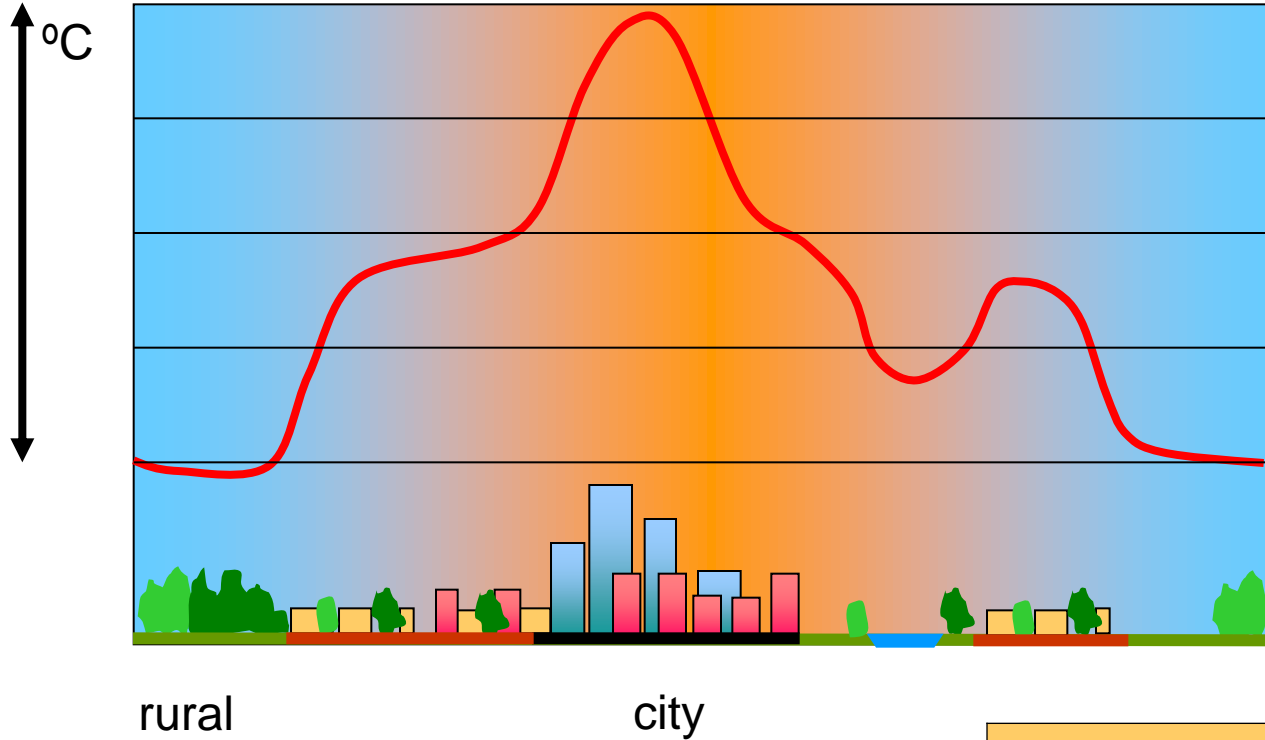


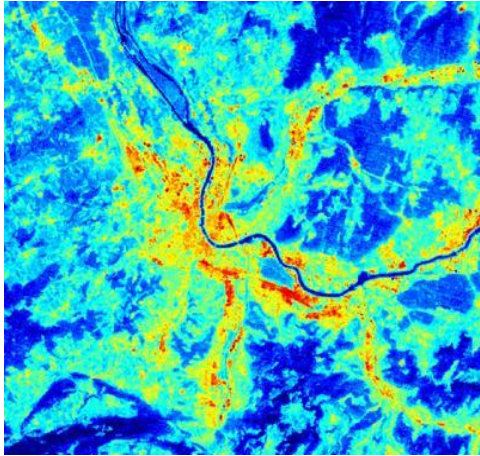
## Outdoor thermal comfort and heat mitigation measures

Dr. Aytaç Kubilay  
Chair of Building Physics, ETH Zurich

# Urban heat island (UHI) effect



Heat island Basel



Temperature difference between city and rural temperature = urban heat island intensity

<i>Town, City</i>	<i>Heat island intensity</i>
Biel, Fribourg	5 K
Basel, Bern	6 K
Zürich	7 K

Wanner & Hertig, 1983

# Overview

- Introduction
  - Urban heat island (UHI) effect
  - Causes of UHI
  - Outdoor thermal comfort
  - Countermeasures to UHI
  - Wind flow in urban environment
- Modeling and application examples
  - Numerical modeling of microclimate
  - Evaporative cooling
  - Impact of urban trees and high-rise buildings
  - Case study: Schönberg, Fribourg
  - Shading devices

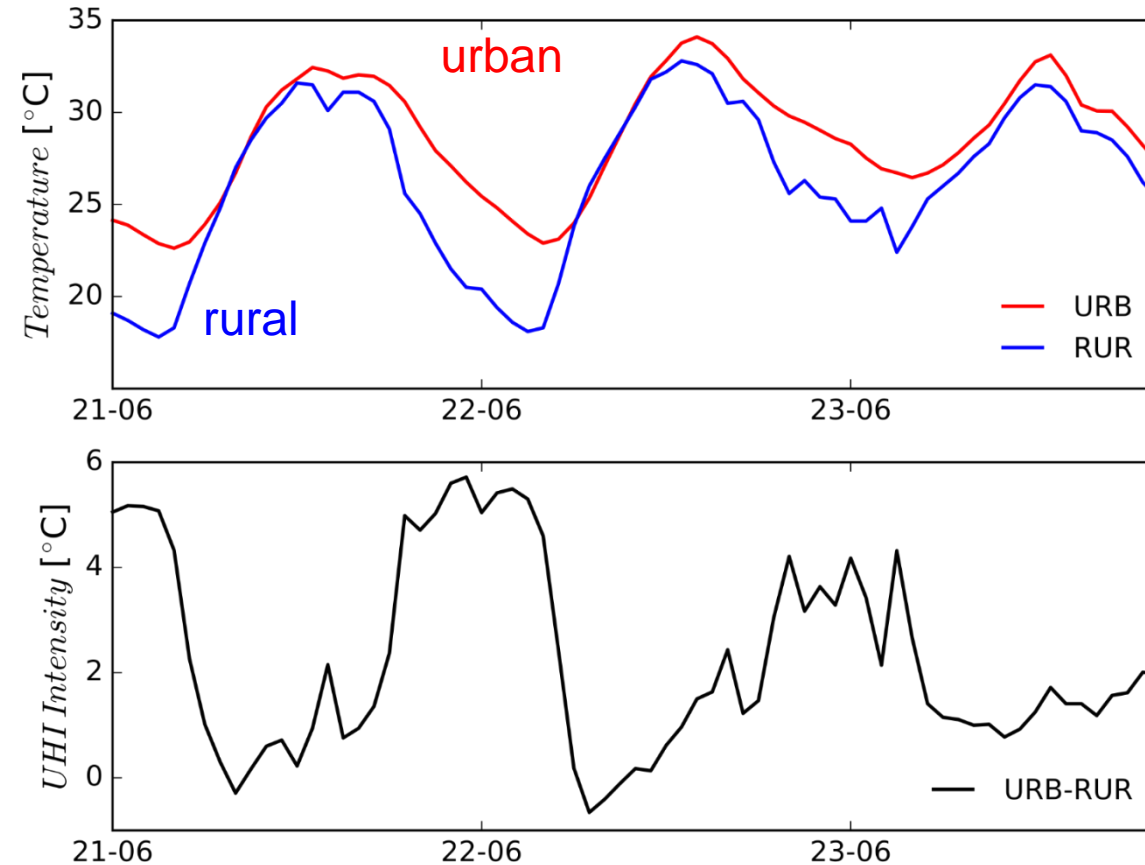
## Urban climate: introduction

An **urban heat island** is an urban area that is significantly warmer than its surrounding rural areas.

The temperature difference usually is larger at night than during the day and is most apparent when winds are weak.

# Measurement of the UHI during heat wave in Zurich, 2017

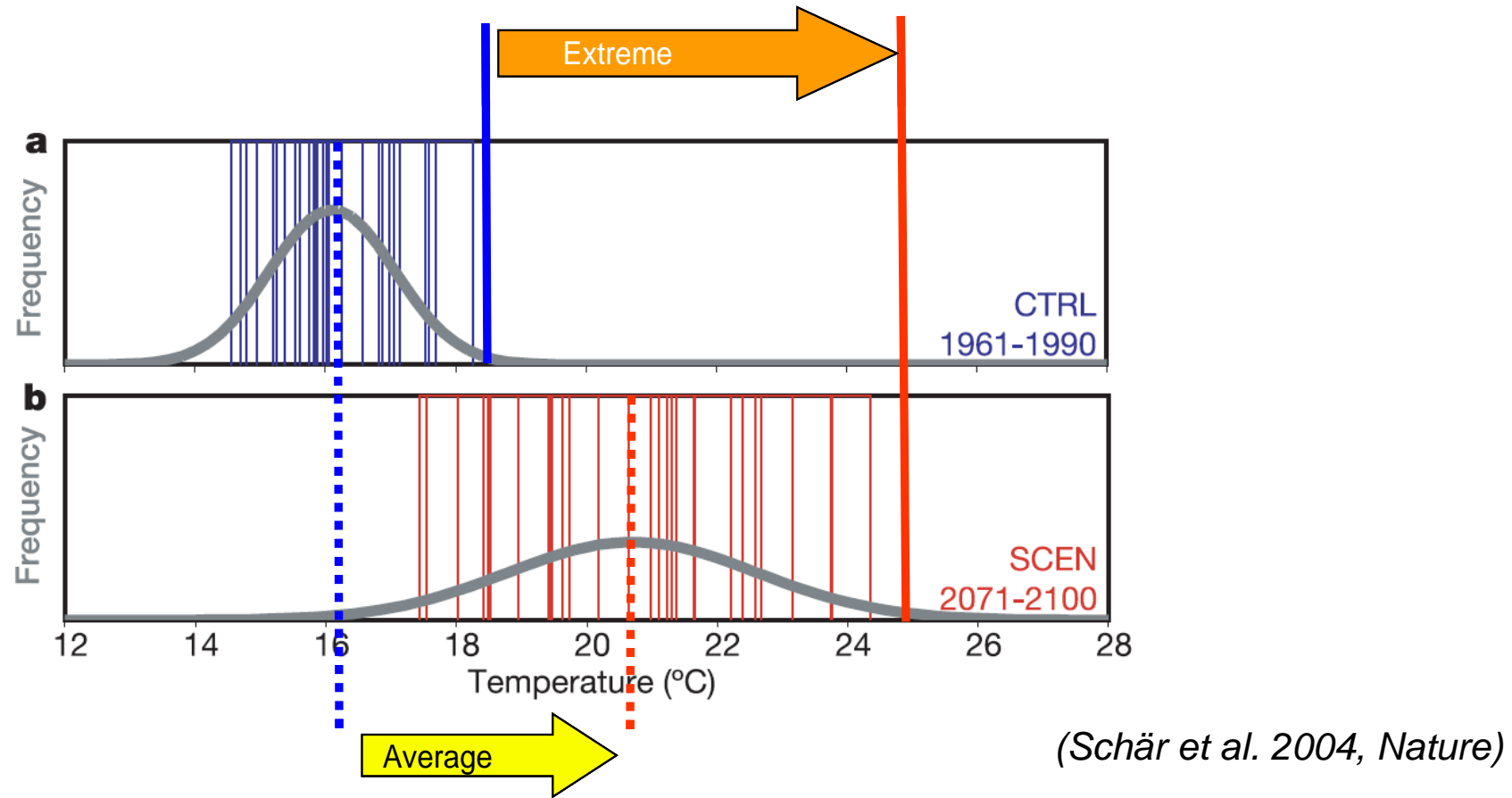
Air temperature at 2 m



Urban heat island intensity

Urban air temperature – rural air temperature

## Climate change



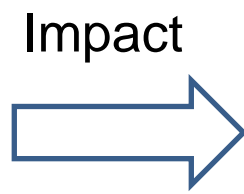
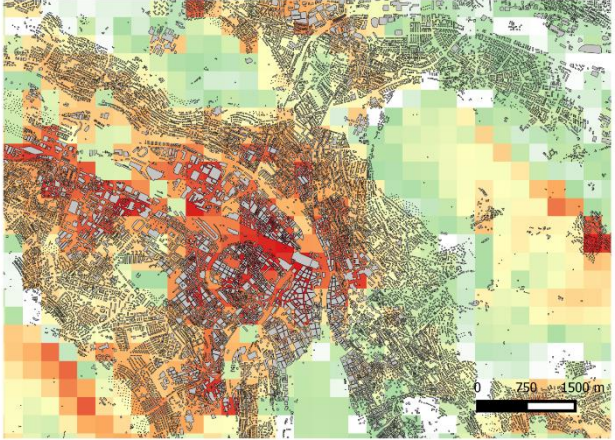
Heat waves will be more frequent with higher amplitudes

The urban climate will change leading to higher cooling loads

# Urban climate and heat waves

- Heat waves are getting more **frequent**, with **longer duration**, and **higher intensity**, and effecting a **larger area**.
- Combined with also the urban heat island (UHI) effect
- Mitigation measures can reduce the additional heat stress during heat waves

# Future Cities and Future Climates



## Thermal Comfort



## Durability



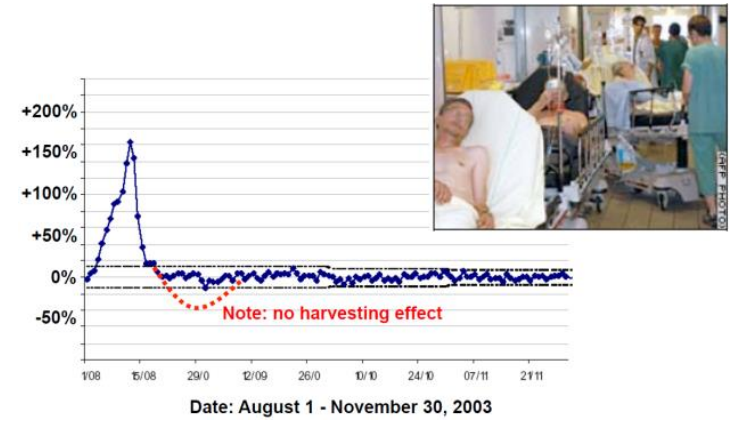
## Wind Comfort



## Energy use



## Health





# Shanghai, Pudong District, 1987



The Atlantic, August 2013

# Shanghai, Pudong District, 2013



The Atlantic, August 2013

**AIM**: Providing an **outdoor and indoor** built environment that is:

- healthy
- comfortable

taking into account existing and/or future

- economical
- energetic
- ecological
- climatic

constraints.

## Motivation

“People spend 90% of their time indoors”

→ 10% is spent outdoors

→ Outdoor environment influences the indoor environment

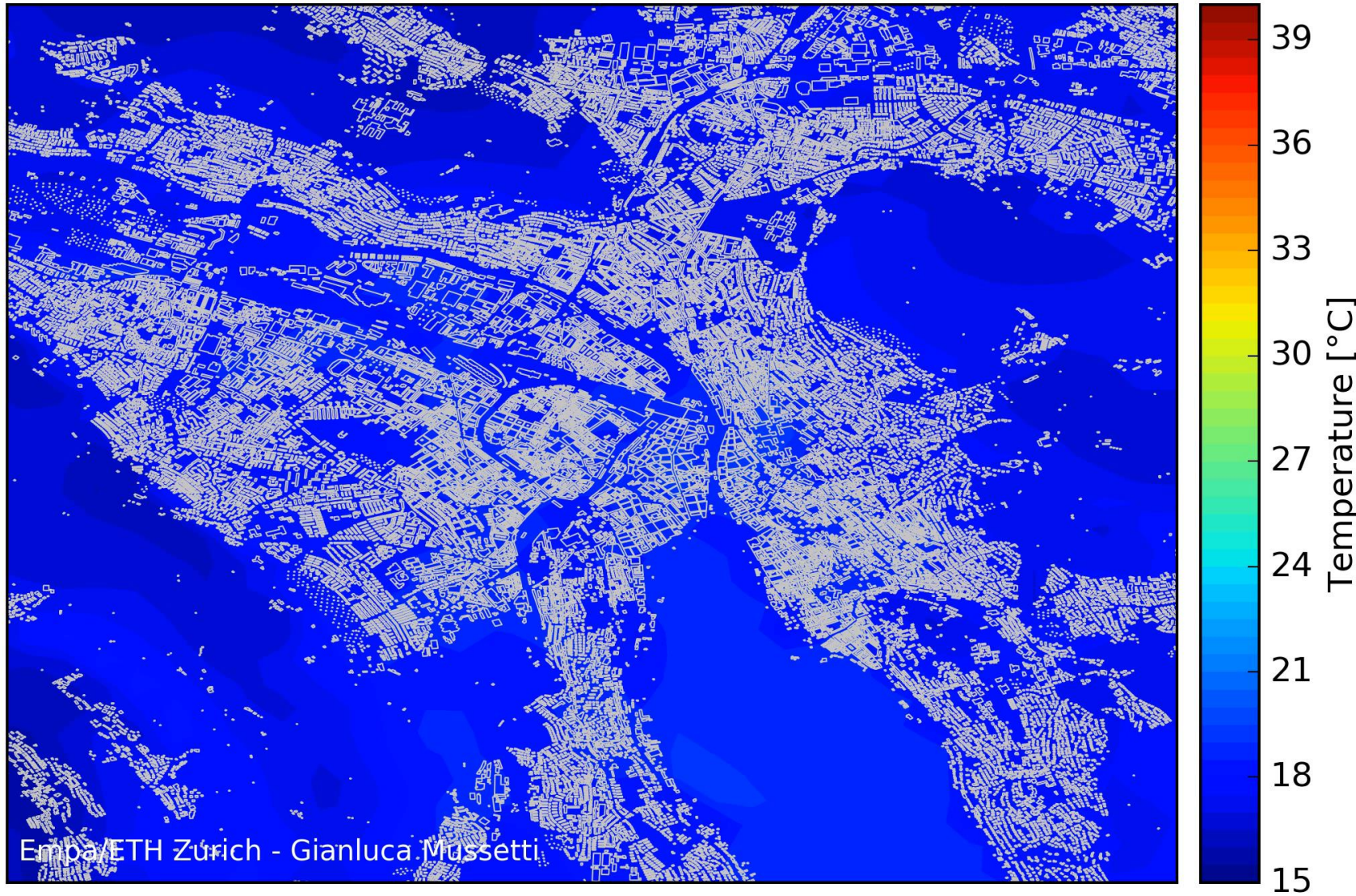
→ Cities are growing

→ Cities consume a lot of energy

→ Climate change will impact the urban climate

# Air temperature at 2 m height during heat wave in Zurich, 2017

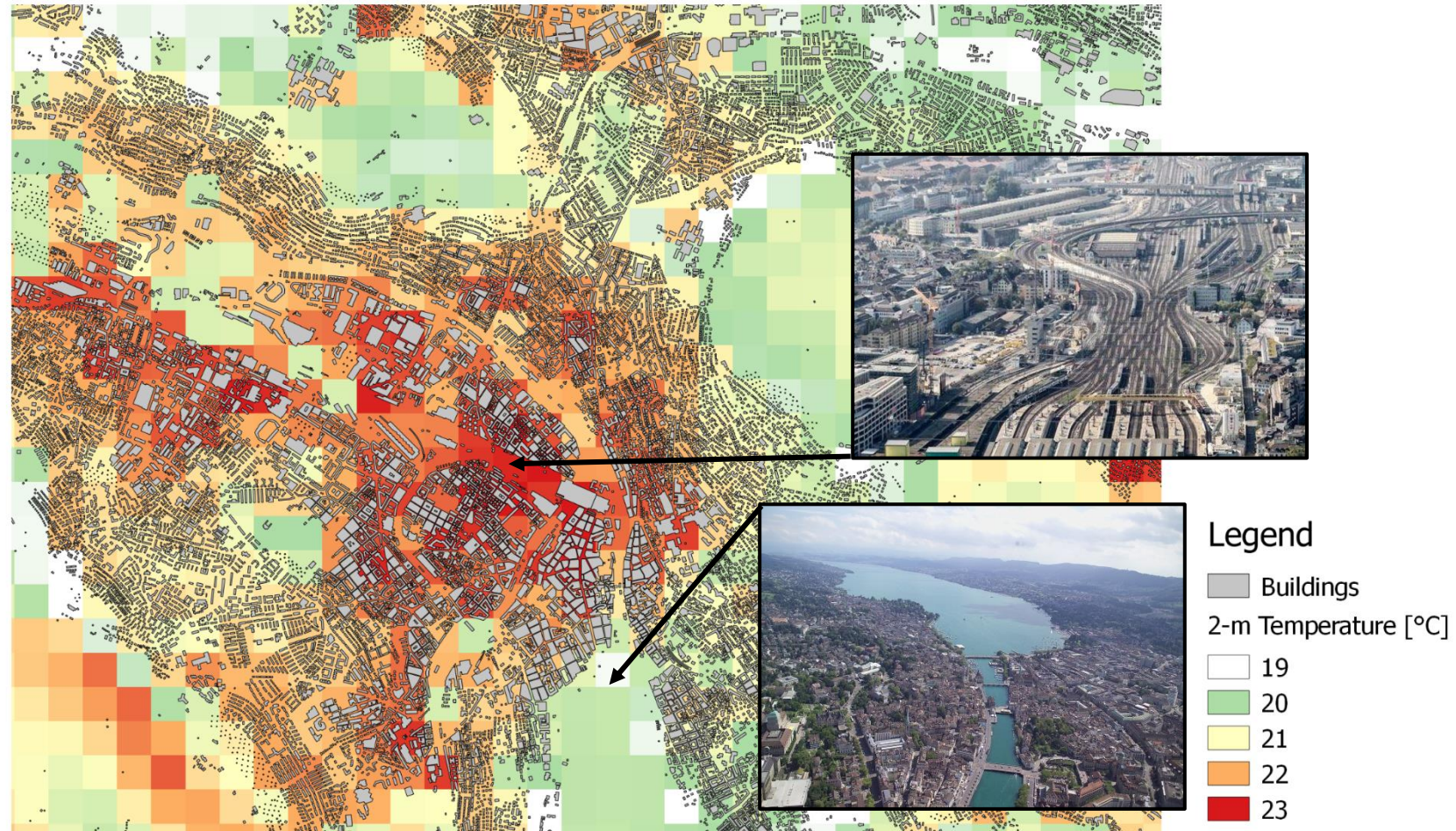
19/06/2017 01:00



Empa/ETH Zurich - Gianluca Mussetti

# Air temperature during heat wave in Zurich, 2017

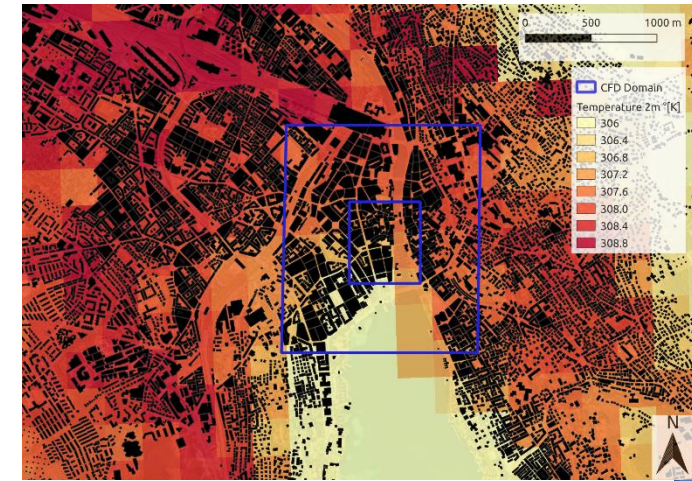
Air temperature map at 6 am 23/06/2017



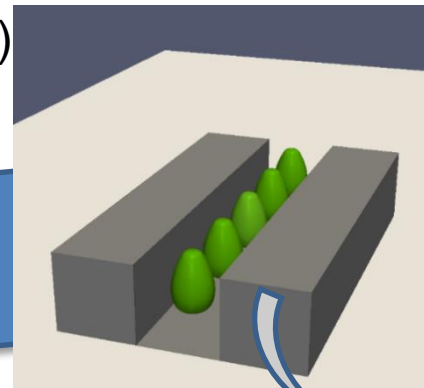
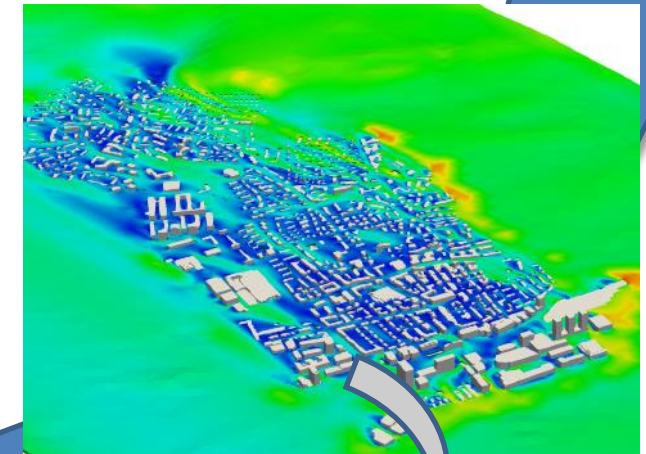
(G. Mussetti, Empa/ETH)

# Necessity of a multi-scale approach

- Mesoscale
  - City and surroundings (domain size: < 200 km)
  - Meteorological models with urban parameterization
  
- Microscale
  - Neighborhood (domain size: < 2 km)
  - CFD models
  
- Microscale
  - Local / building (domain size: < 100 m)
  - CFD models



(Atmosphere 2020, 11(12), 1313)



Material scale

- domain size: < 1 m)
- HAM models

# Overview

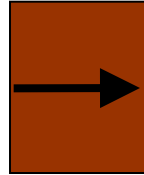
## ■ Introduction

- Urban heat island (UHI) effect
- Causes of UHI

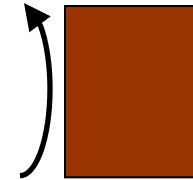


# Heat transfer mechanisms

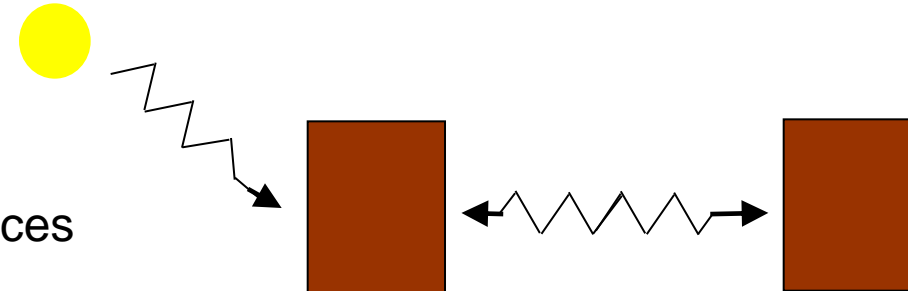
1. Conduction  
In solid materials



2. Convection  
Surface convection by wind and/or buoyancy (“stack effect”)



3. Radiation  
Short-wave radiation from the sun  
Long-wave radiation from the sky  
Long-wave radiation between surfaces



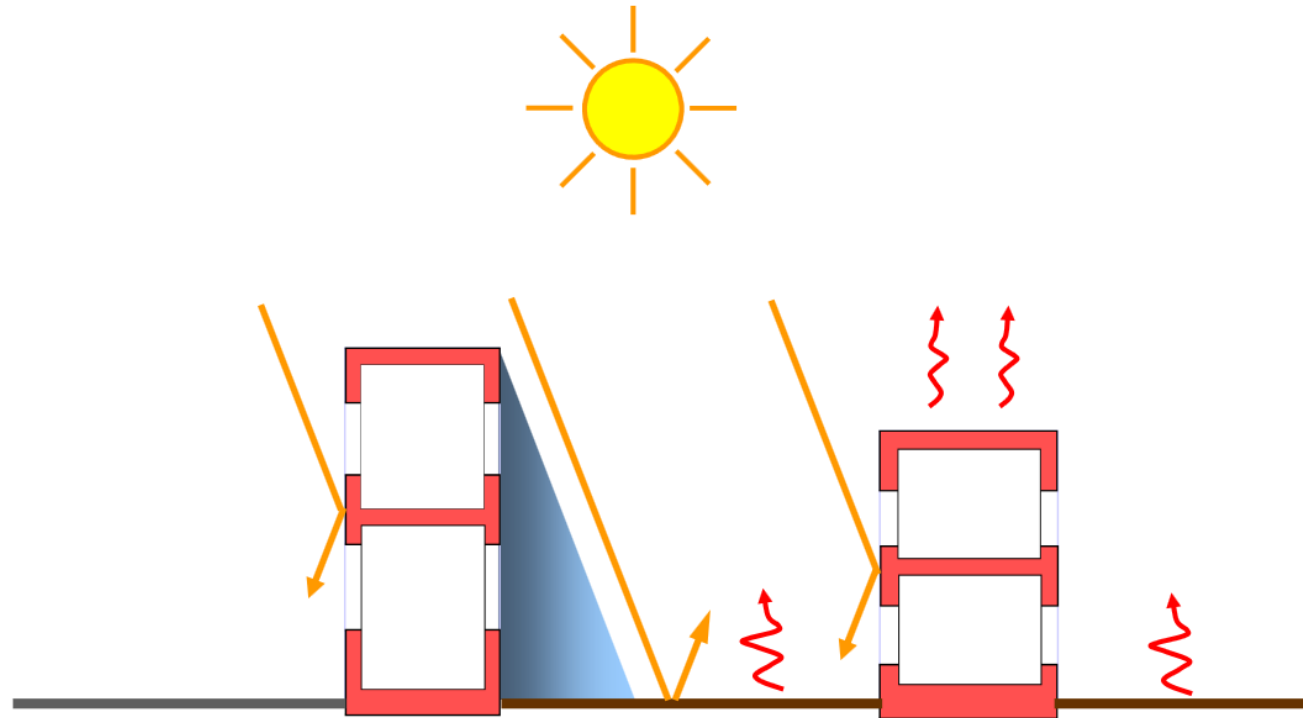
# What are the causes of the UHI?

- 1) **increased sensible heat storage** due to the choice of materials
  - Changed thermal properties

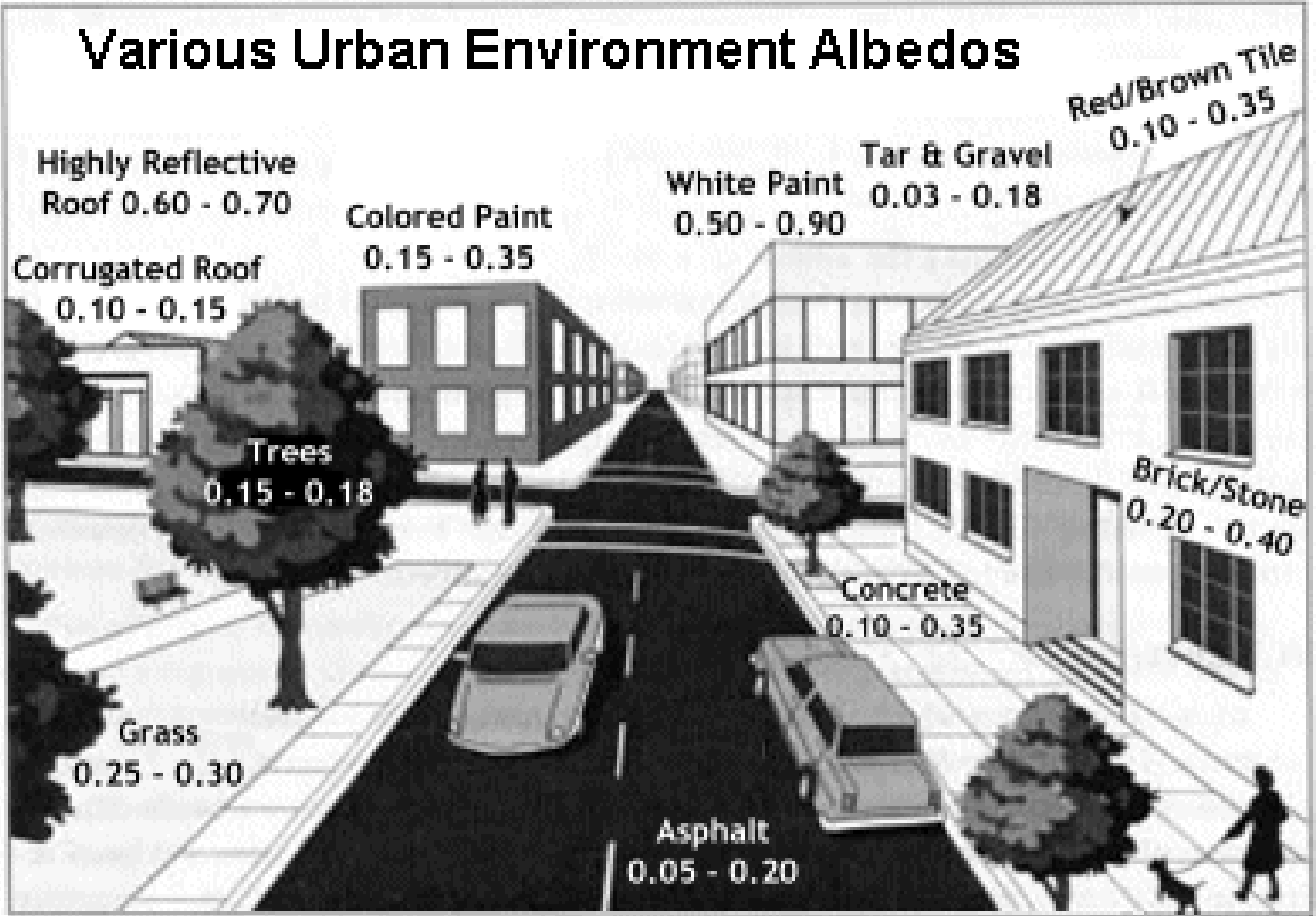


# What are the causes of the UHI?

- 1) **increased sensible heat storage** due to the choice of materials
- 2) **increased absorption of short-wave radiation**
  - reduced albedo (reflection coefficient) of urban surfaces
  - larger surface area to heat up



Changed thermal bulk and surface properties:  
Albedo value



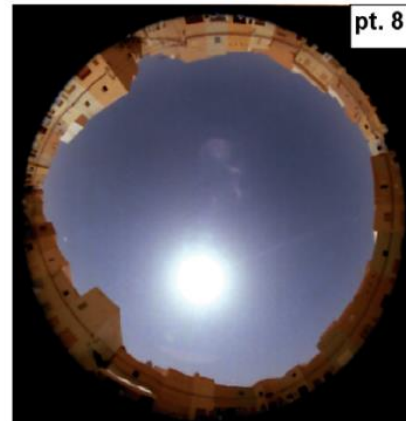
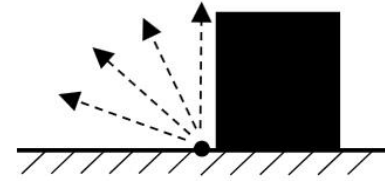
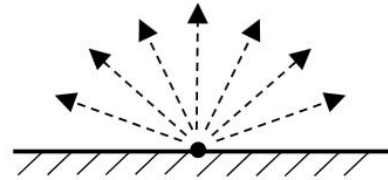
# Changed thermal bulk and surface properties: Albedo value



Pavement Type	Albedo
Asphalt	0.05 – 0.10 (new) 0.10 – 0.15 (weathered)
Gray portland cement concrete	0.35 – 0.40 (new) 0.20 – 0.30 (weathered)
White portland cement concrete	0.70 – 0.80 (new) 0.40 – 0.60 (weathered)

# What are the causes of the UHI?

- 1) increased **sensible heat storage** due to the choice of materials
- 2) increased **absorption of short-wave radiation**
- 3) **decreased long-wave radiation** loss to the sky
  - lower sky view factor
  - reduced cooling of “warm” buildings during night time by blocking of radiation to the cold sky



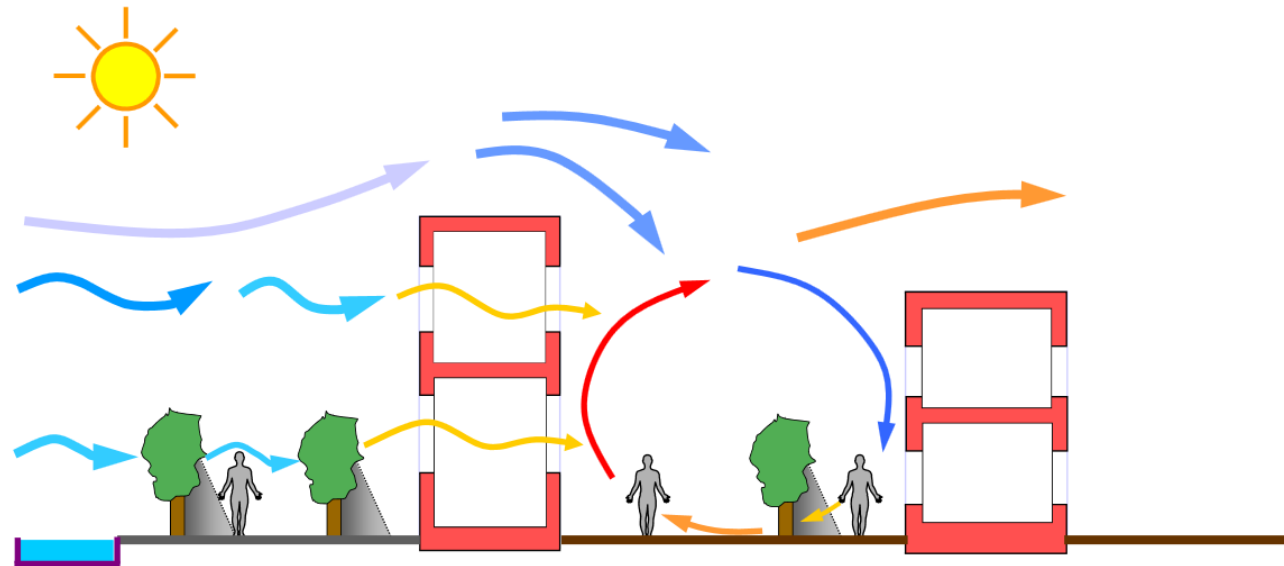
sky view factor in cities



## What are the causes of the UHI?

- 1) increased sensible heat storage due to the choice of materials
- 2) increased absorption of short-wave radiation
- 3) decreased long-wave radiation loss to the sky
- 4) **decreased convective heat transport**

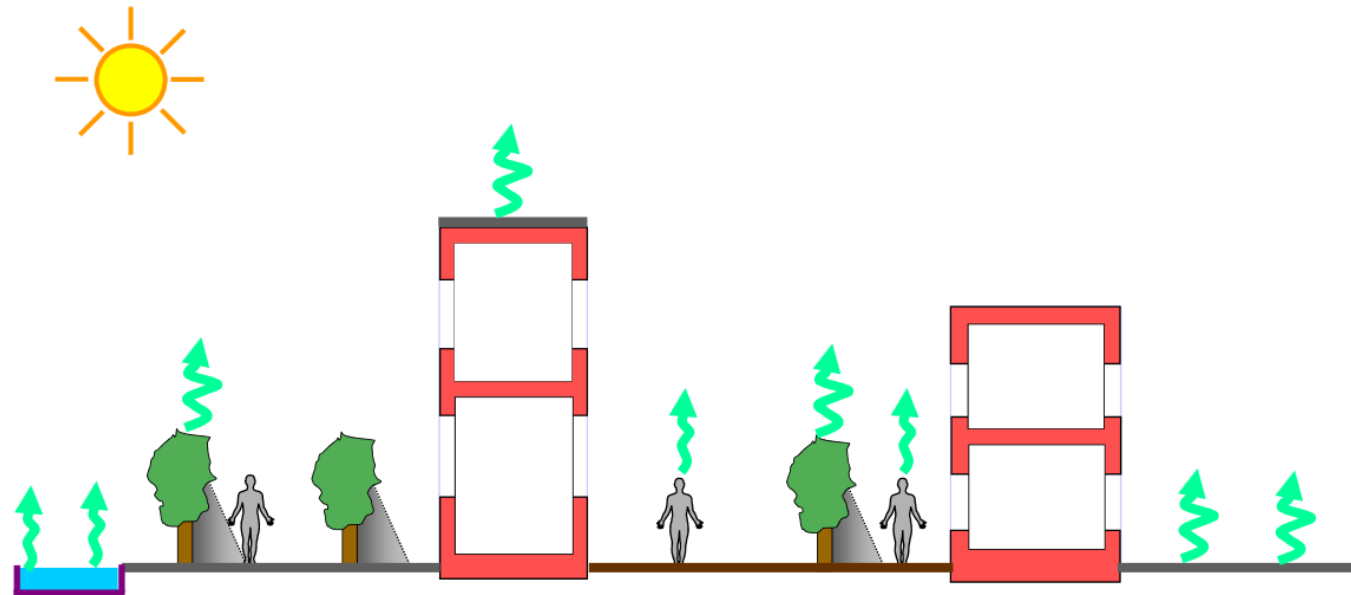
Reduced cooling of “warm” buildings due to wind shielding  
reduction of convective heat and moisture transfer



reduced convective heat losses due to wind-sheltering  
reduced (cross) ventilation potential

## What are the causes of the UHI?

- 1) increased sensible heat storage due to the choice of materials
- 2) increased absorption of short-wave radiation
- 3) decreased long-wave radiation loss to the sky
- 4) decreased convective heat transport
- 5) **decreased evapotranspiration**



reduced evapotranspiration (latent heat)



## What are the causes of the UHI?

- 1) increased sensible heat storage due to the choice of materials
- 2) increased absorption of short-wave radiation
- 3) decreased long-wave radiation loss to the sky
- 4) decreased convective heat transport
- 5) decreased evapotranspiration
- 6) increased anthropogenic heat production
- 7) increased absorption of long-wave radiation due to air pollution

anthropogenic heat release  
transportation  
industry  
people



# Overview

## ■ Introduction

- Urban heat island (UHI) effect
- Causes of UHI
- Outdoor thermal comfort

# Evaluation of urban outdoor thermal comfort

Universal Thermal Climate Index (UTCI):

Equivalent ambient temperature of a reference environment providing the same physiological responses of a reference environment

- Air temperature
- Mean radiant temperature
- Relative humidity
- Wind speed
- Clothing
- Activity

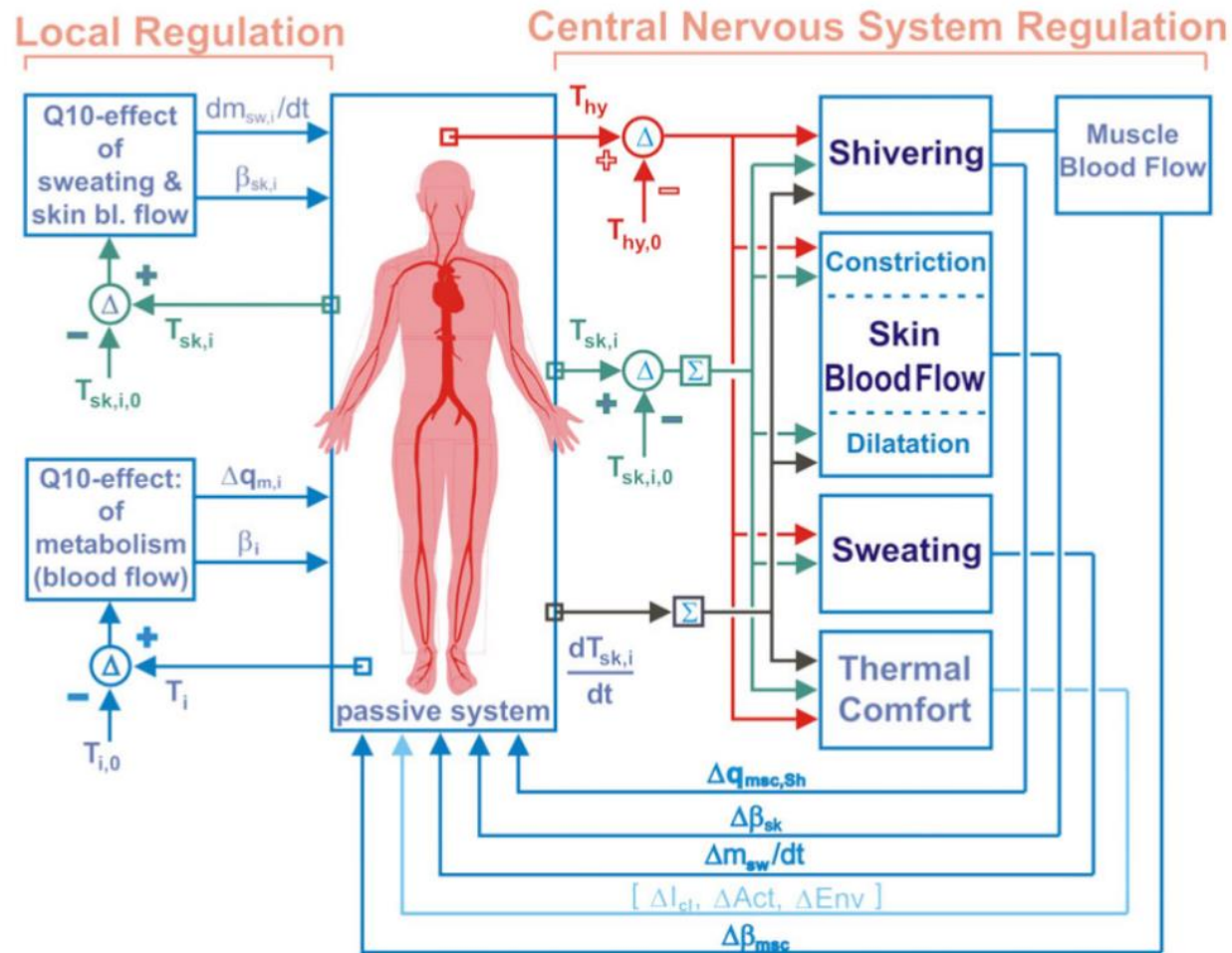
$$T_{mrt} = \left[ T_{umrt}^4 + \frac{f_p \alpha_p I_{dir}}{\epsilon_p \sigma} \right]^{0.25}$$

Surface temperatures  
of environment  
radiating to the  
person

Direct solar  
radiation  
on person

$$UTCI = T_a + \text{Offset}(T_a, T_{MRT}, U_{wind}, p_{vapour})$$

# Evaluation of urban outdoor thermal comfort



UTCI range (°C)	Stress category
> 46	Extreme heat stress (HS)
38 – 46	Very strong HS
32 to 38	Strong HS
26 to 32	Moderate HS
9 to 26	No thermal stress

Thermoregulatory system model, from *Fiala et al. 2012, International Journal of Biometeorology*

# Overview

## ■ Introduction

- Urban heat island (UHI) effect
- Causes of UHI
- Outdoor thermal comfort
- Countermeasures to UHI

# What are the possible counter measures for Urban Heat Island mitigation?

Purpose	Large Category	Small Category
Cool Surface	Use of Green	Maintenance of Green Land
		Garden
		Roof Greening/Garden
	Use of Structural Material	Street Greening/Trees
		Water Permeable Material
		Water Contained Material
		High Albedo Painting
	Use of Water	Photocatalyst
		Water Park/Waterfront
	Creation of Shading Area	Water Sprinkler
Arcade		
Promotion of Urban Ventilation	City Block Configuration	Pergola
		Ventilation Lane
	Building Configuration	Arrangement of Buildings
Reduction of Anthropogenic Heat	Energy-Saving	Minimization of Aspect Area
		Pilloti
		Energy-Saving Machinery
	Heat Release Treatment	Transport Management
		Energy-Saving Life Style
	Water Cooling Tower	
	Heat Sink (River, Sea, Ground)	



(Photo: Andreas Rubin – ETH)



(Photo: Christian Michel / CC BY-SA 2.0)

R. Ooka et al. 2010

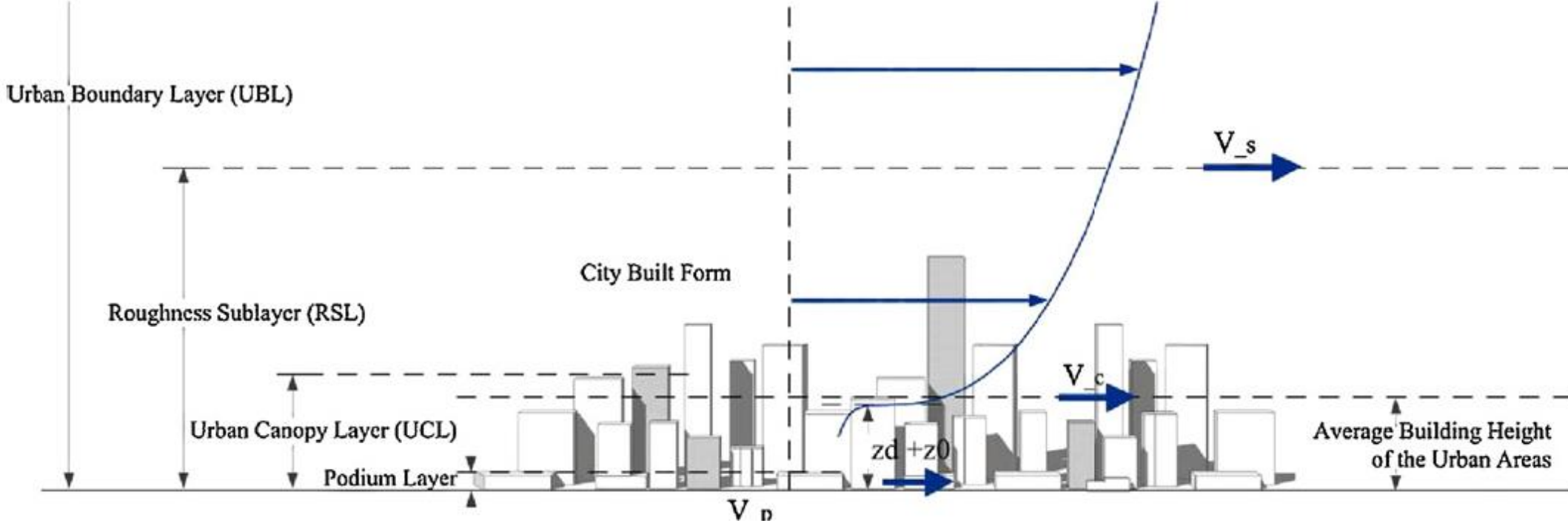
Seminar at Hong Kong Polytechnic University

# Overview

## ■ Introduction

- Urban heat island (UHI) effect
- Causes of UHI
- Outdoor thermal comfort
- Countermeasures to UHI
- Wind flow in urban environment

# Urban boundary layer wind velocity profile

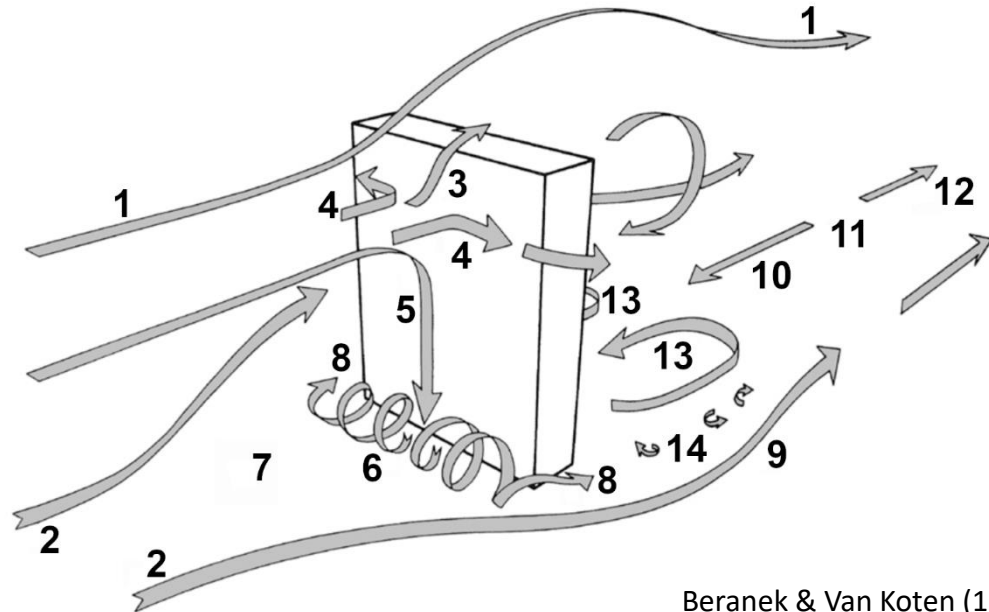


Ng E., 2011



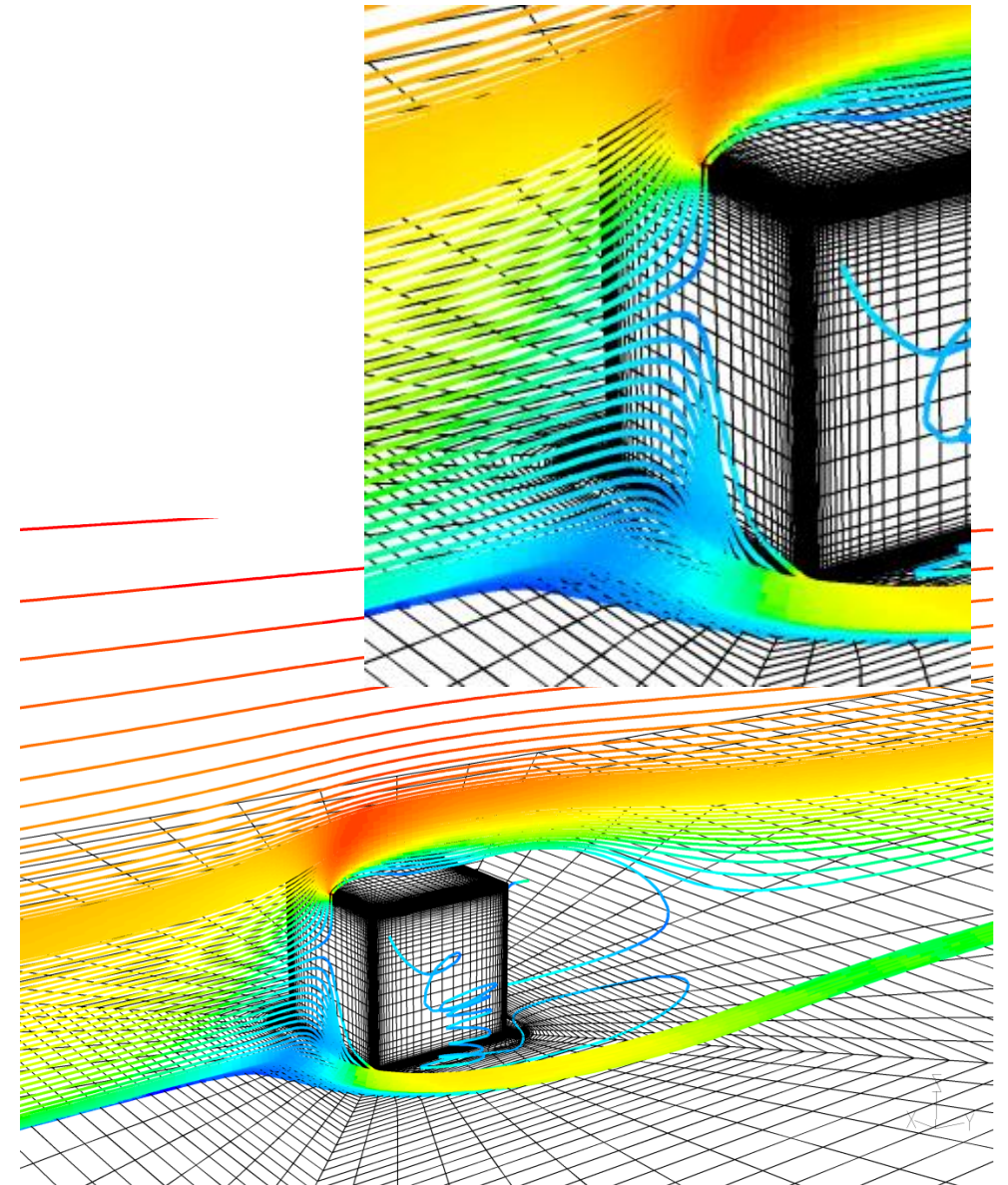
# Wind flow around a building

## Stand-alone building



Beranek & Van Koten (1979)

- Wind-flow patterns around buildings also influence heat removal, transport of pollutants, evaporation, moisture transport in air, etc.



Defraeye et al.

# Turbulent shear layer at building edges

the high buildings force the wet air to rise

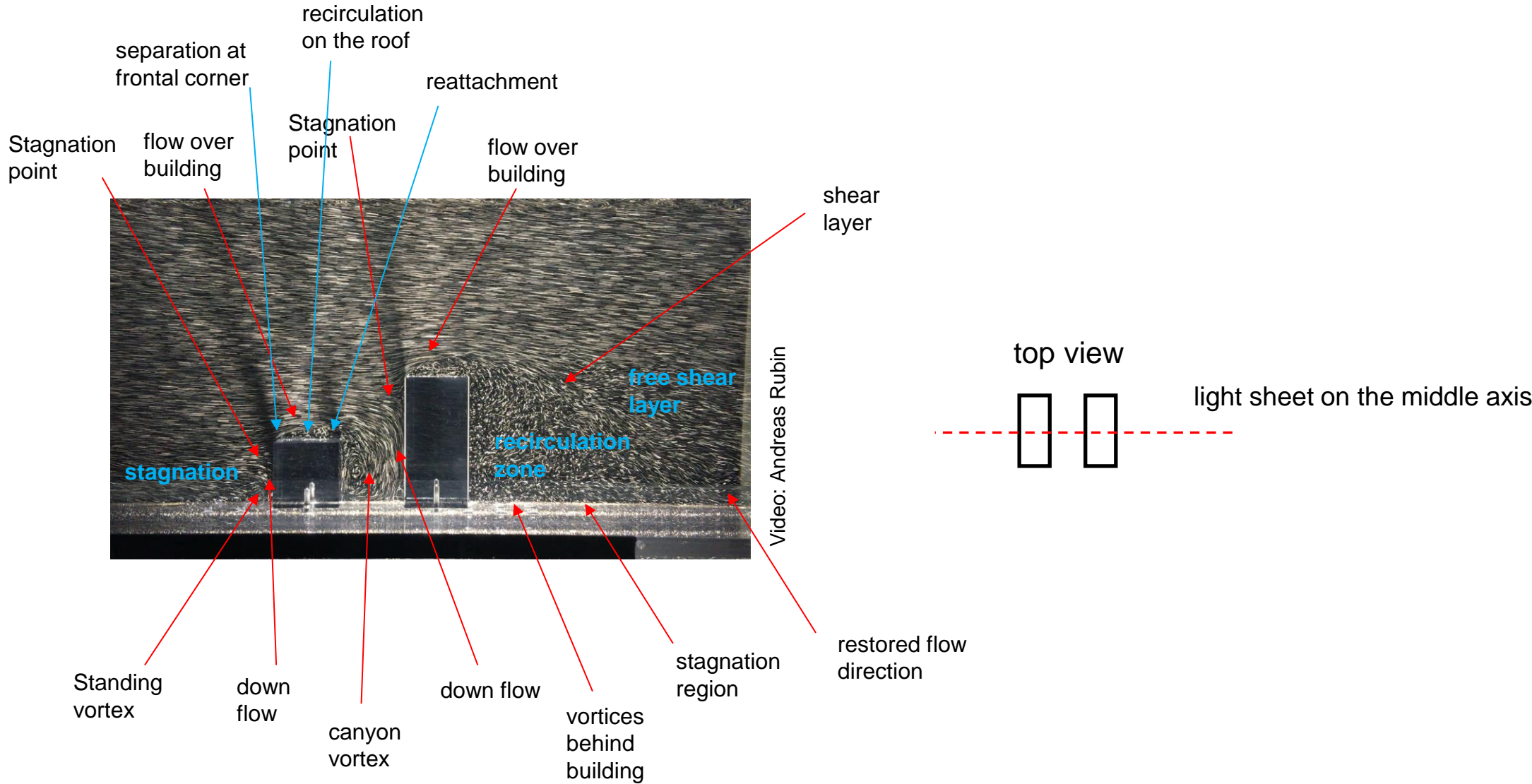
Air cools down at higher height and condenses into mist

Panama city, Florida



© Panhandle Helicopter/ JR Hott

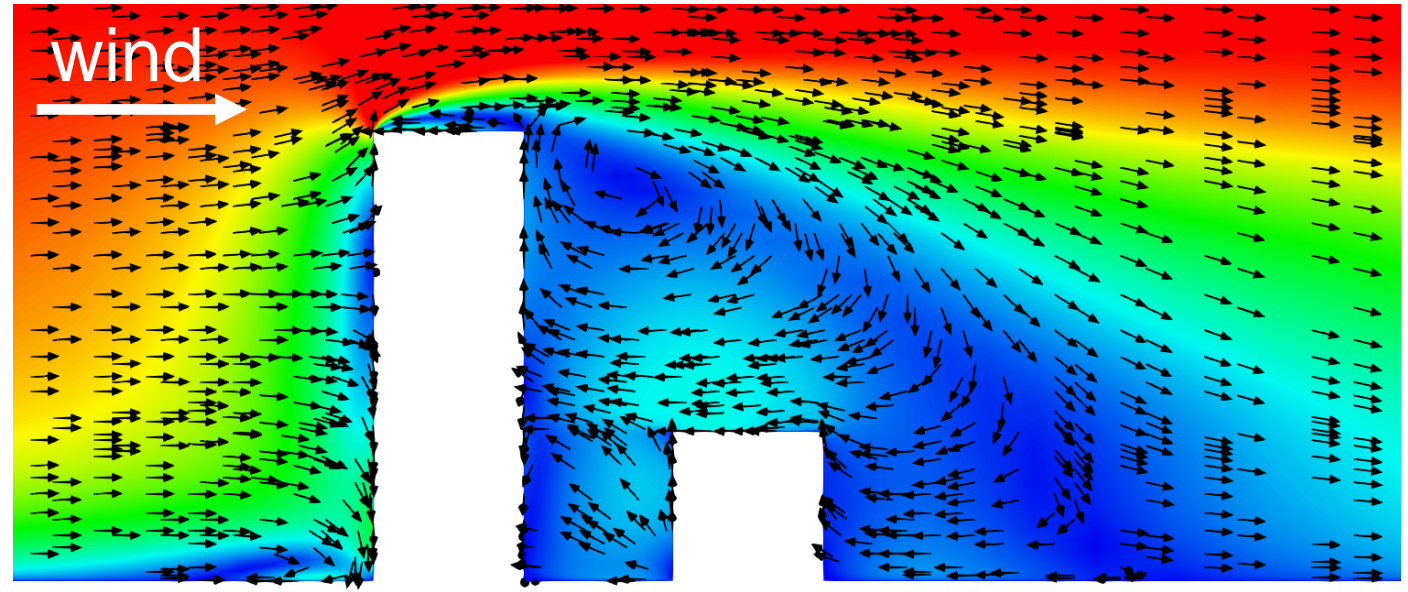
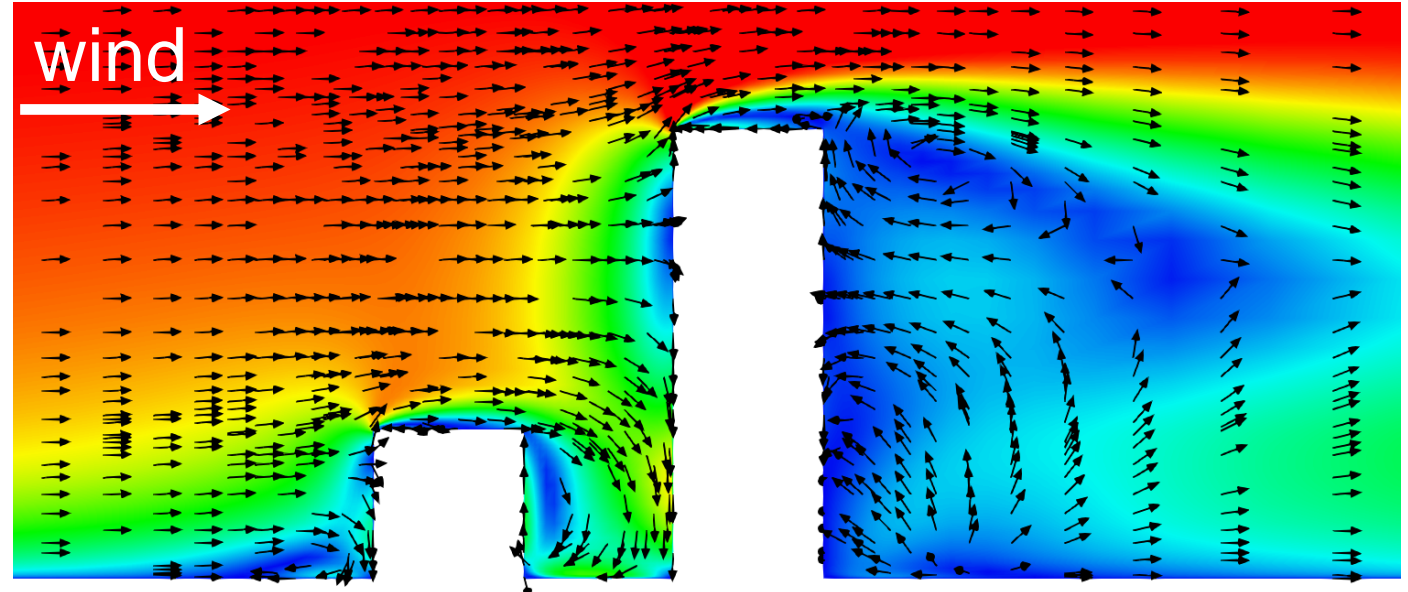
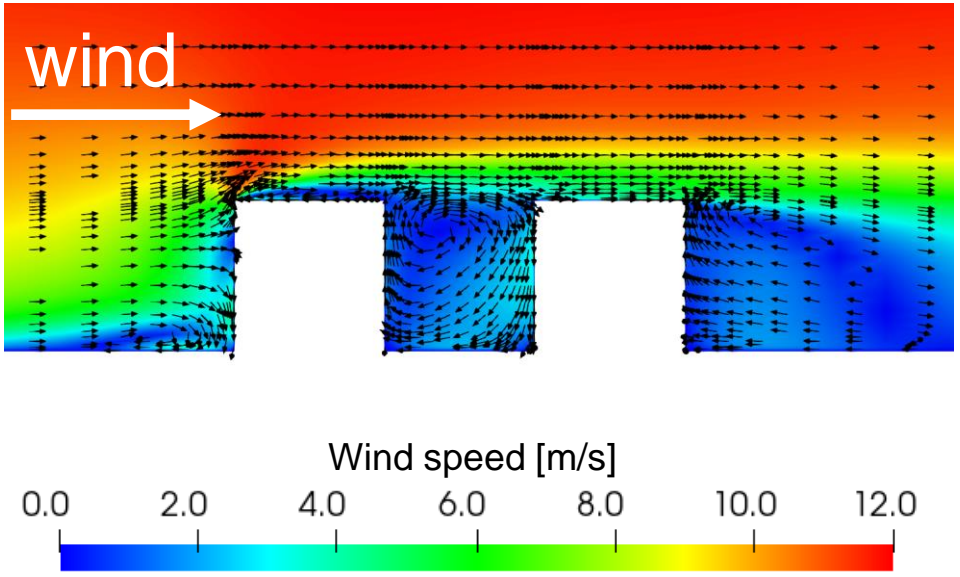
# Wind-flow patterns around buildings



# Wind flow around buildings

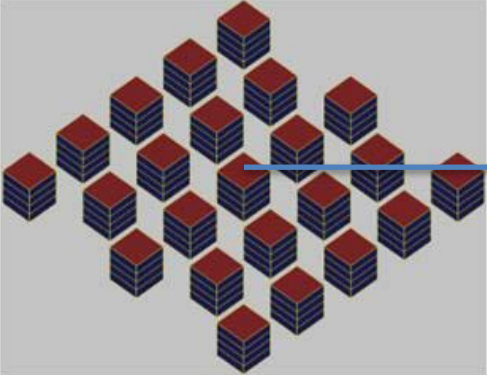
What happens with multiple buildings?

Isothermal conditions

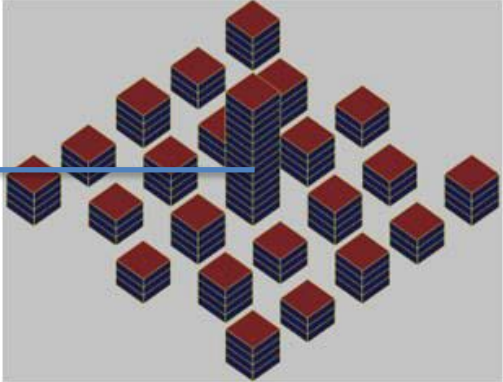


# Ventilation

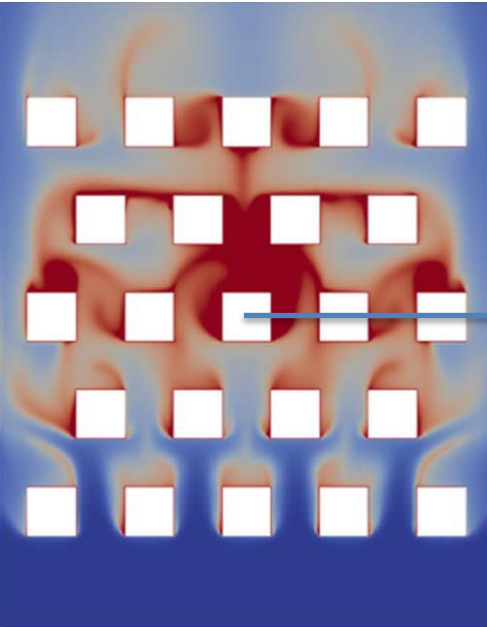
Cooler air directed by the high-rise building towards street level



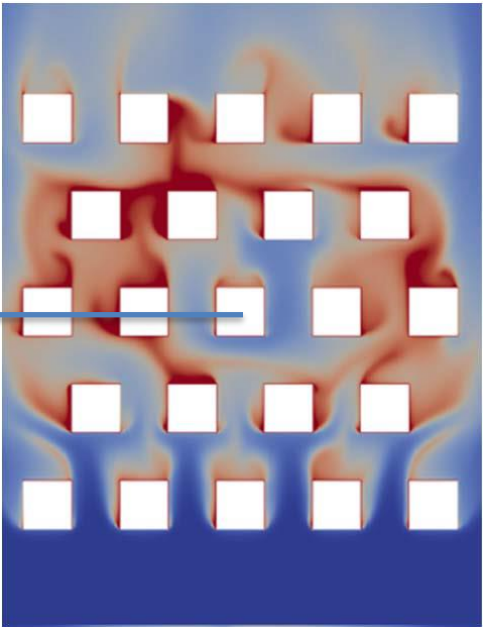
Modified urban morphology



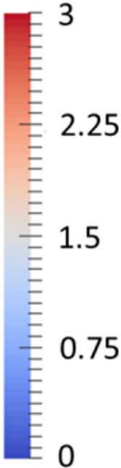
Wind speed = 5 m/s



Modified ventilation



Temperature difference at 1.75 m height

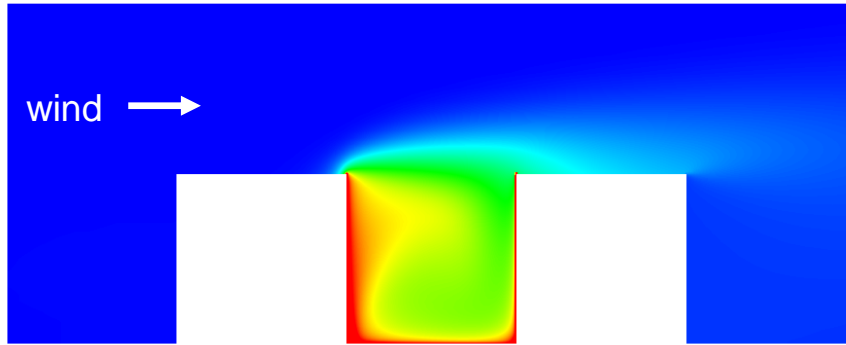


# Local thermal hotspots

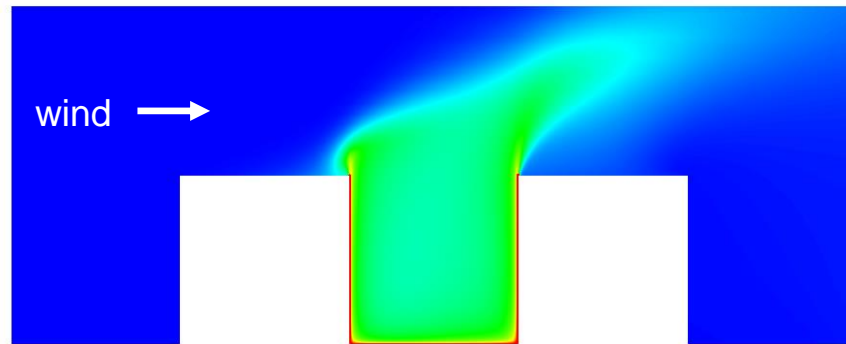
Non-isothermal conditions

Temperature contours

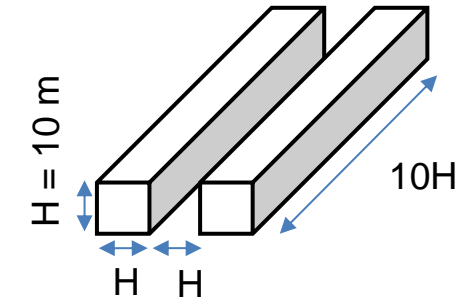
$U_{\text{ref}} = 10 \text{ m/s}$



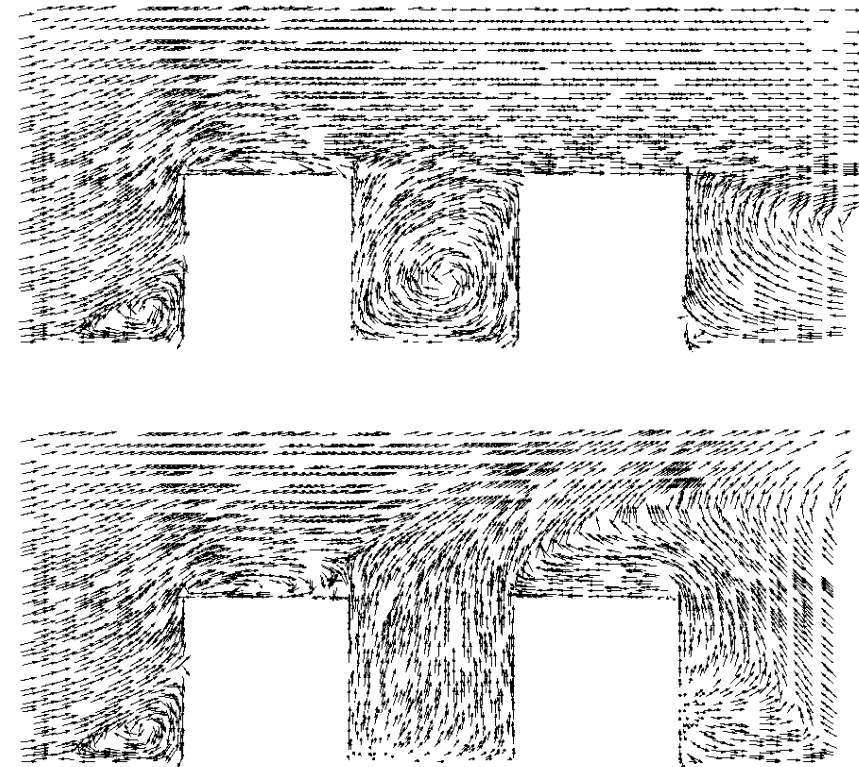
$U_{\text{ref}} = 1 \text{ m/s}$



$\Delta T \text{ (}^\circ\text{C)}$

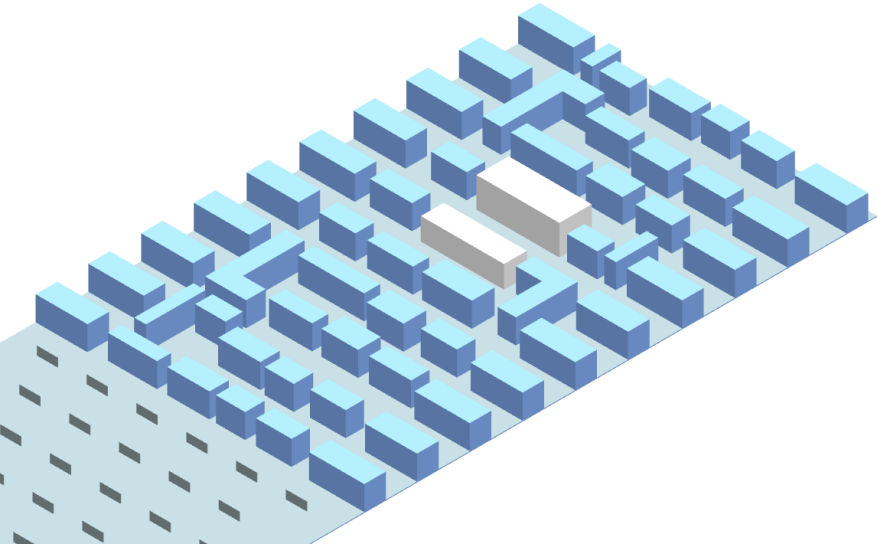
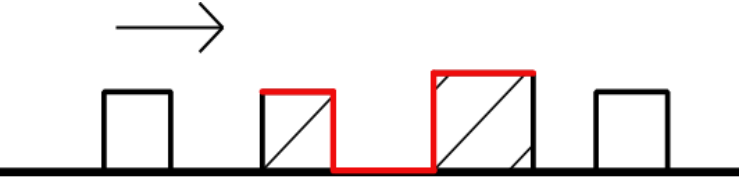


Velocity vectors

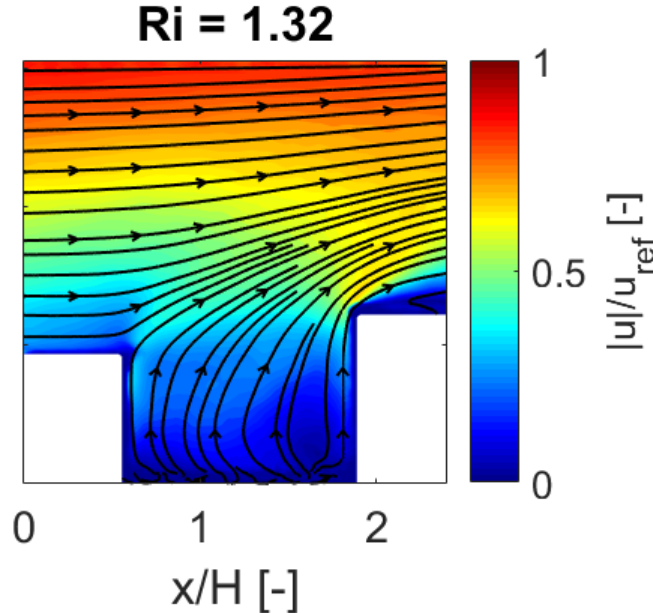
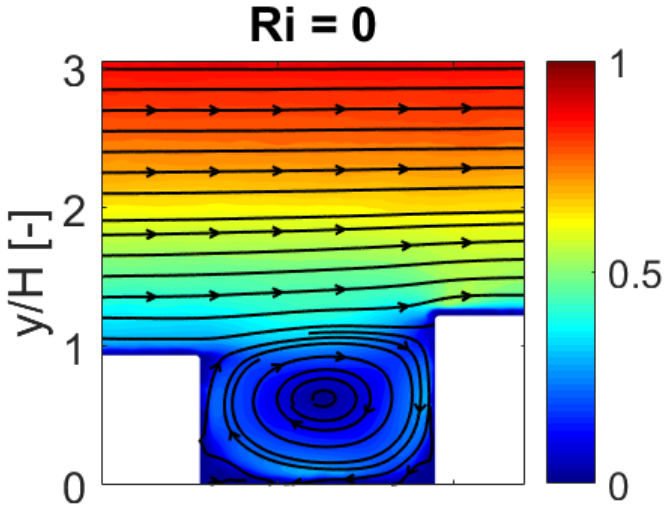


# Experimental studies in wind tunnel

## City quarter with heated walls



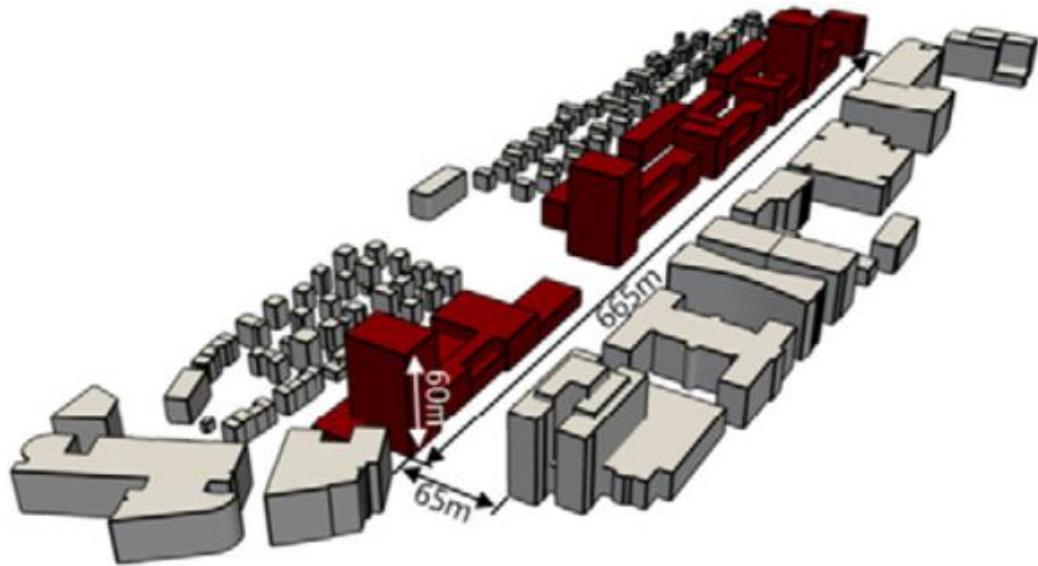
(Tsalicoglou et al, 2018, 18th International Symposium on Flow Visualization (ISFV18))



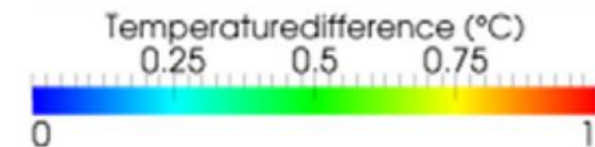
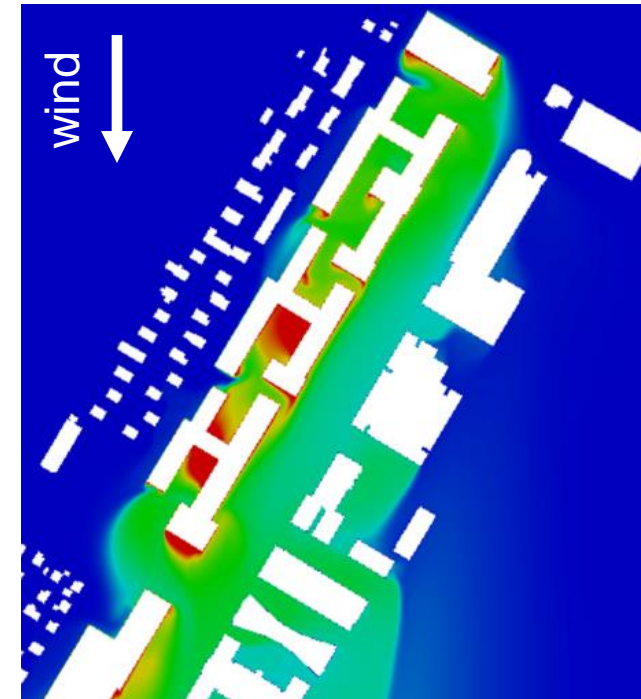
Larger Ri (Richardson number) indicates the importance of buoyancy  
 - Ratio of natural convection to forced convection

## Local thermal hotspots

- Wind flow can affect temperature levels



Local hot spots



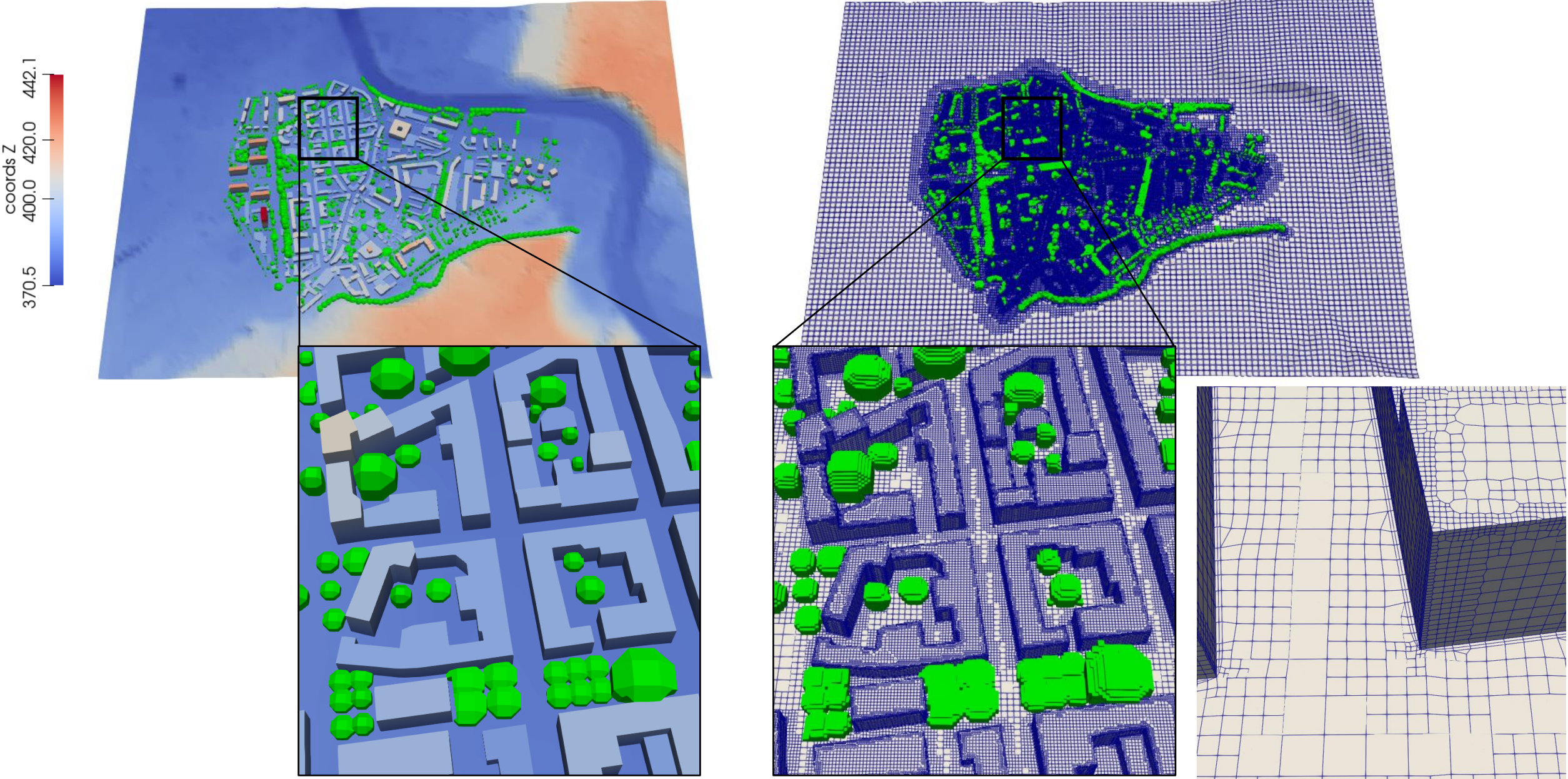
(Allegrini and Carmeliet, 2017, *Urban Climate*)



# Overview

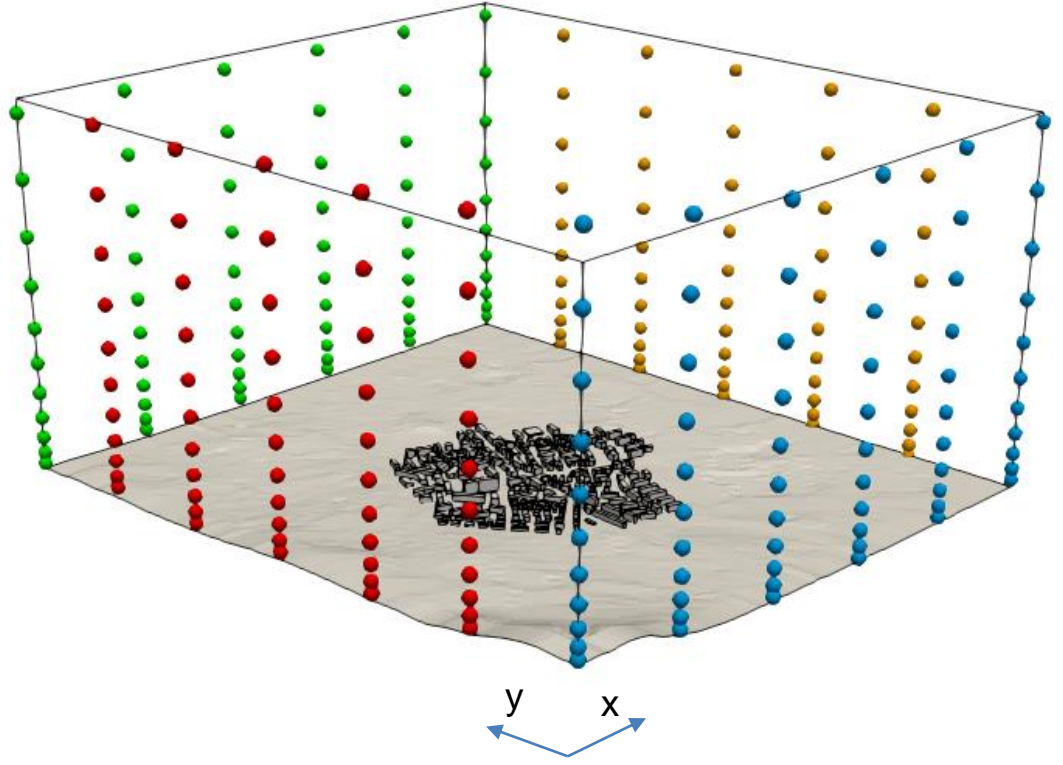
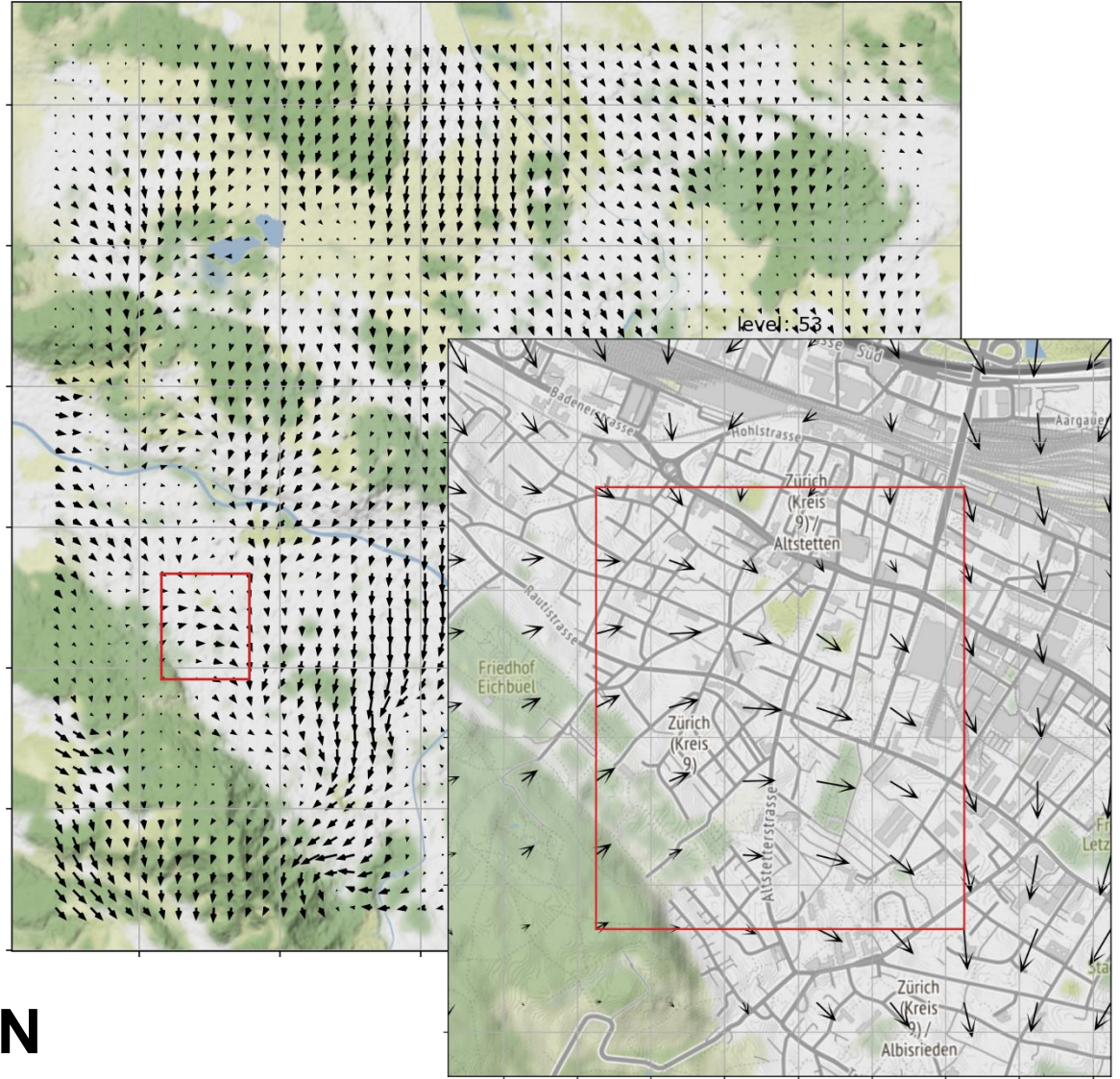
- Introduction
  - Urban heat island (UHI) effect
  - Causes of UHI
  - Outdoor thermal comfort
  - Countermeasures to UHI
  - Wind flow in urban environment
- Modeling and application examples
  - Numerical modeling of microclimate

# Computational models and grid generation



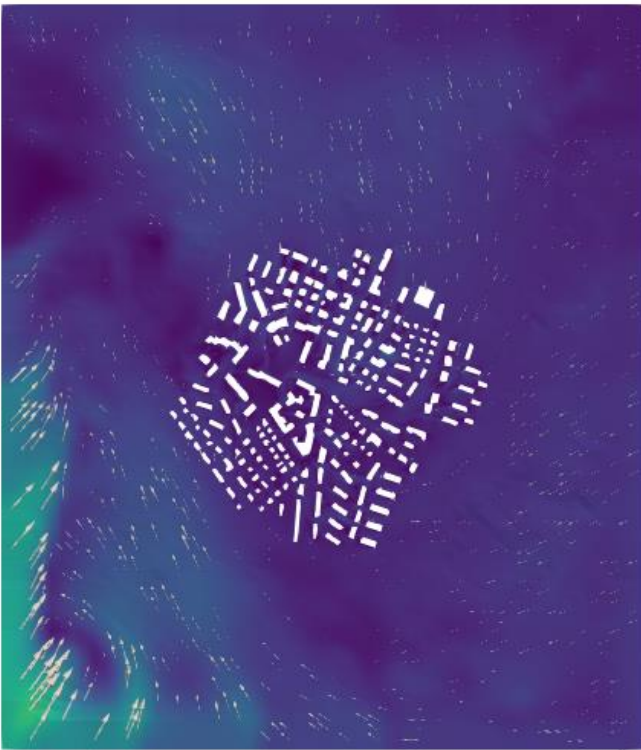
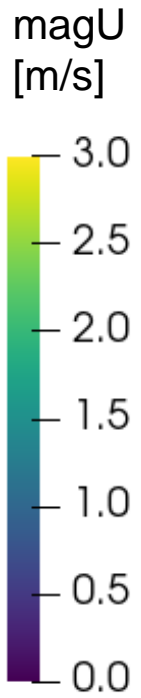
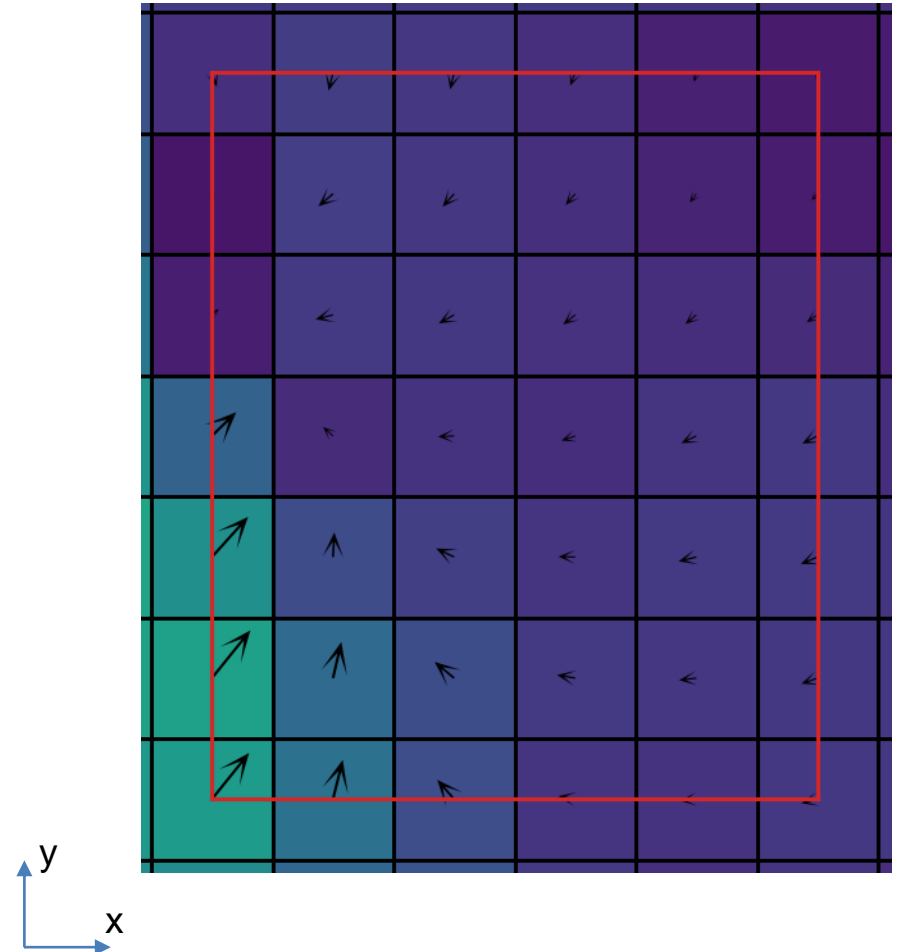
# Mesoscale simulations (e.g. COSMO, WRF)

Wind-flow field on 29.07.2018 - 12:00



# Comparison of flow fields

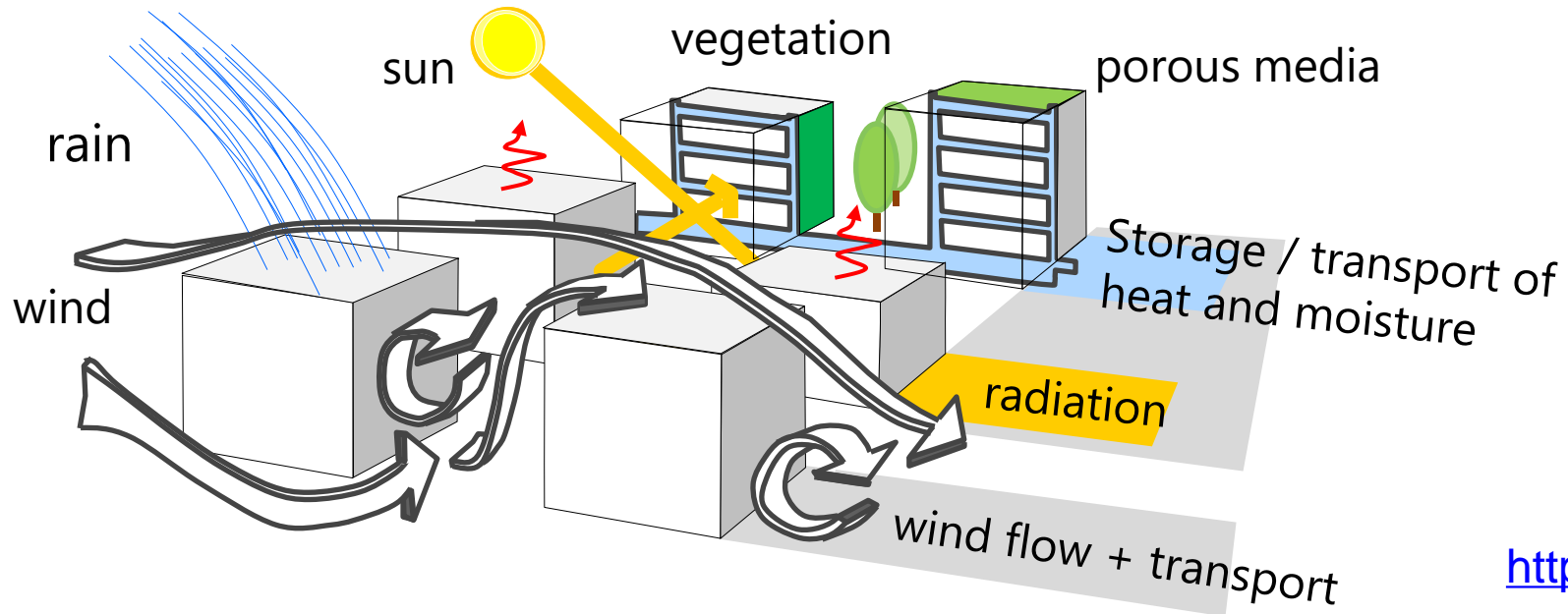
Magnitude of wind velocity at 10 m above ground  
01:00









# Typical output results / indices

- Outdoor thermal comfort (e.g. UTCI, PET, Heat exposure index)
  - Air velocity, temperature, humidity
  - Surface temperature
  
- Vegetation-related
  - Transpiration rate
  - Leaf temperature
  
- Impacts of greenery, shading, building morphology, densification, etc.
  - Geometrical changes
  - Material changes
  
- Variation of temperature and humidity within urban materials
  - Evaporative cooling
  - Related durability indices (e.g. mould risk, freeze-thaw)
  - Soil moisture content

# Coupled physical process in urban environment



-  **H** hamFoam 
-  **U** urbanMicroclimateFoam 
-  **W** windDrivenRainFoam 

<https://gitlab.ethz.ch/openfoam-cbp/solvers/>

**CFD:** Building-resolved turbulent air flow due to wind and buoyancy

**HAM:** Heat And Moisture storage and transport in porous materials (building materials, pavements, soils, ...) including phase change: evaporative cooling

**RAD:** Short- and long-wave radiation using view factor approach

**VEG:** Modeling of urban trees and green surfaces

# Overview

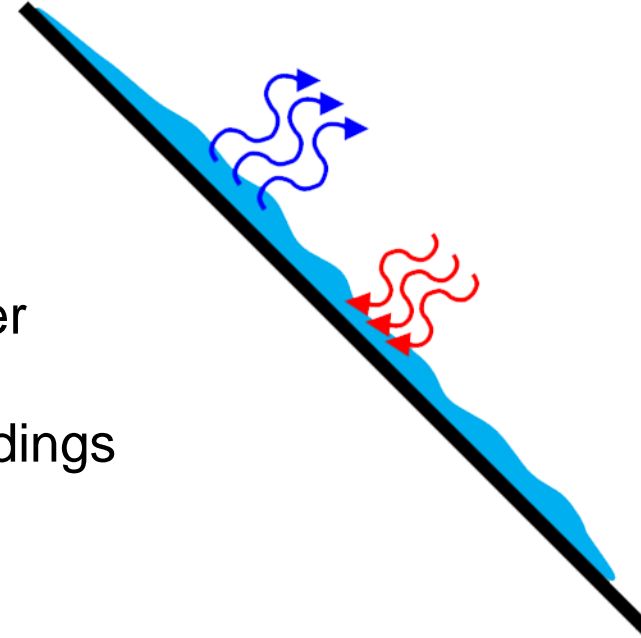
- Introduction
  - Urban heat island (UHI) effect
  - Causes of UHI
  - Outdoor thermal comfort
  - Countermeasures to UHI
  - Wind flow in urban environment
- **Modeling and application examples**
  - Numerical modeling of microclimate
  - **Evaporative cooling**

# Evaporation

- Phase change of liquid water to water vapor requires energy = **latent heat  $L_v$**

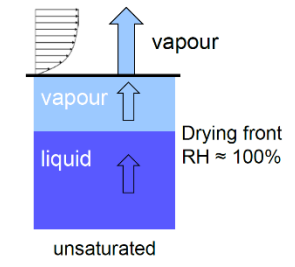
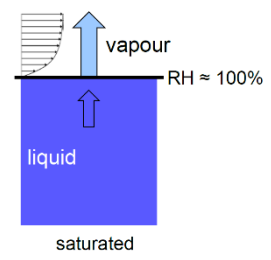
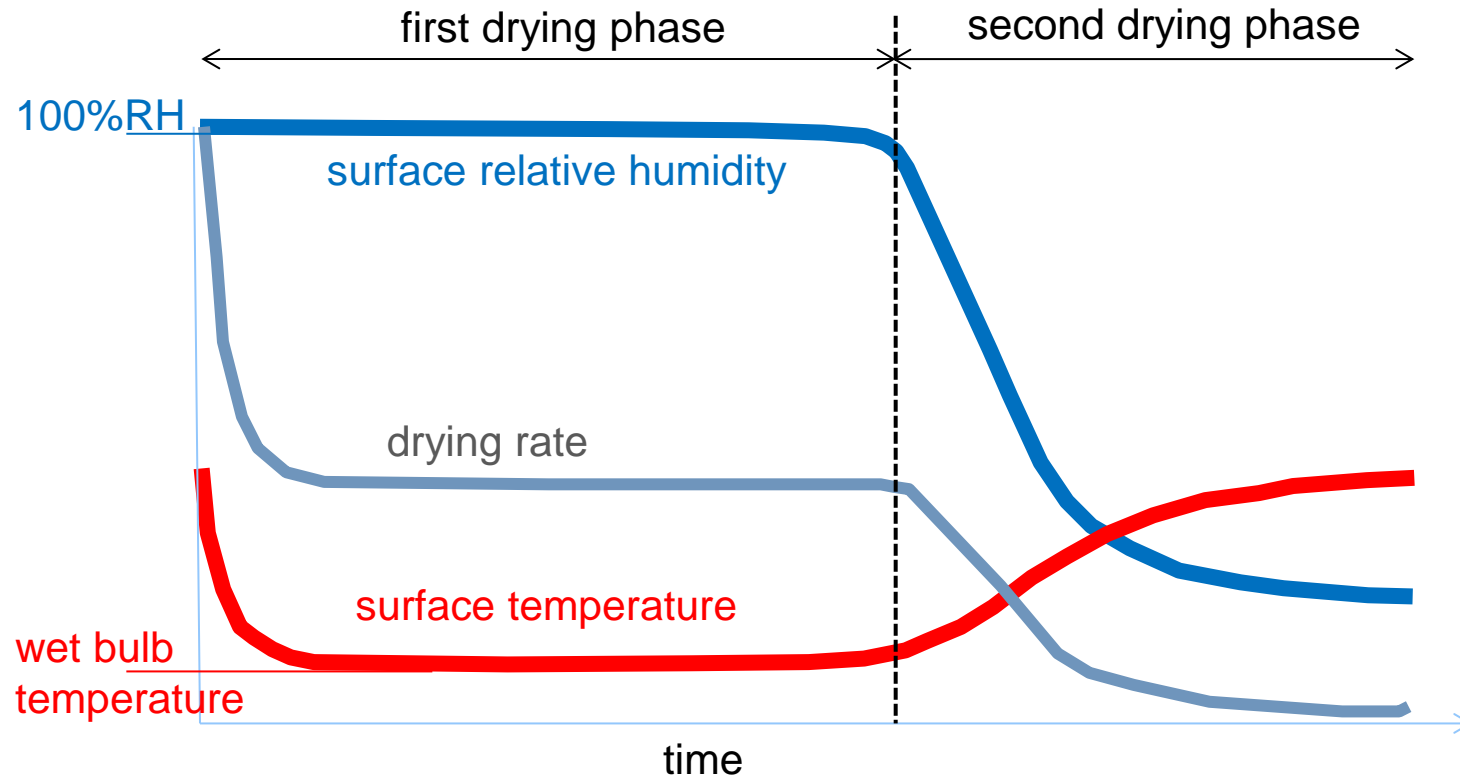
$$L_v = 2.5 \times 10^6 \text{ J/kg}$$

- Energy required to evaporate 1 kg of water
- This energy is extracted from the surroundings

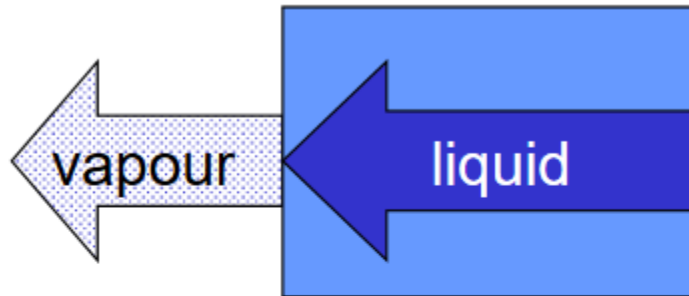




# Drying behavior of porous media

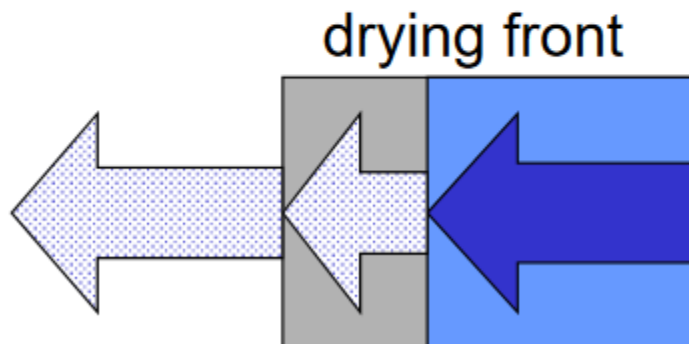


# Drying behavior of porous media



## First drying period

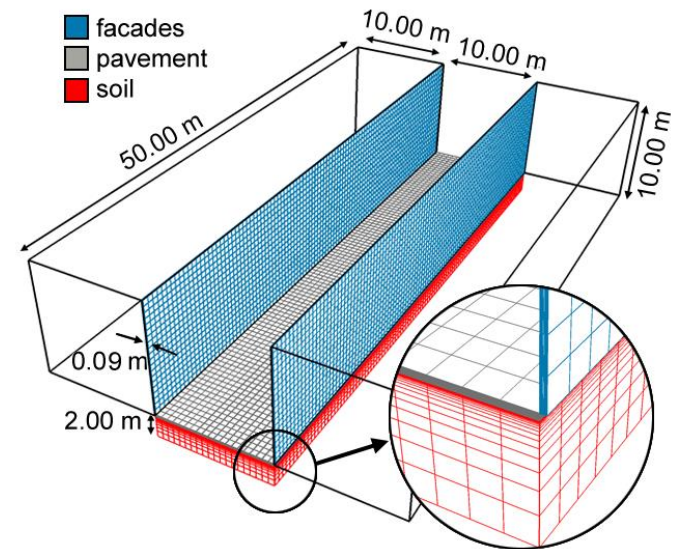
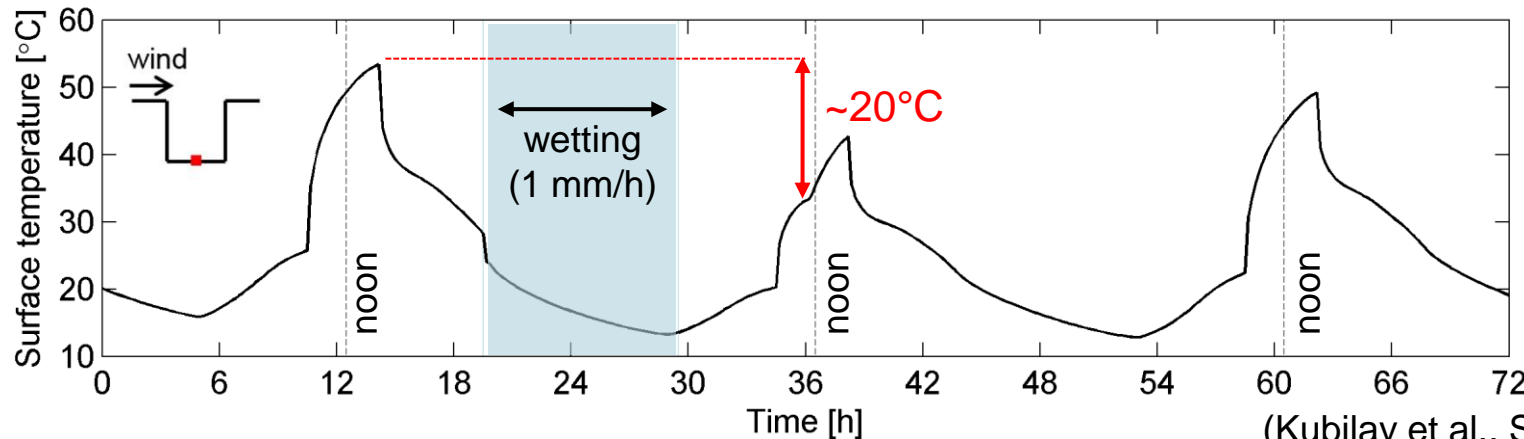
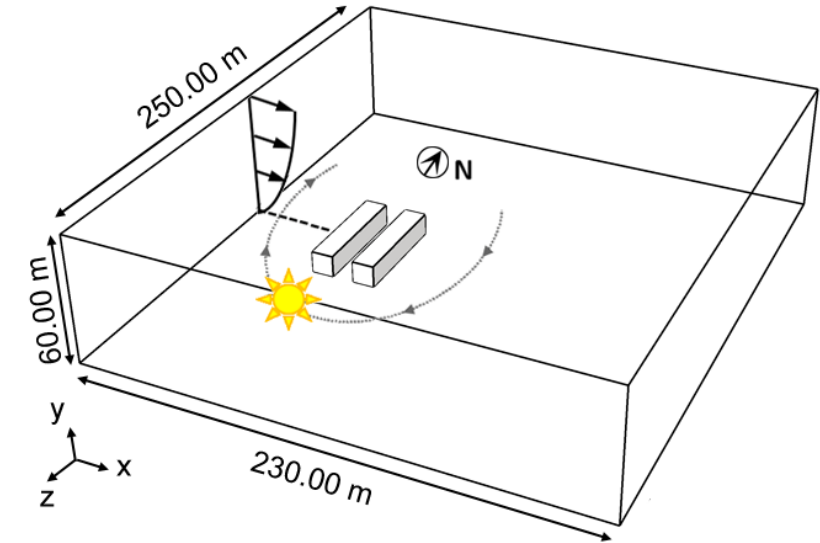
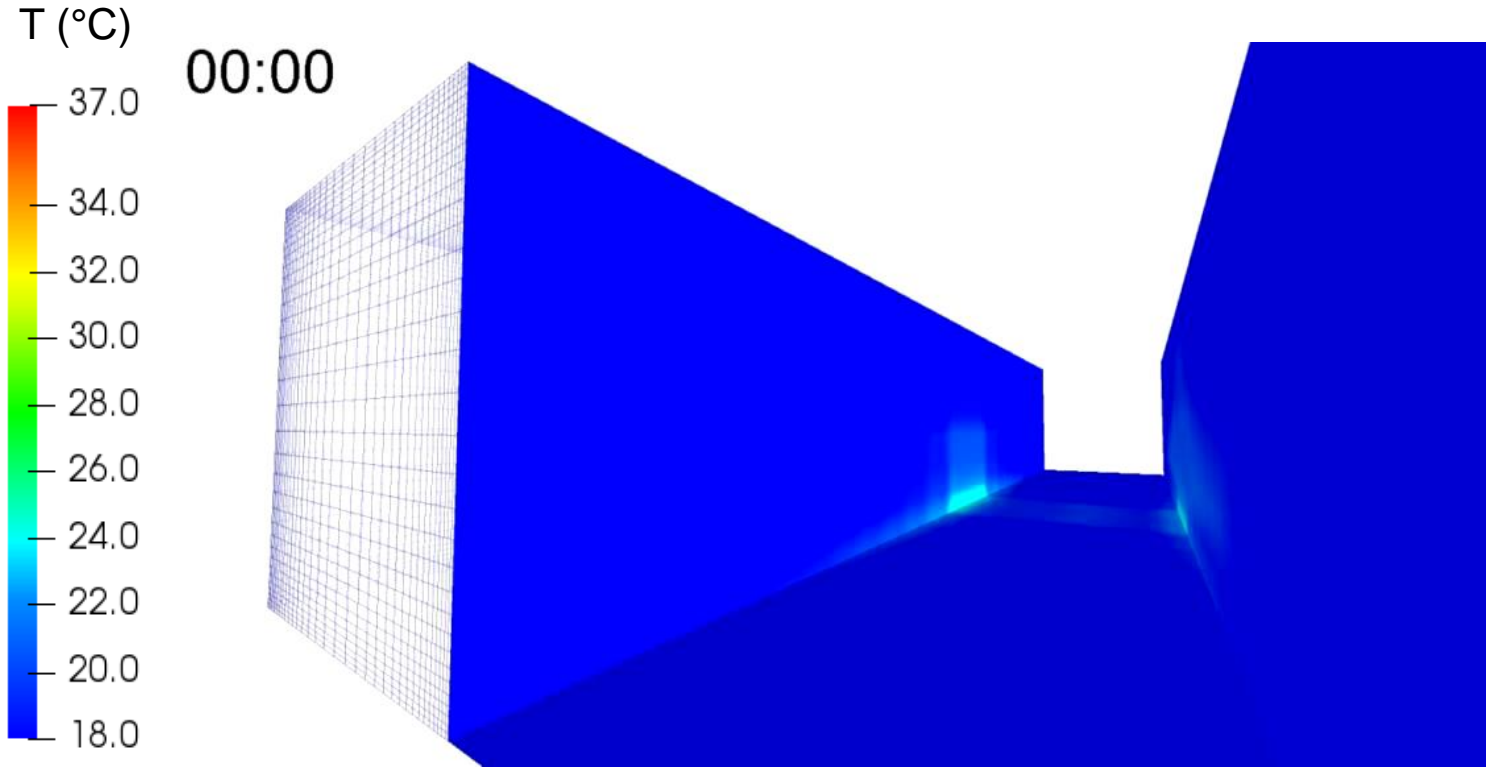
- governed by boundary conditions
- high drying rate



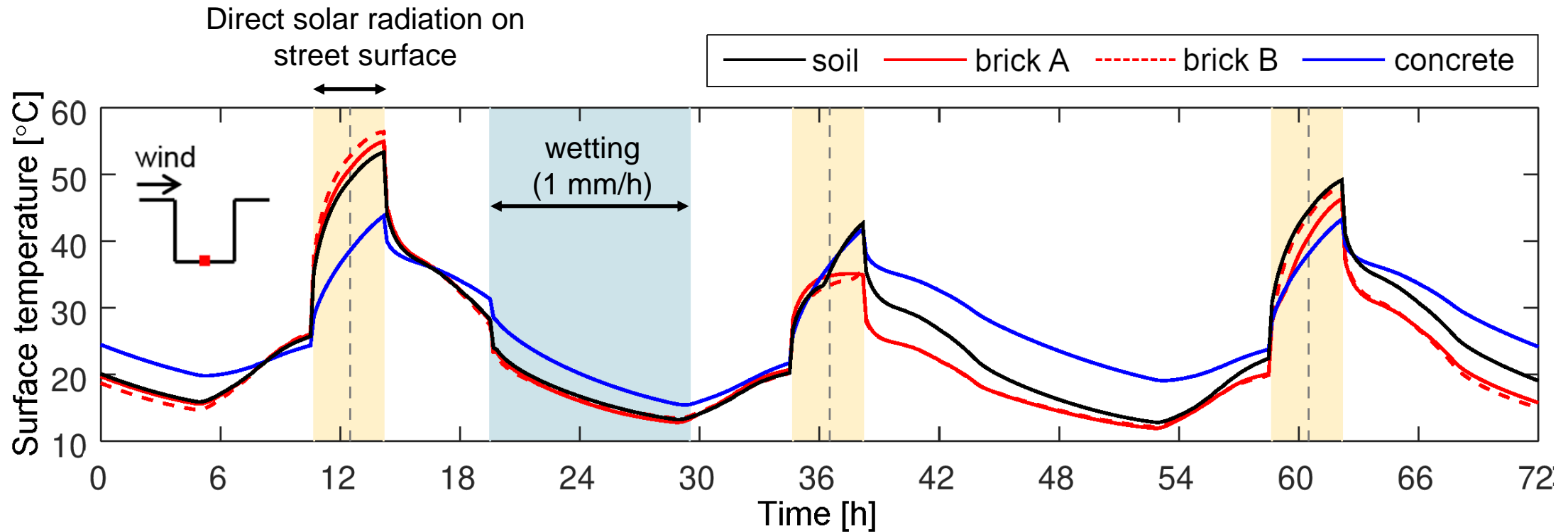
## Second drying period

- governed by water vapour resistance factor
- low drying rate

# Daily variation of surface temperature

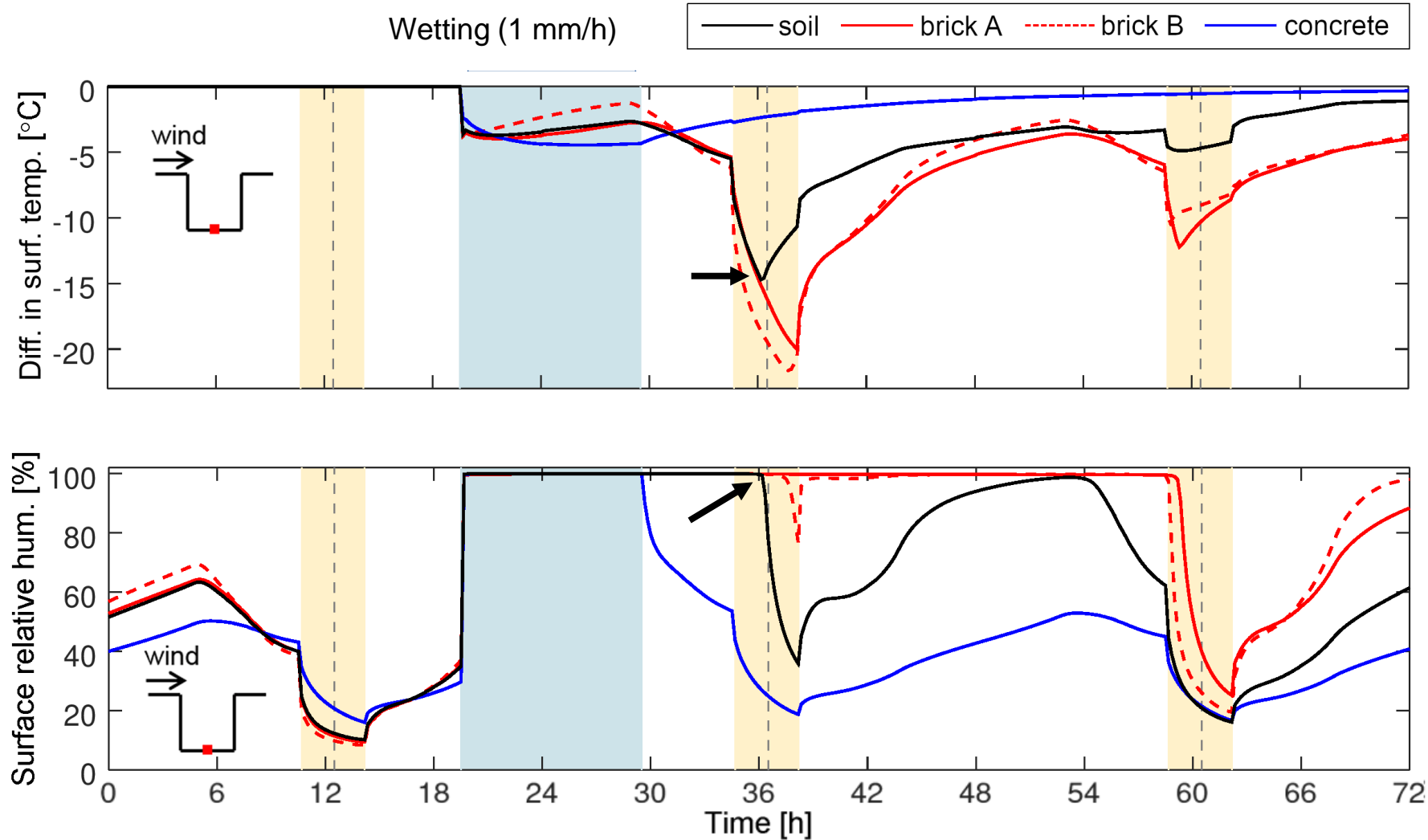


## Evaporative cooling at street surface with different pavement materials



(Kubilay et al., Sustainable Cities and Soc. **2019**, 49, 101574)

# Street canyon evaporative cooling at pavement with different materials

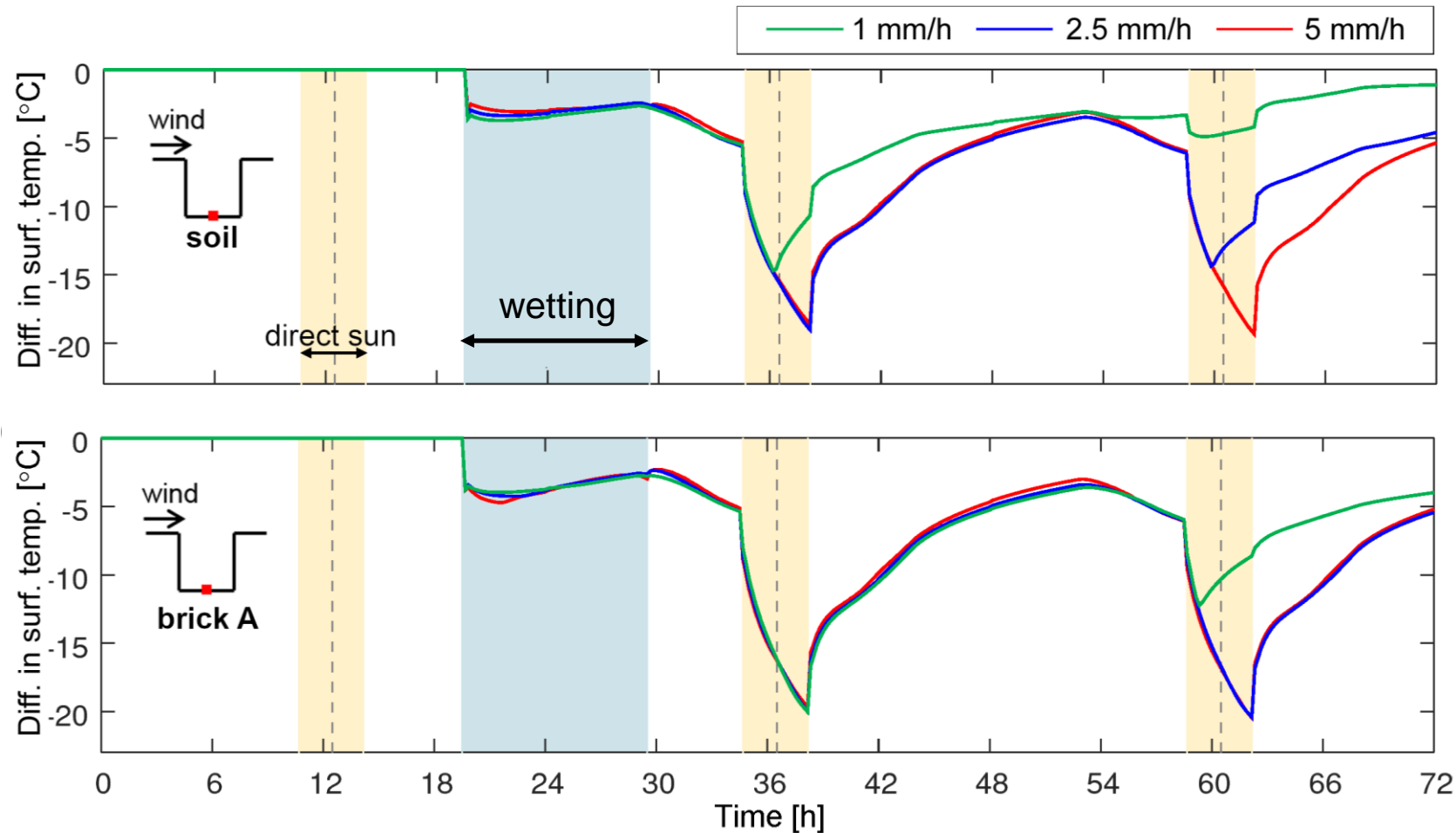


Change in street surface temperature as a result of wetting

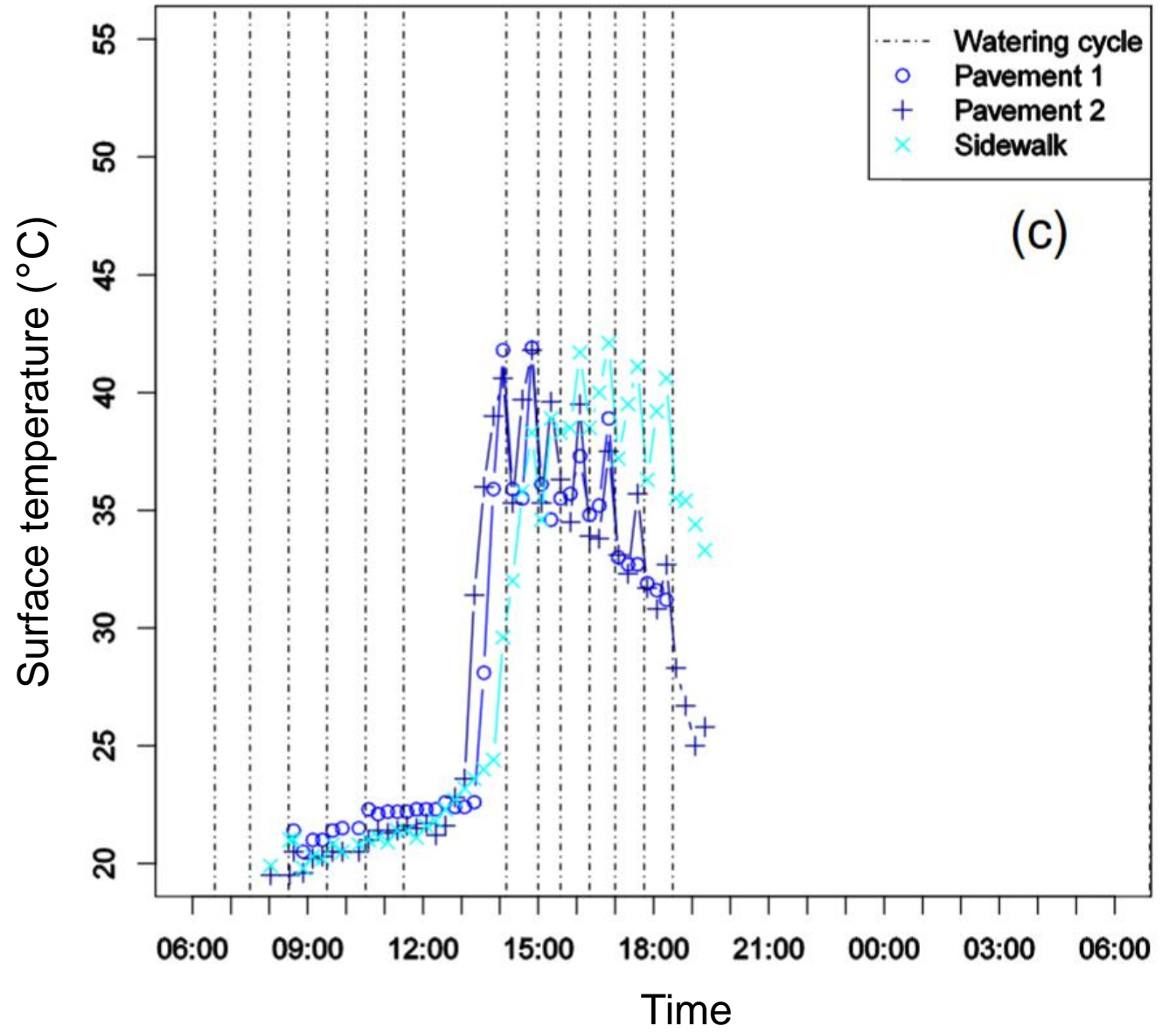
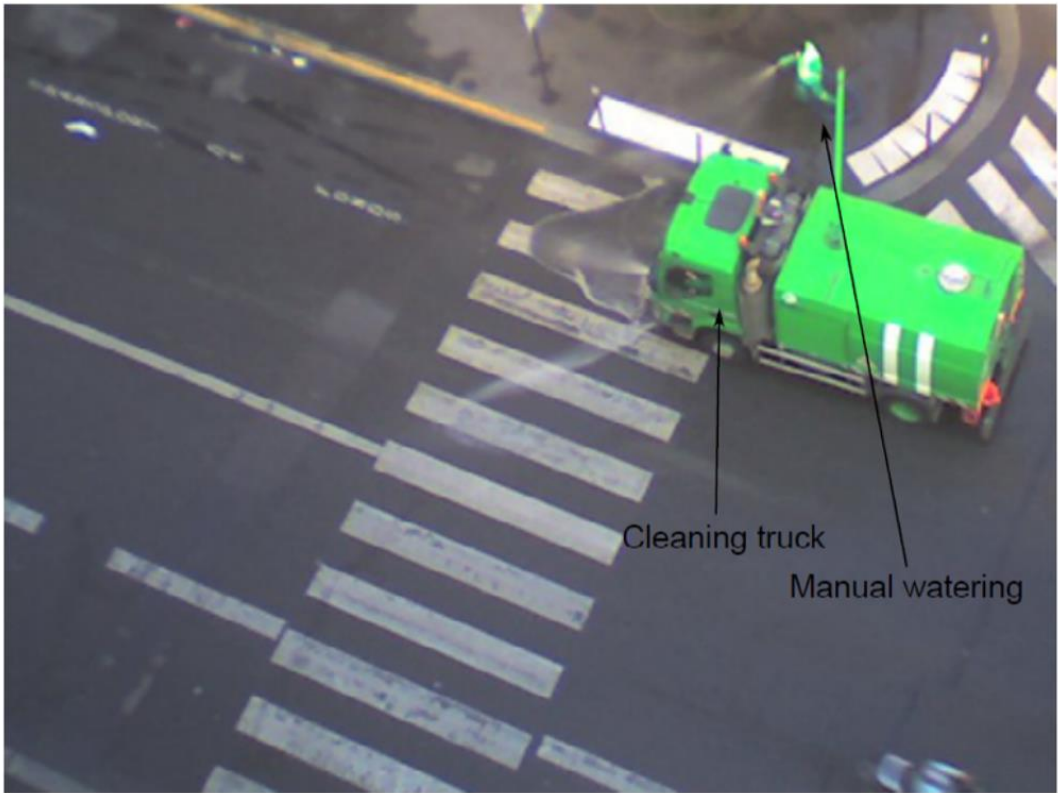
- Surface relative humidity decreases
- Rate of evaporation decreases
- surface temperature increases

(Kubilay et al., Sustainable Cities and Soc. **2019**, 49, 101574)

# Influence of wetting intensity (duration is kept the same)



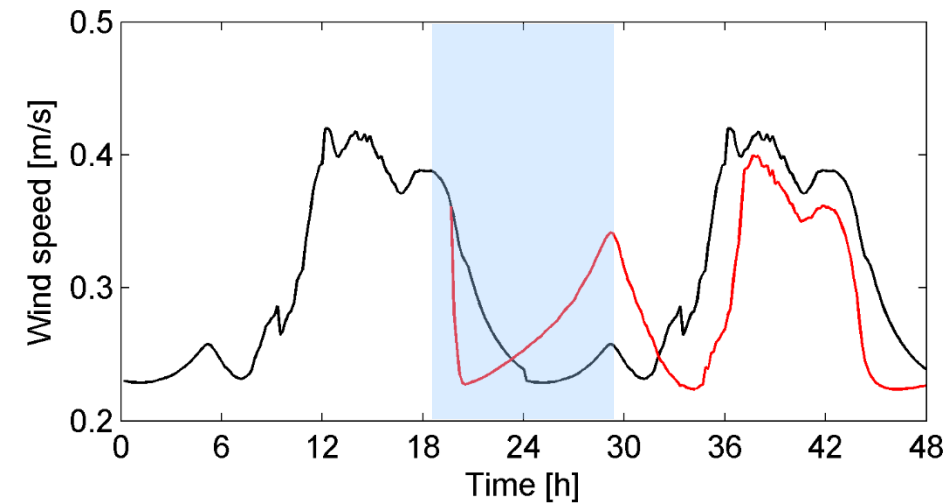
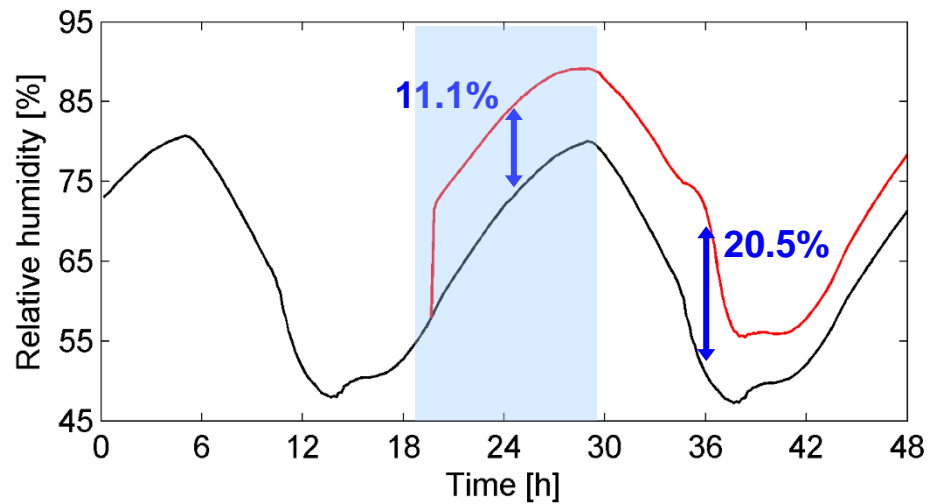
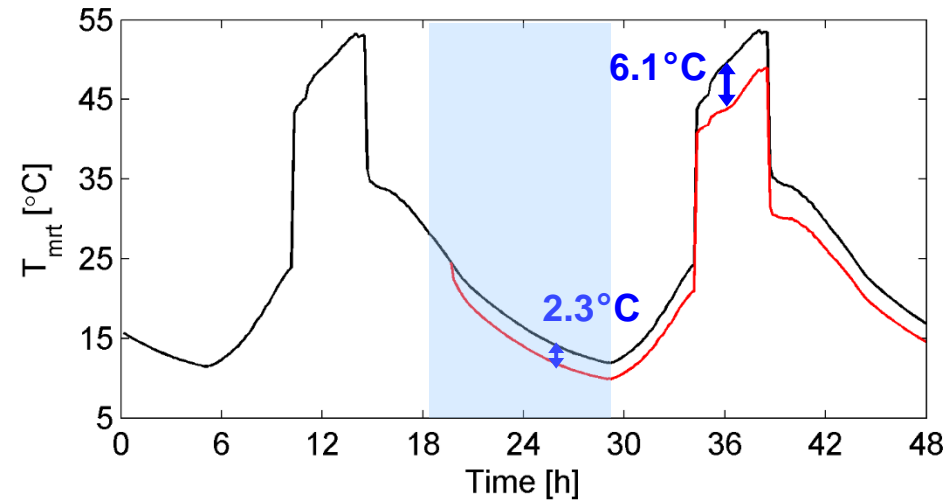
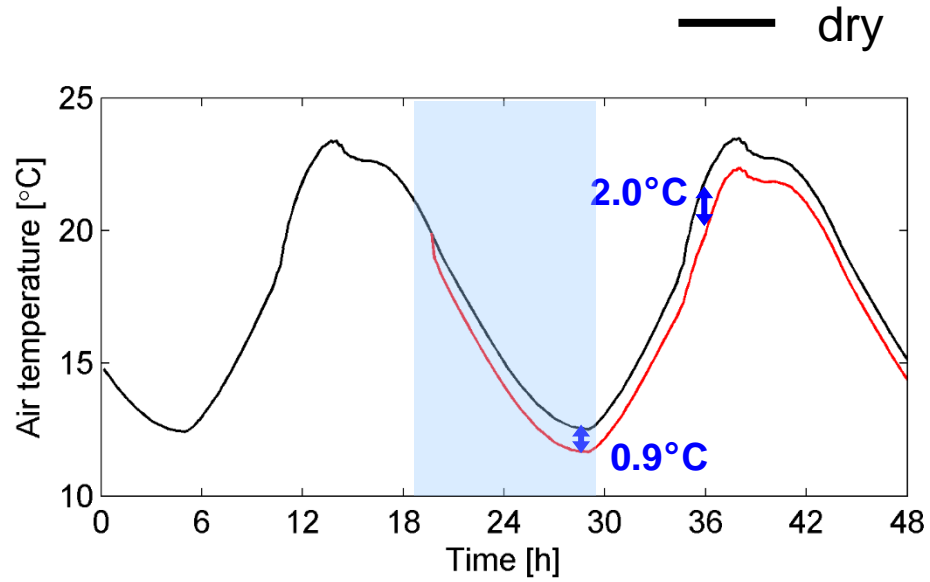
- 2<sup>nd</sup> drying phase starts earlier when wetting amount is lower
- Afterwards slower rate of evaporation → temperature increases



(Hendel et al., Urban Climate **2014**, 10, 189-200)

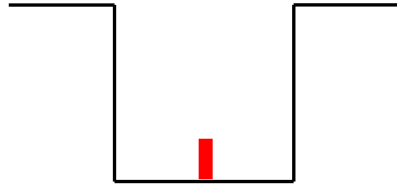
# Influence of wetting on thermal comfort variables

- Air temperature
- Mean radiant temperature
- Relative humidity
- Wind speed

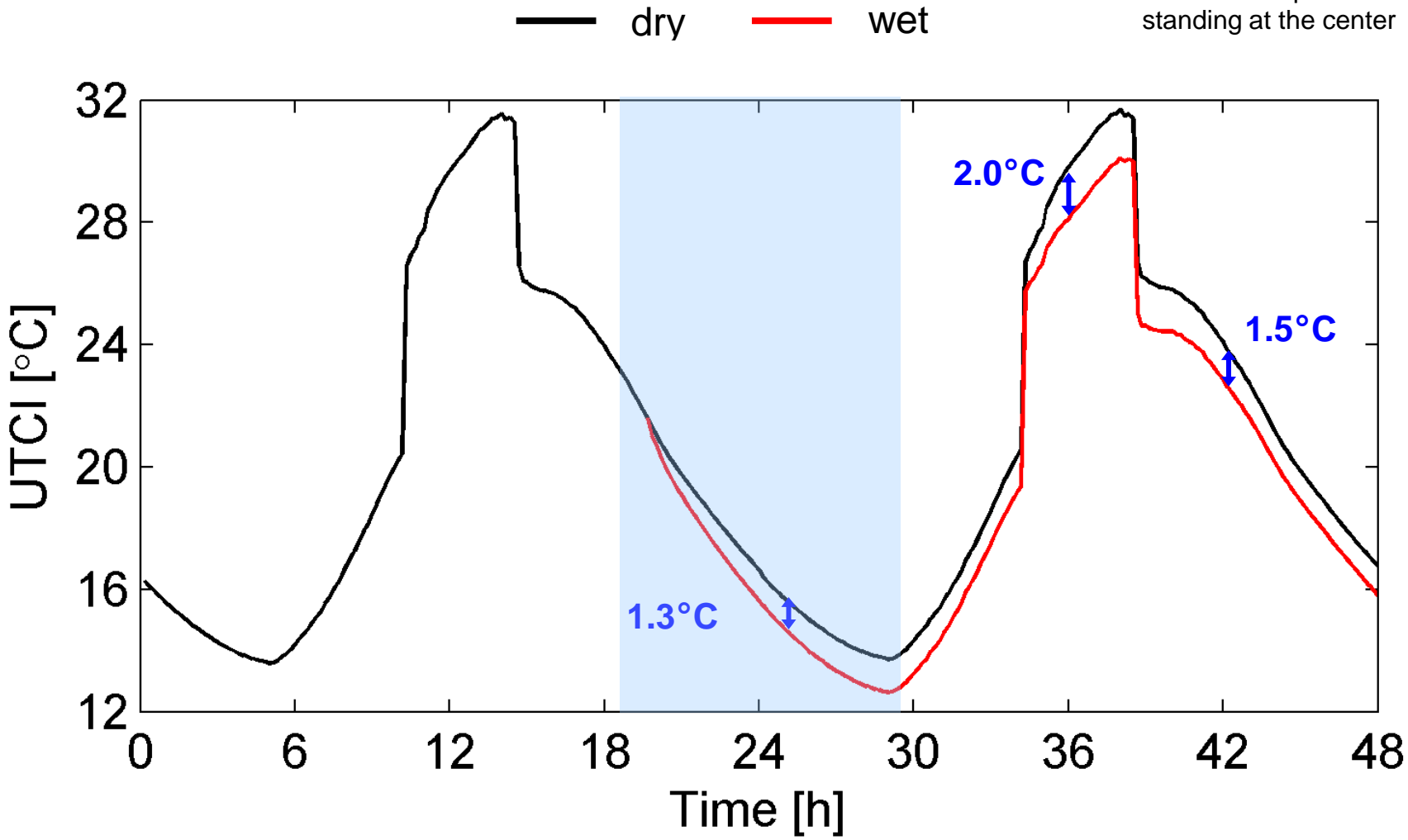




# Resulting UTCI (Universal Thermal Climate Index)



UTCI for a person standing at the center



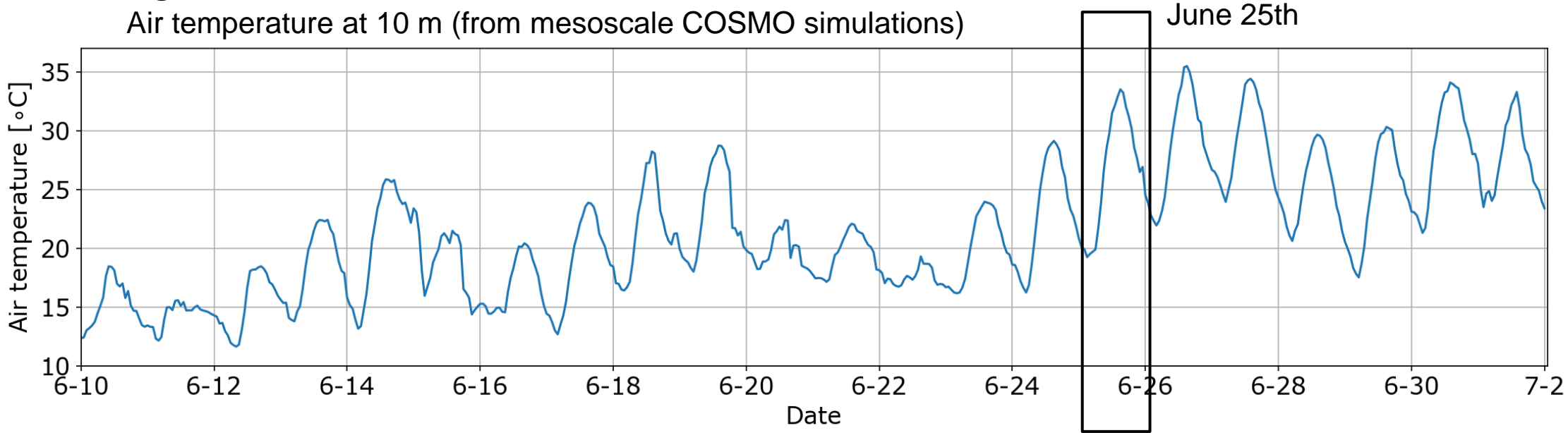
# Heat wave mitigation: case study Münsterhof, Zürich



(Photo: Adrian Michael / CC BY-SA 3.0)

# Meteorological conditions – heat wave 2019 June

Air temperature at 10 m (from mesoscale COSMO simulations)



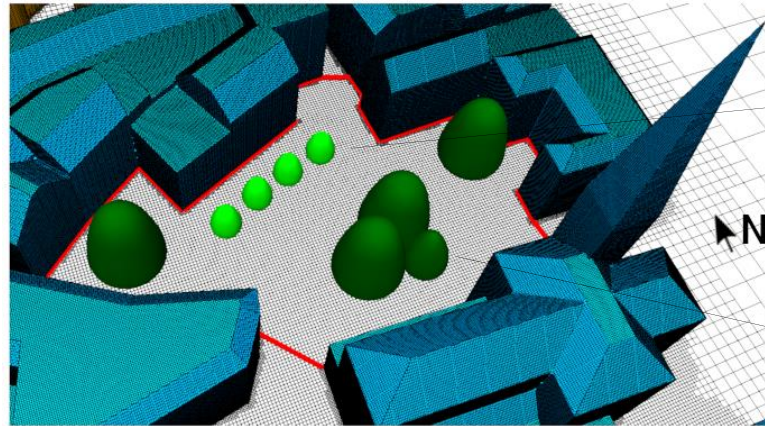
Artificial wetting



2-layer porous pavement

6 mm between 08:00-08:20

Trees



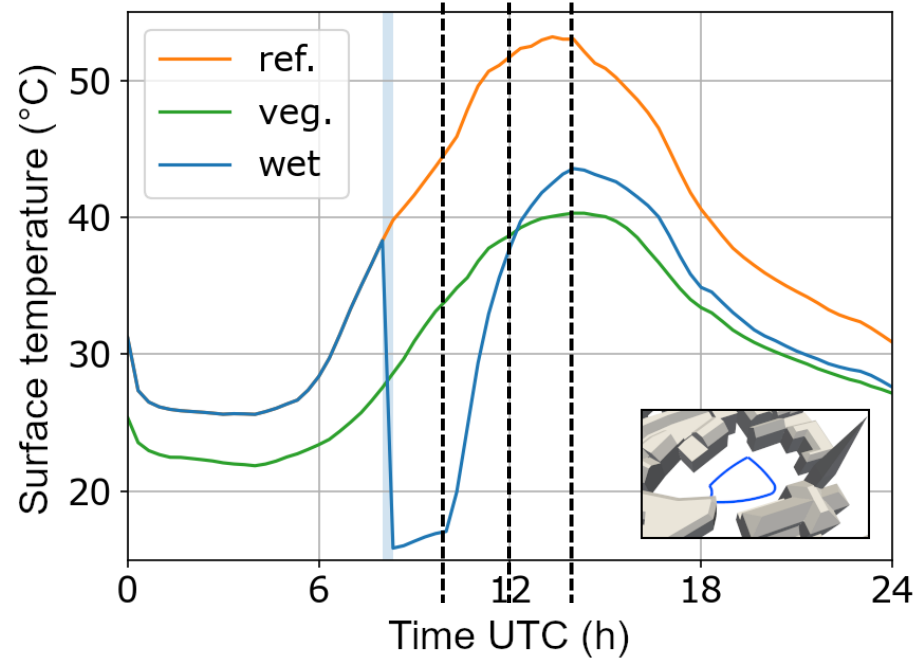
*Field maple*  
10-12 m

LAD = 2 m<sup>2</sup>/m<sup>3</sup>

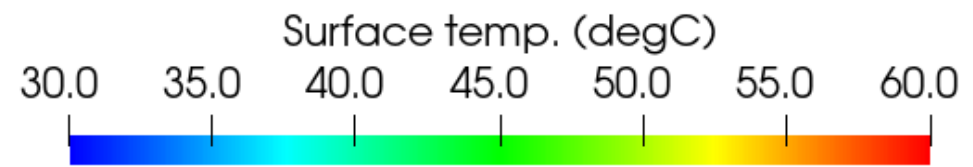
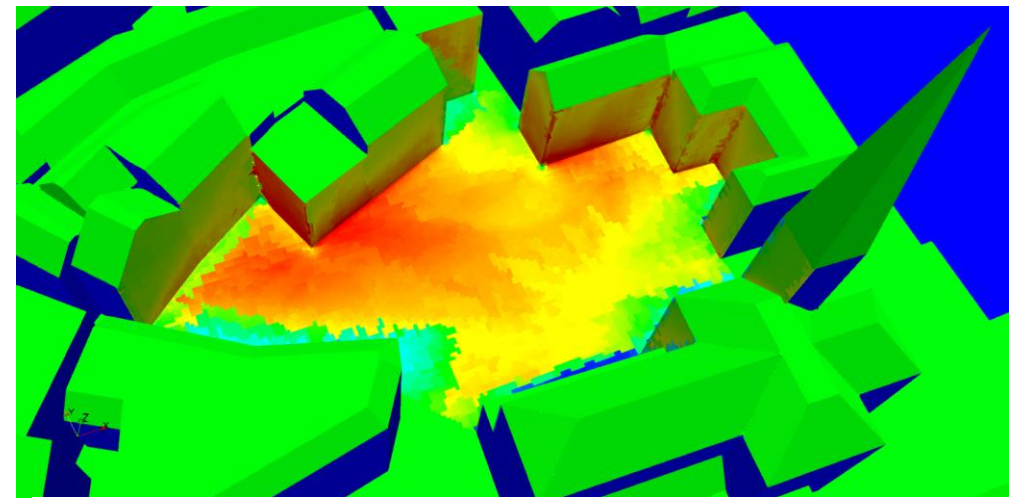
*Silver linden*  
25-30 m

LAD = 4 m<sup>2</sup>/m<sup>3</sup>

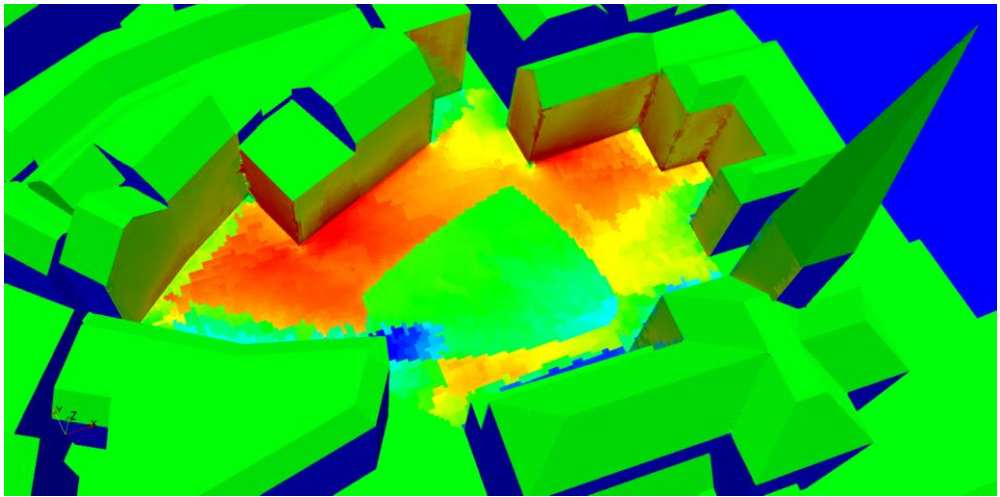
# Comparison of pavement surface temperature



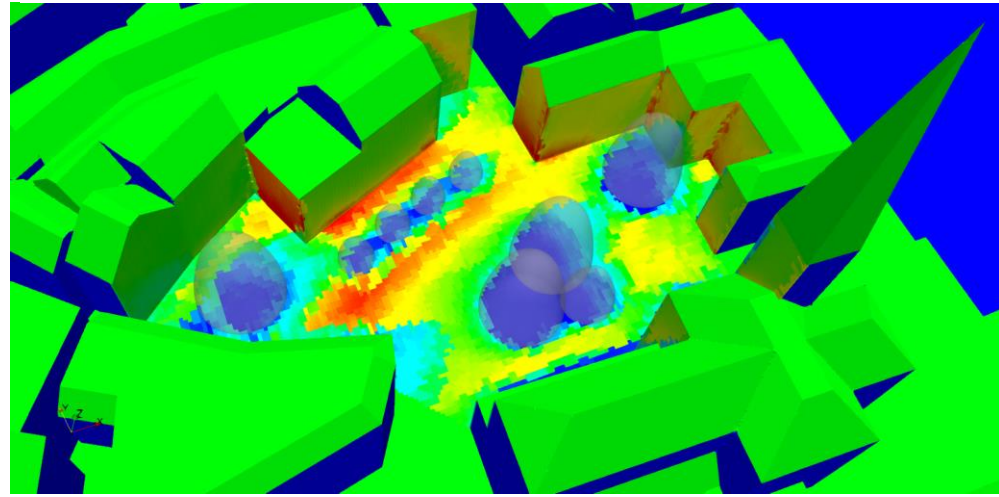
Ref.



Wet



Veg.



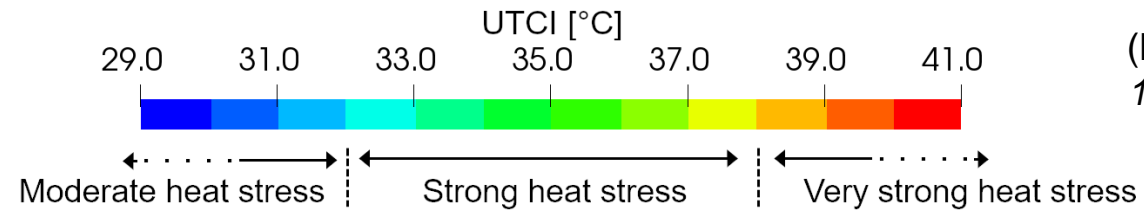
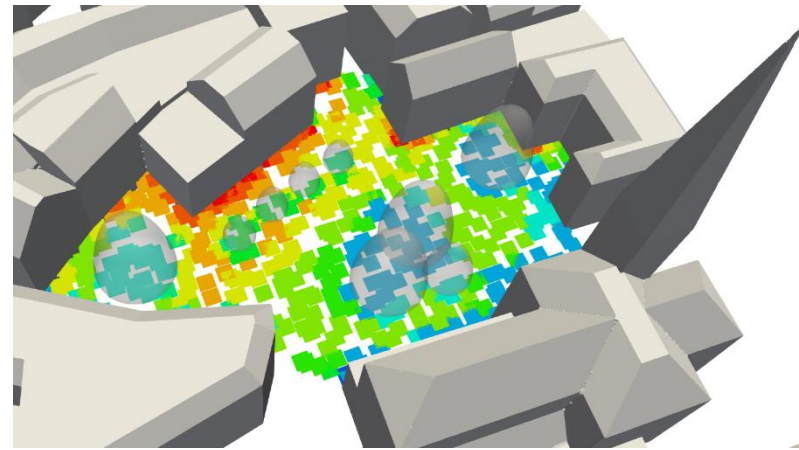
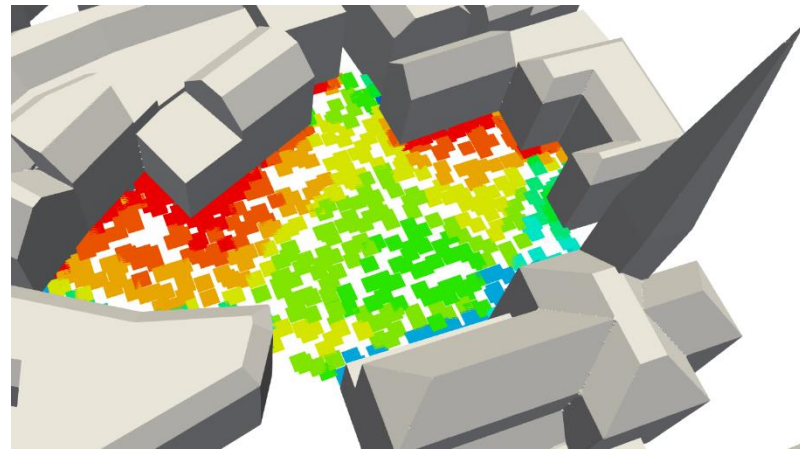
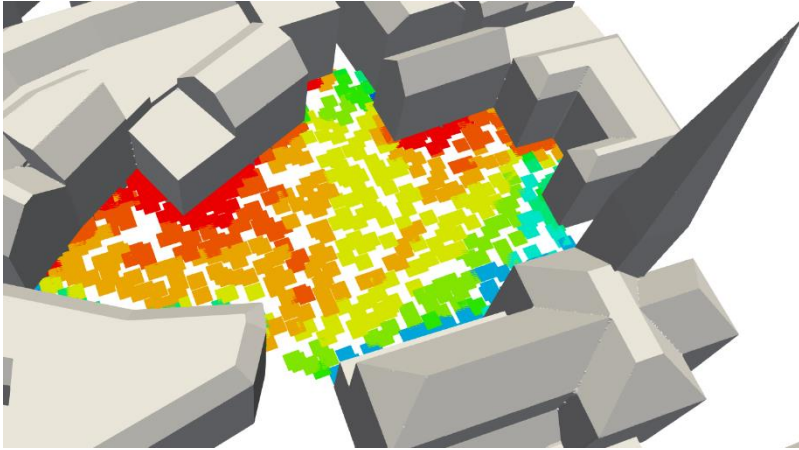
# Thermal comfort – Universal thermal climate index (UTCI)

Ref.

Wet

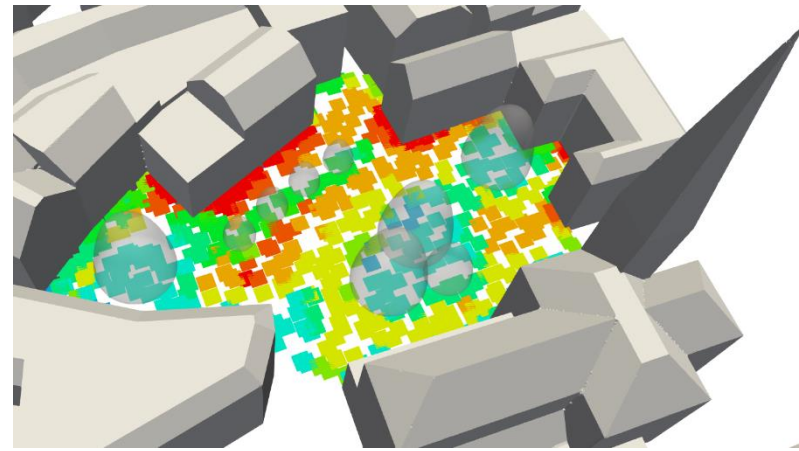
Veg.

12:00



(Kubilay et al., Atmosphere **2020**, 11(12), 1313)

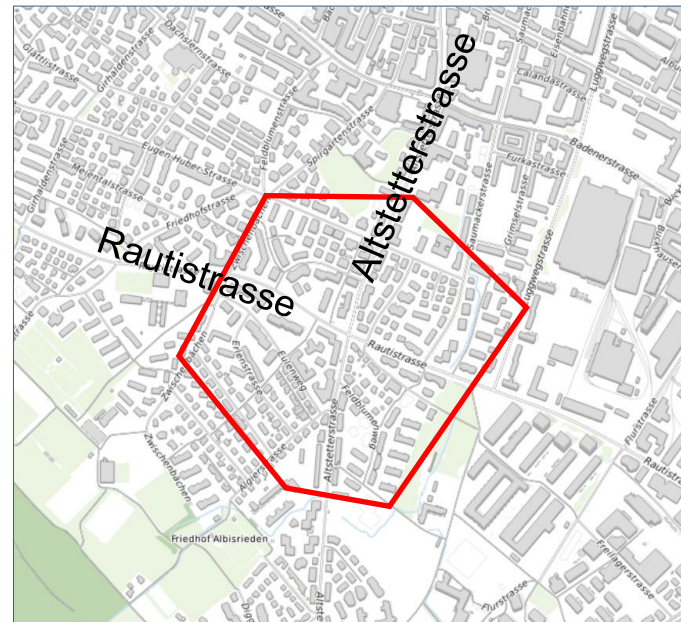
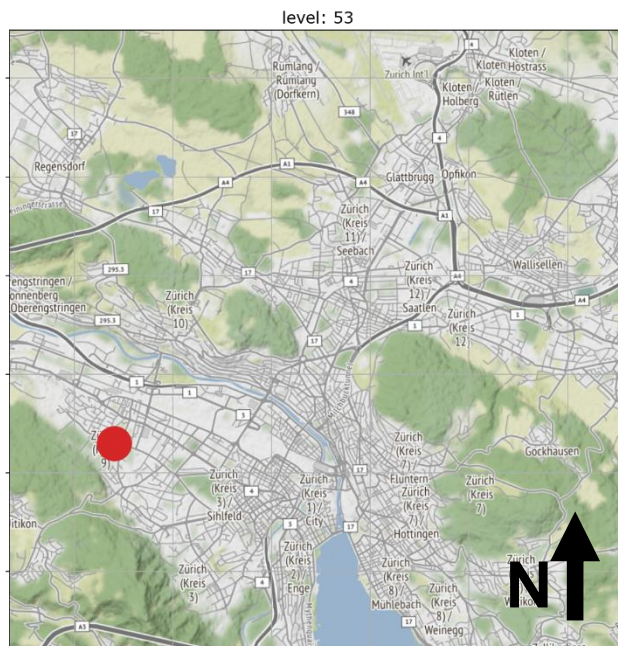
14:00



# Overview

- Introduction
  - Urban heat island (UHI) effect
  - Causes of UHI
  - Outdoor thermal comfort
  - Countermeasures to UHI
  - Wind flow in urban environment
- **Modeling and application examples**
  - Numerical modeling of microclimate
  - Evaporative cooling
  - **Impact of urban trees and high-rise buildings**

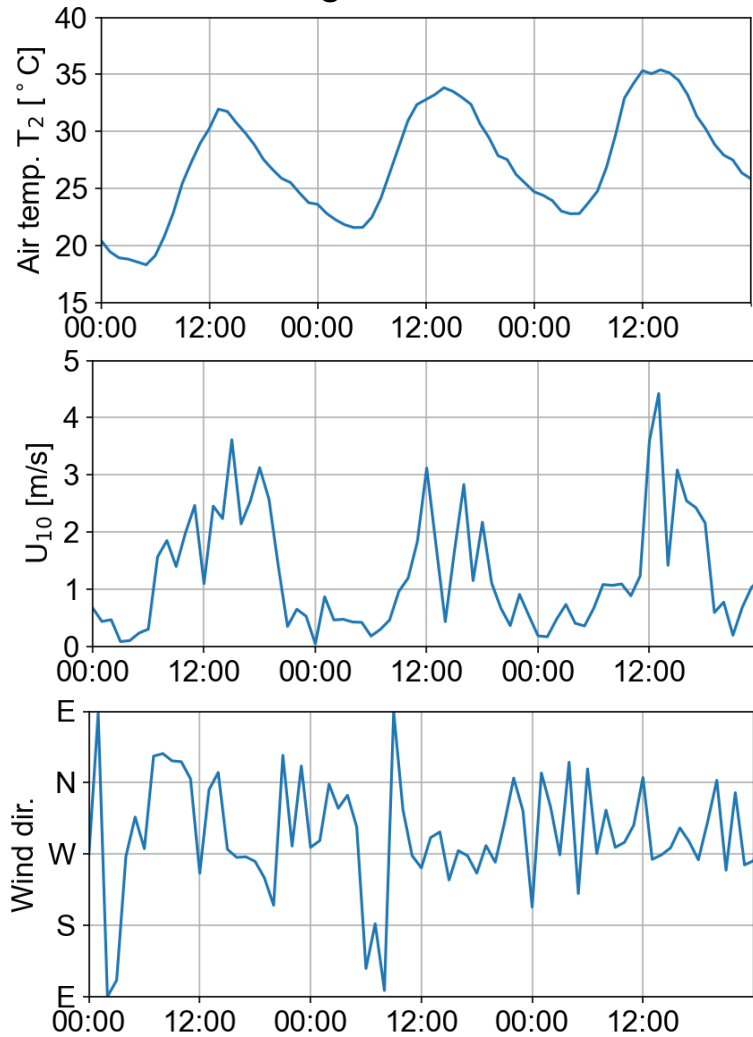
- Impact of urban morphology and urban street trees
  - Densification with high-rise buildings
  - Wind sheltering & reduction in ventilation
  - Cooling by shading & transpiration



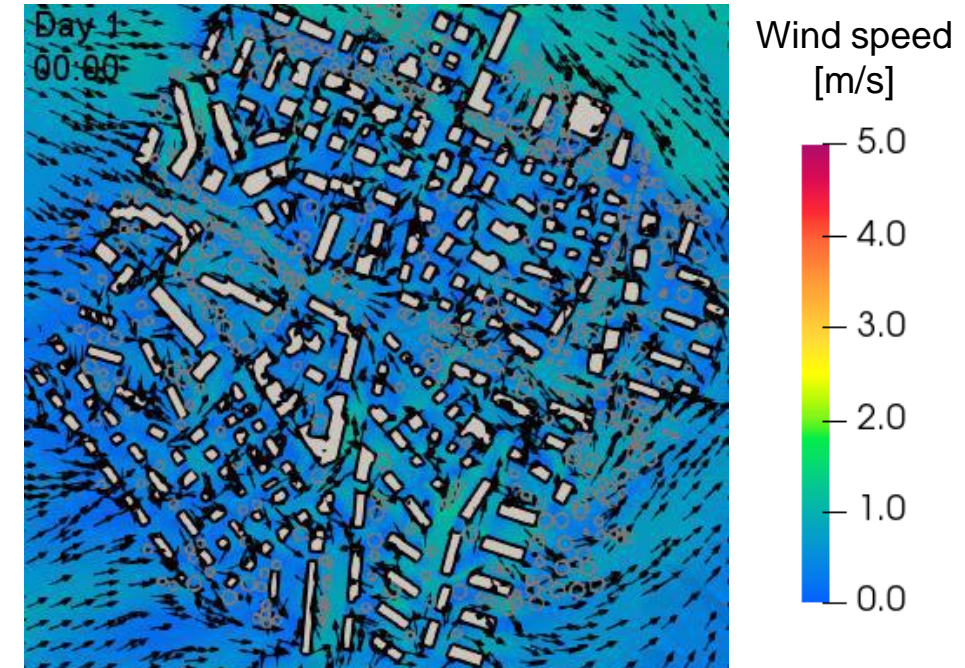
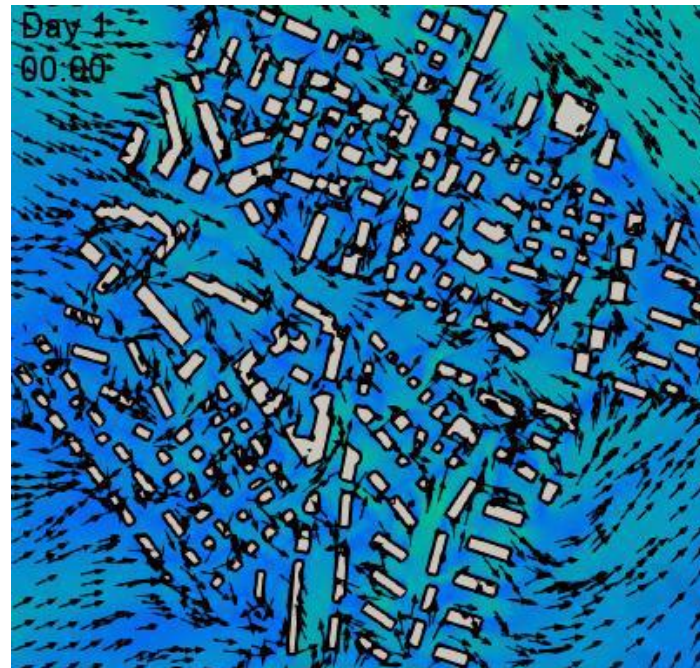
# Meteorological conditions during heat wave (July 29 – 31, 2018)



## Meteorological conditions



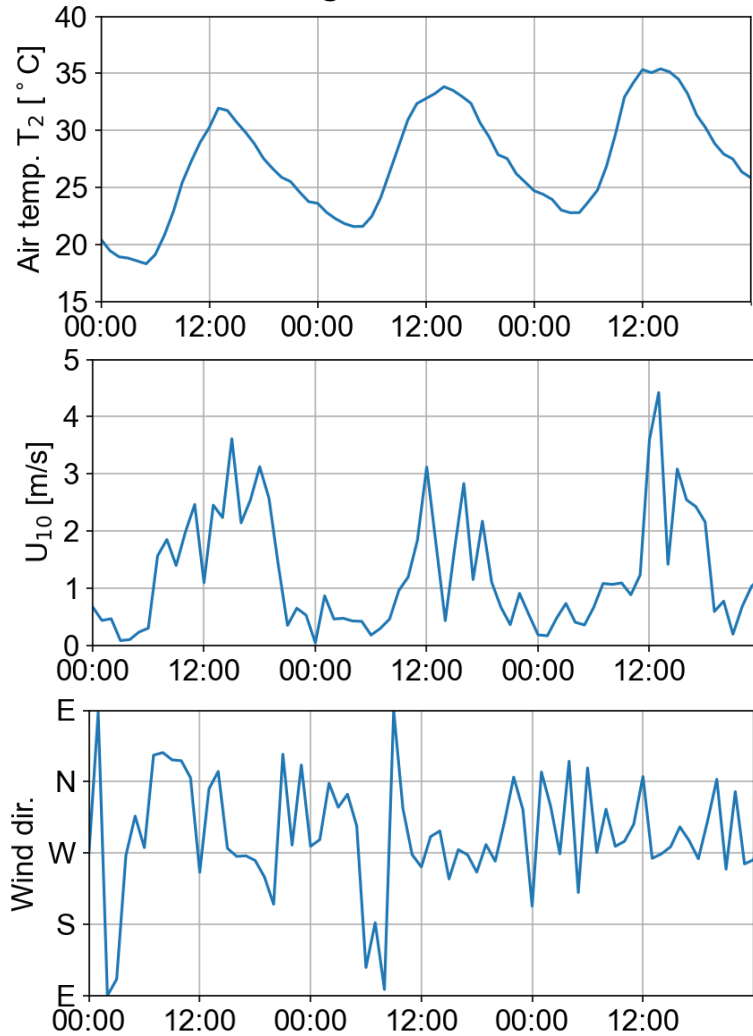
Microscale velocity magnitude at 2 m height  
without trees      trees with LAD = 1 m<sup>2</sup>/m<sup>3</sup>



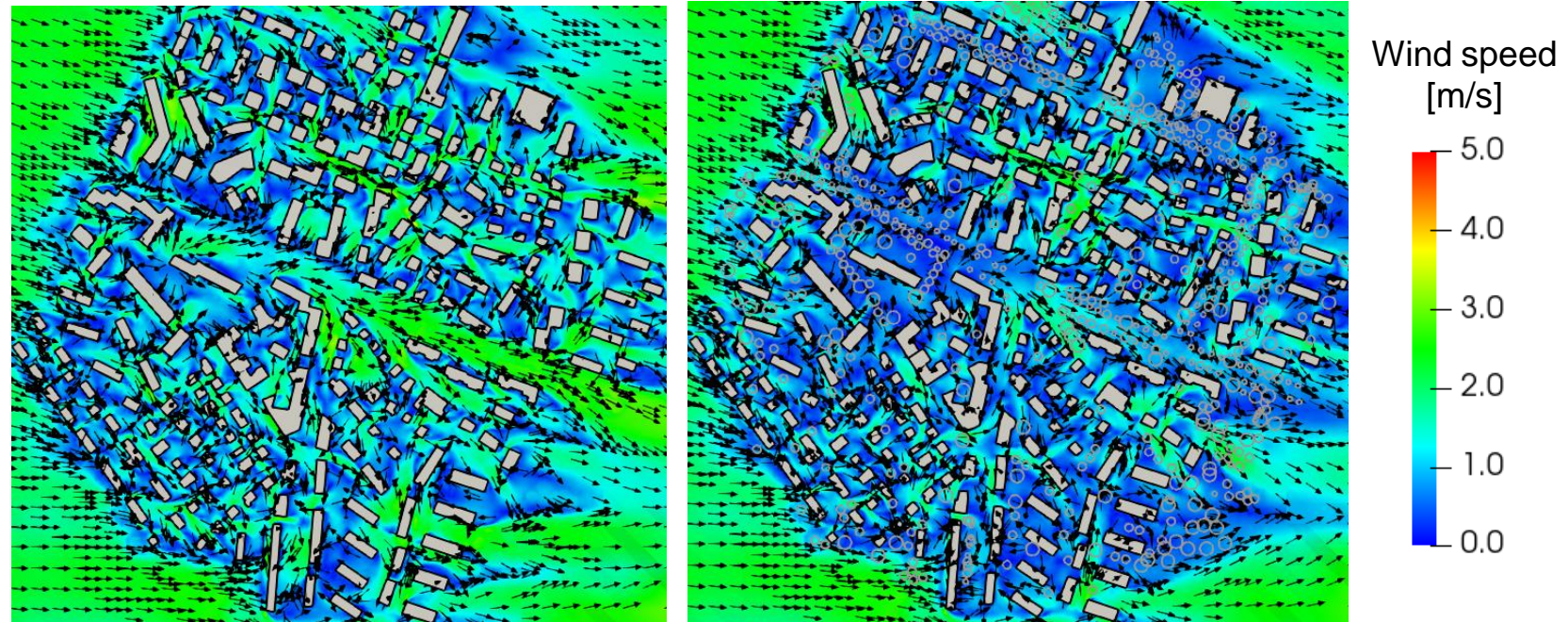


# Meteorological conditions during heat wave (July 29 – 31, 2018)

## Meteorological conditions



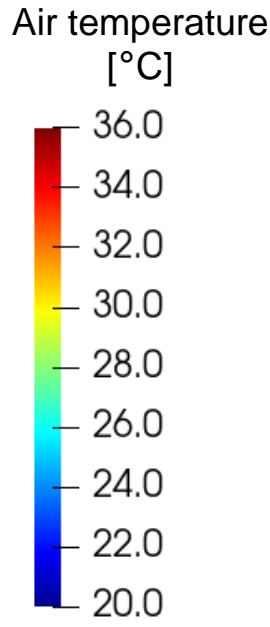
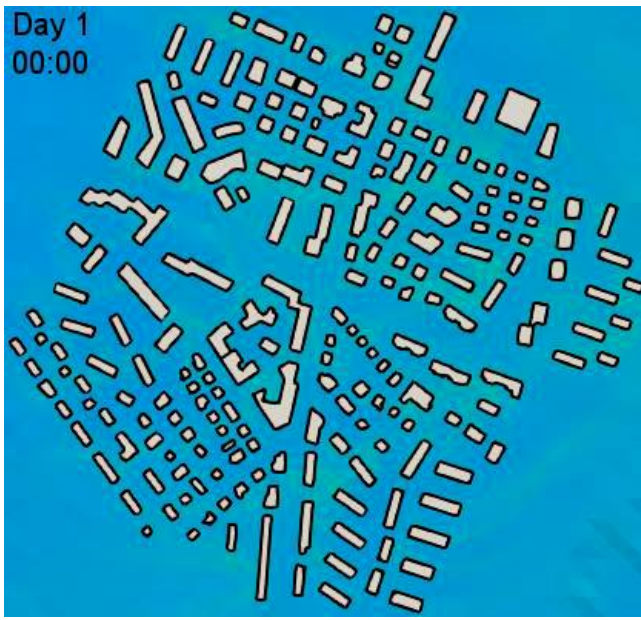
## Microscale velocity magnitude at 2 m height without trees      trees with LAD = $1 \text{ m}^2/\text{m}^3$



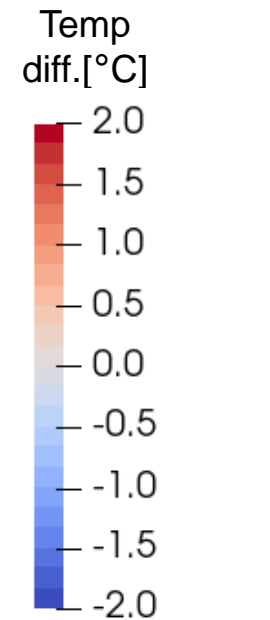
Day 3, UTC 13:40

# Air temperature at street level

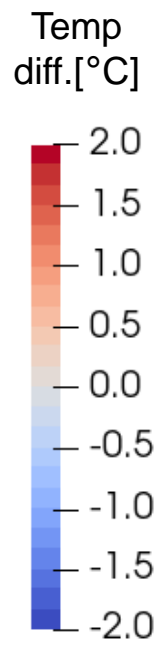
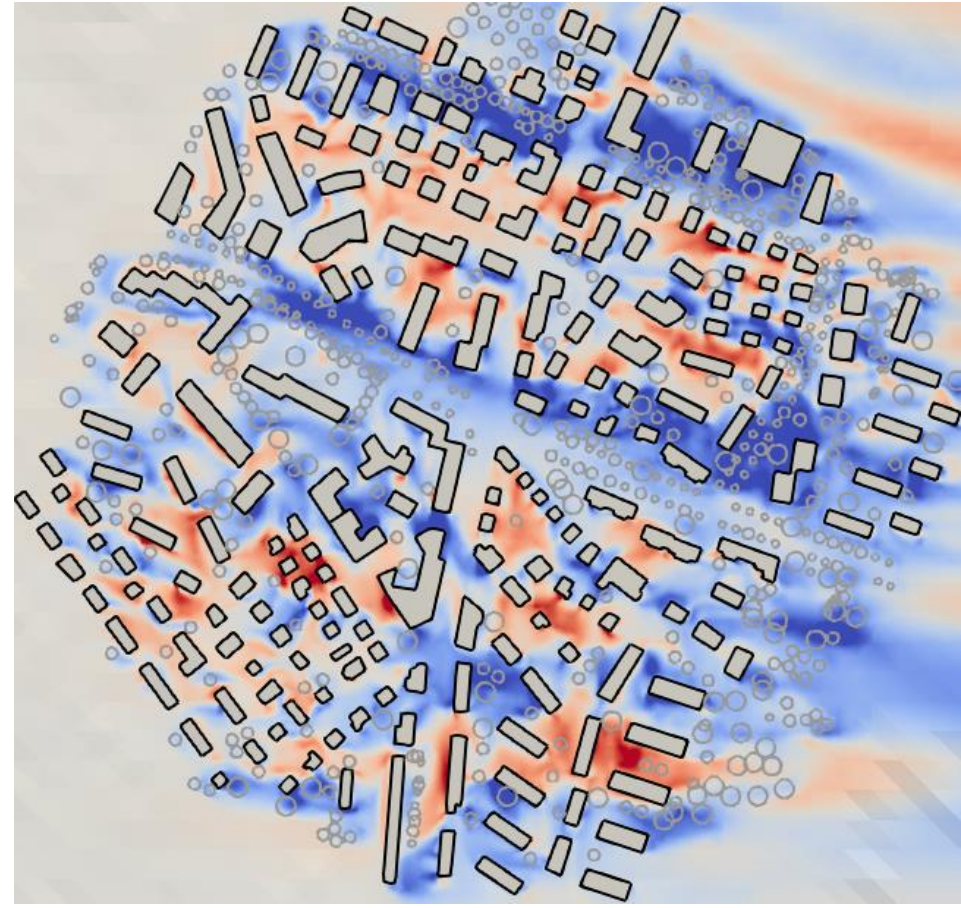
without trees



Temp. diff with trees  
LAD = 1 m<sup>2</sup>/m<sup>3</sup>



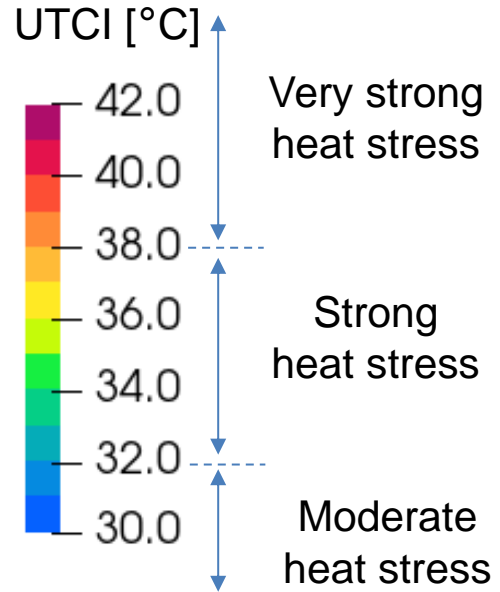
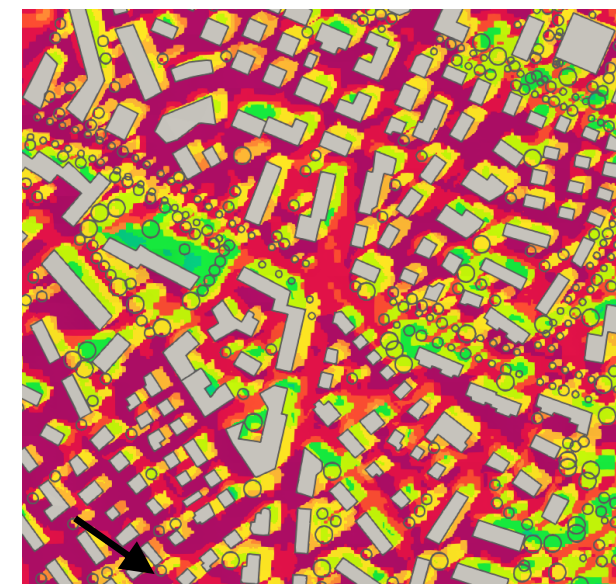
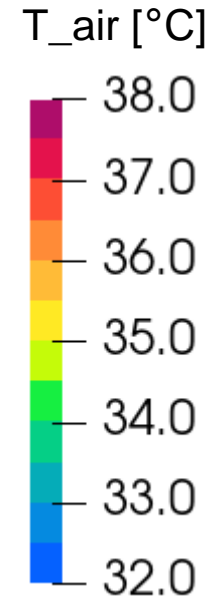
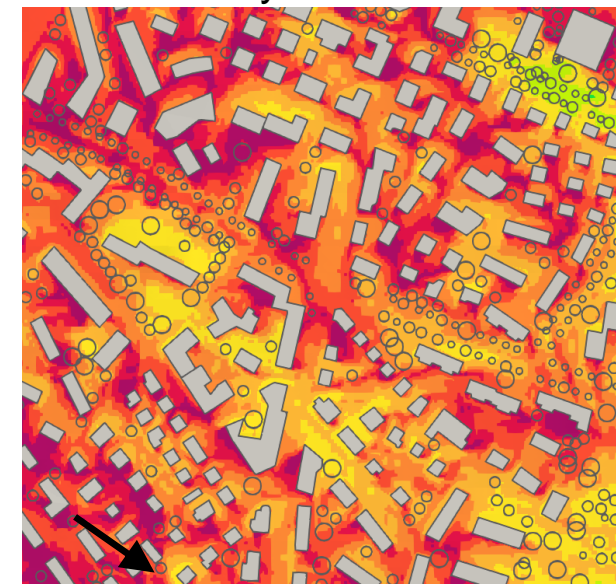
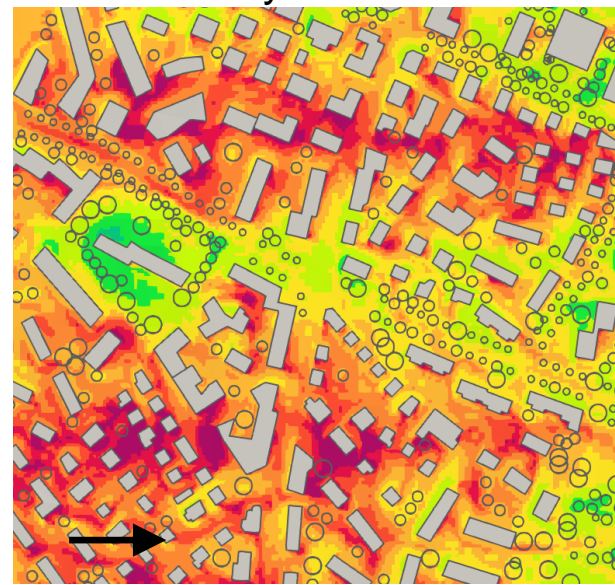
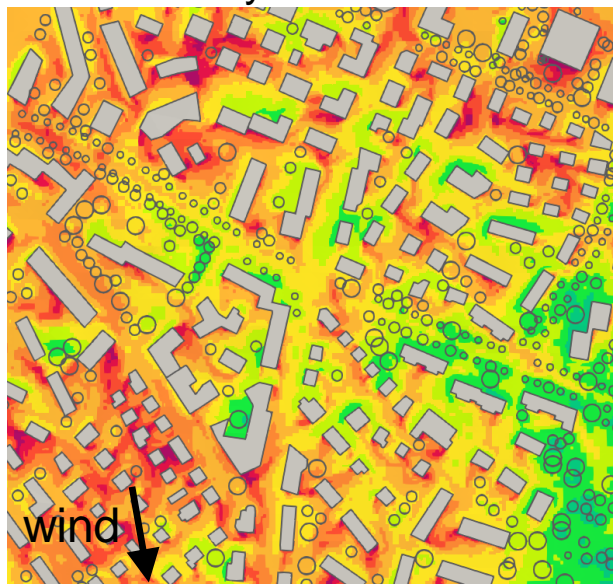
Day 3, UTC 13:40



3<sup>rd</sup> day UTC 12:00

3<sup>rd</sup> day UTC 13:00

3<sup>rd</sup> day UTC 14:00



# Overview

## ■ Introduction

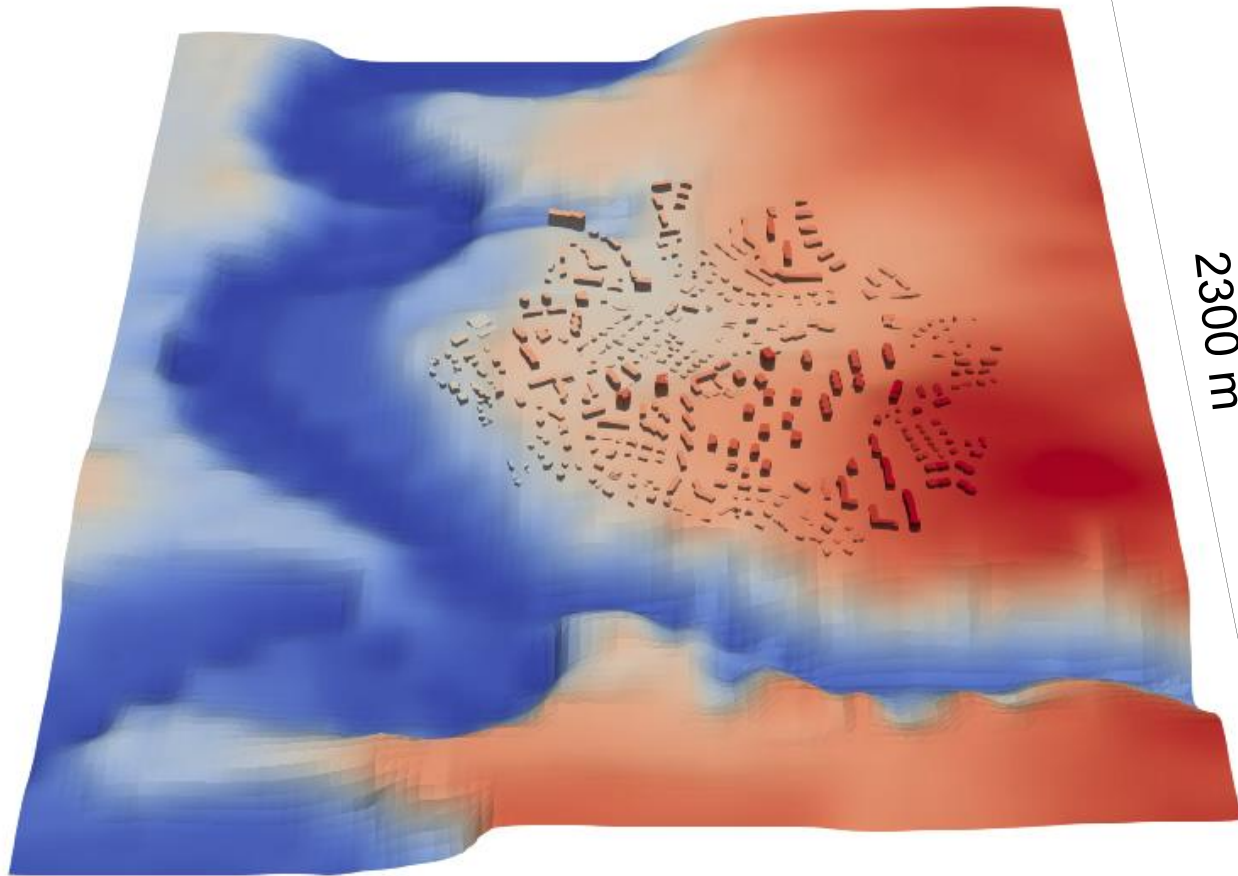
- Urban heat island (UHI) effect
- Causes of UHI
- Outdoor thermal comfort
- Countermeasures to UHI
- Wind flow in urban environment

## ■ Modeling and application examples

- Numerical modeling of microclimate
- Evaporative cooling
- Impact of urban trees and high-rise buildings
- Case study: Schönberg, Fribourg

# Computational domain

2000 m

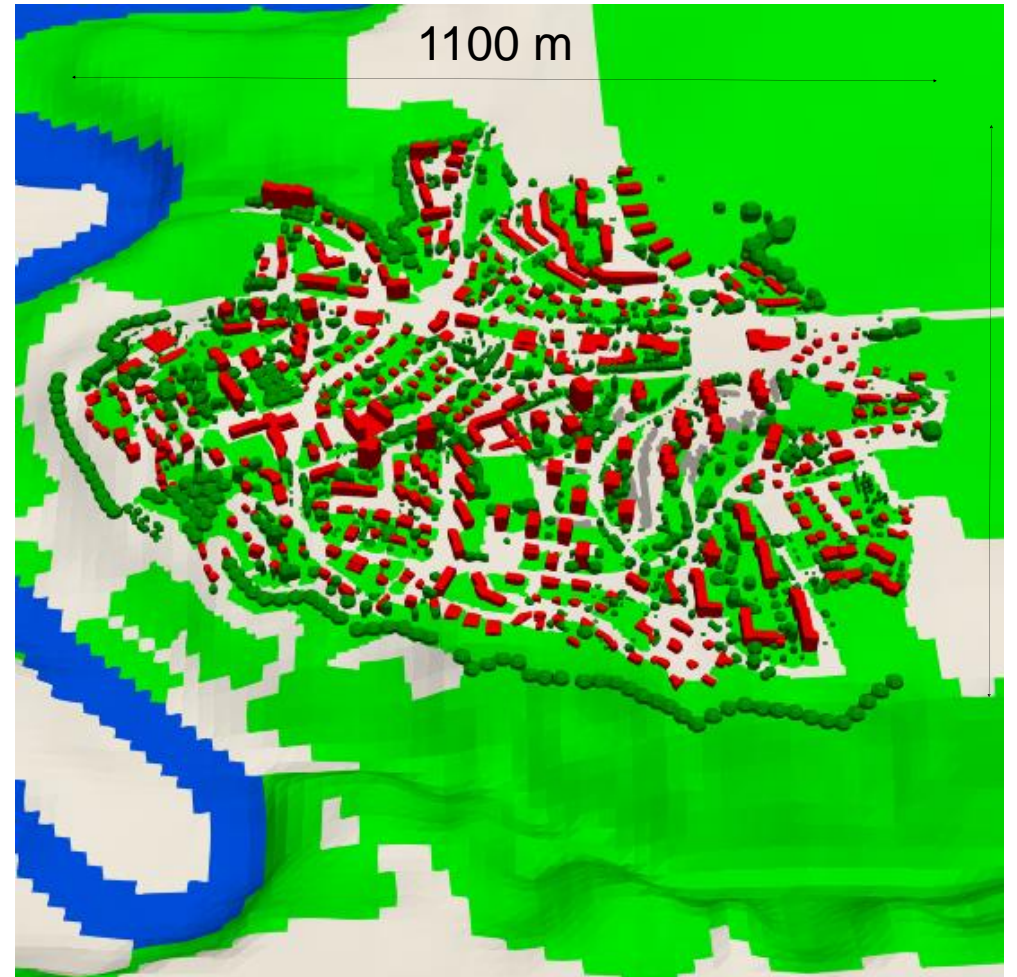


2300 m

Coords z [m]



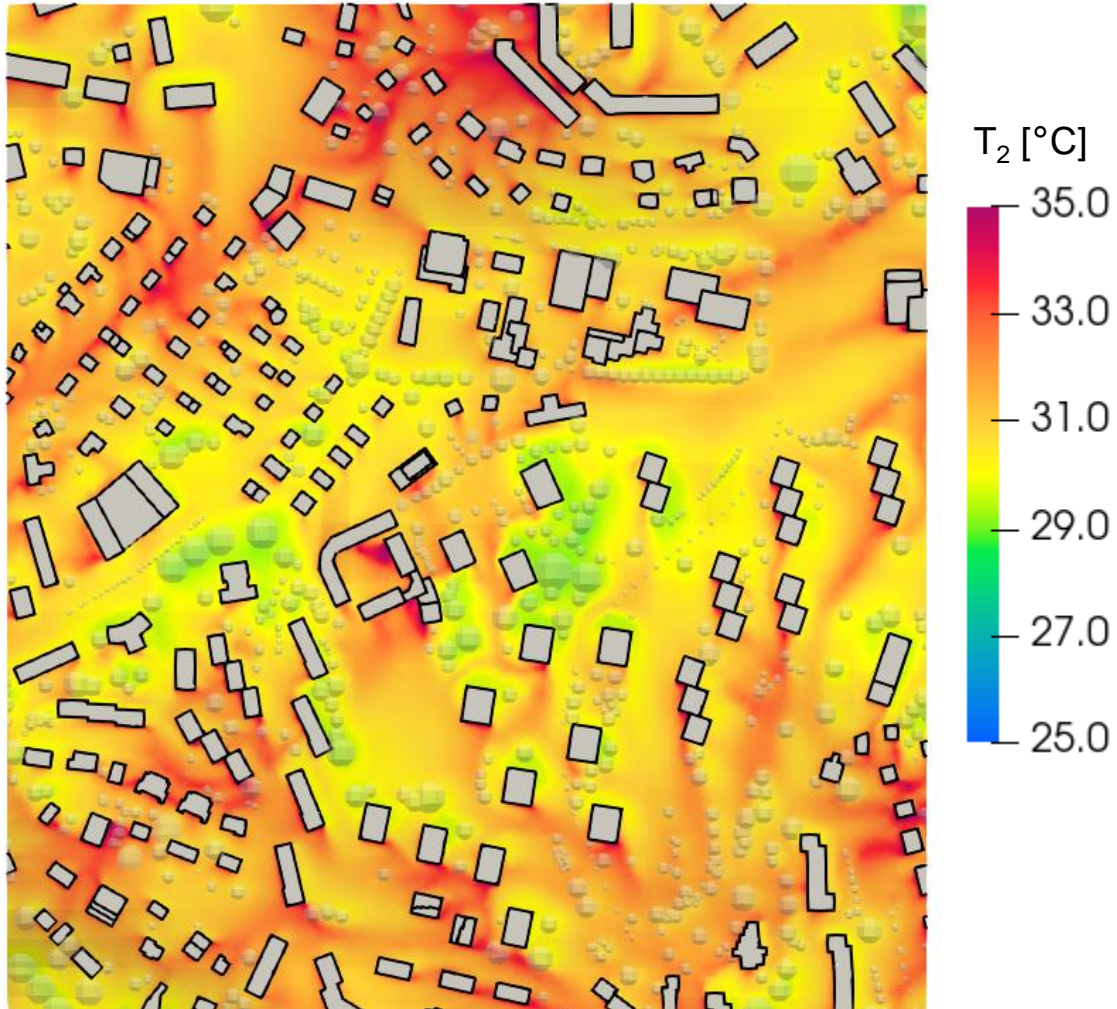
1100 m



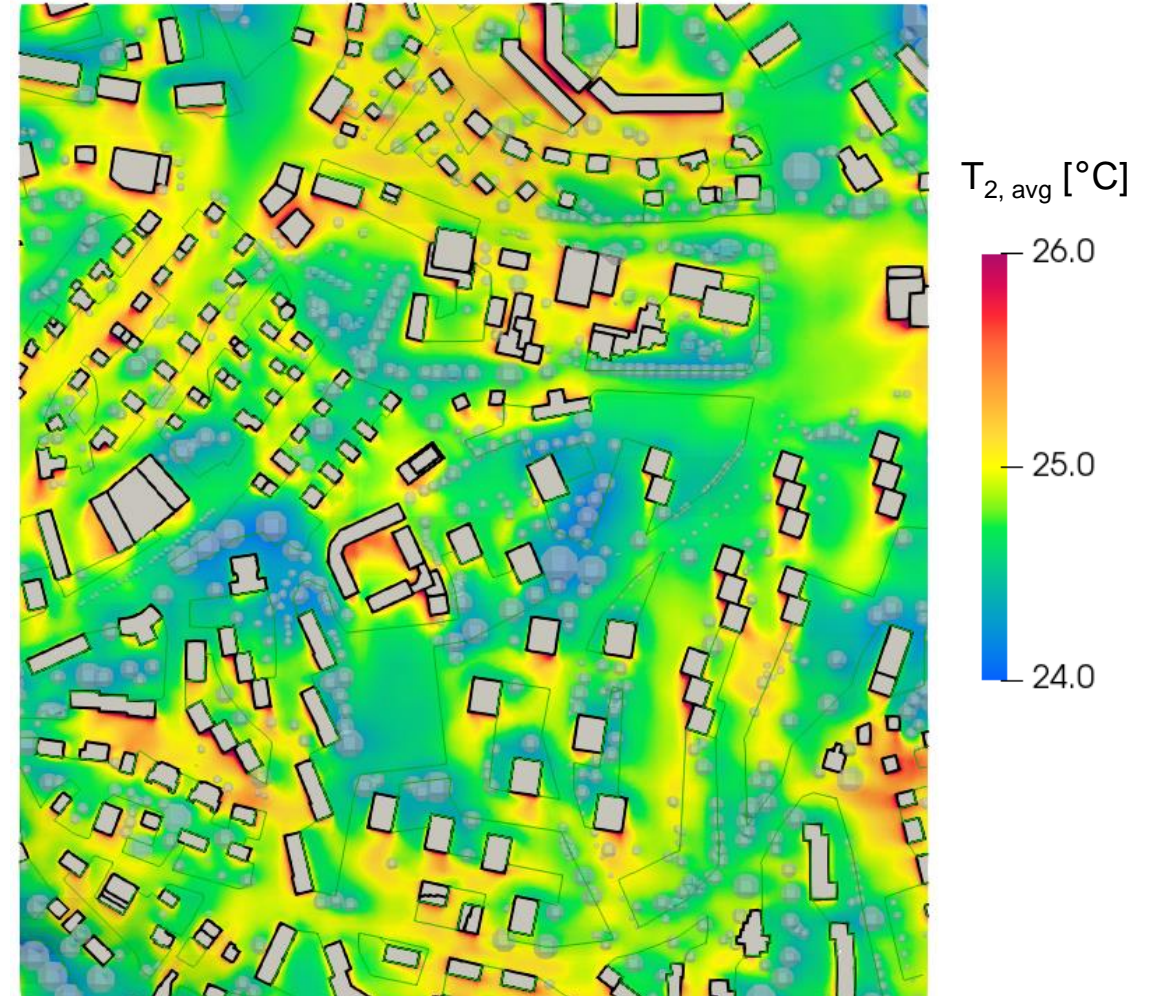
1100 m

# Case FR-Schönberg – Air temperature

14:00 UTC (CEST 16:00) at 2 m height

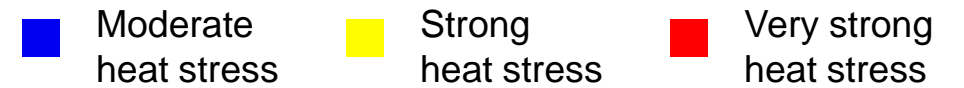
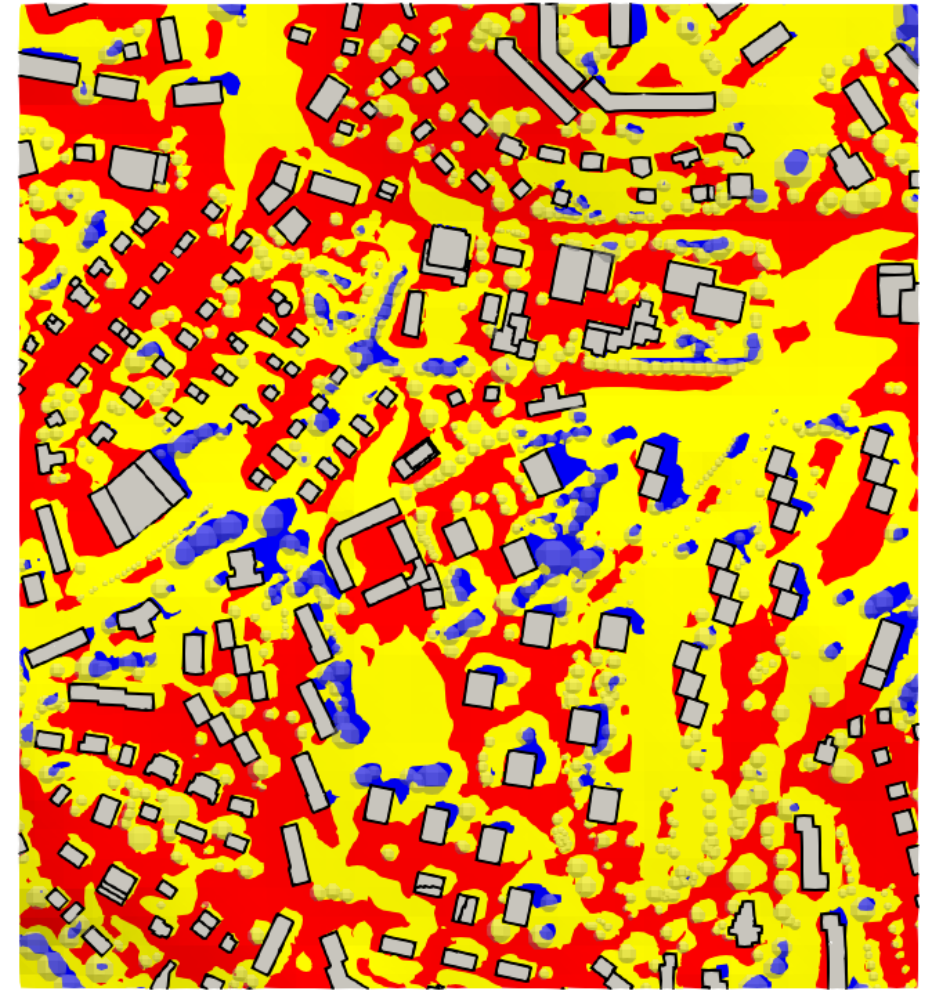
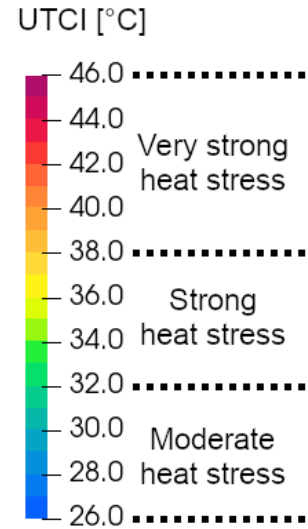
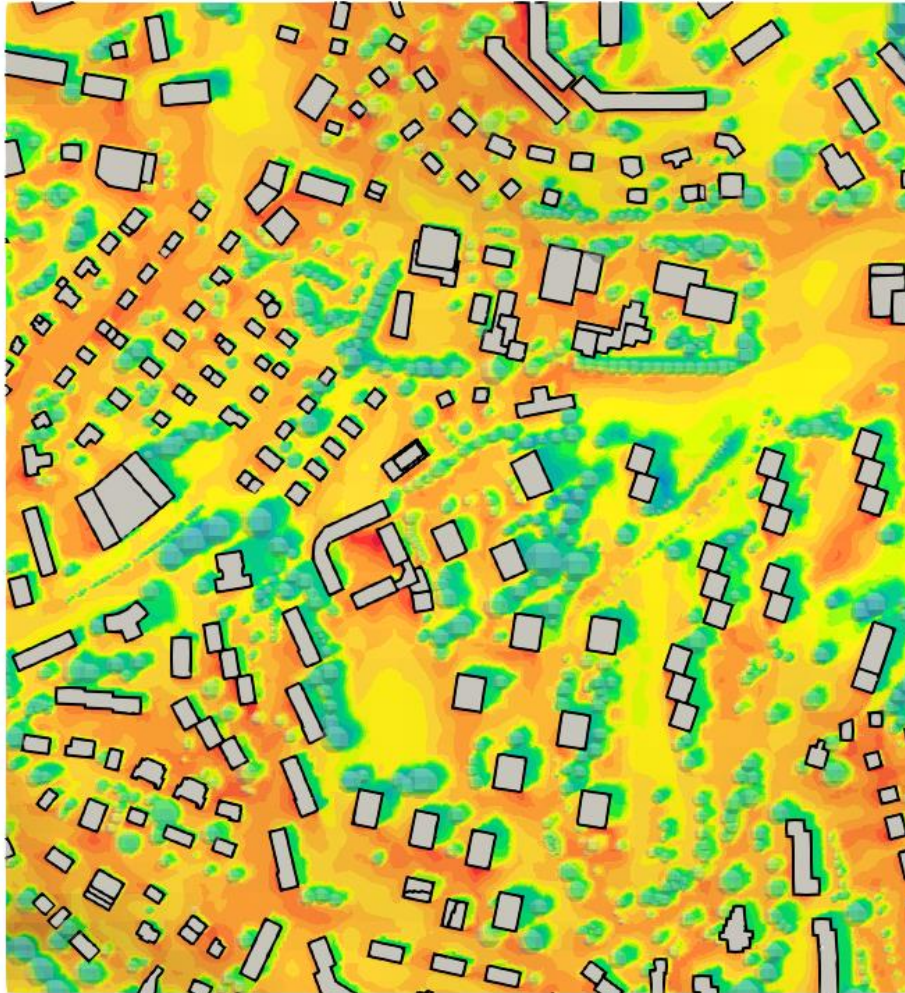


Daily average air temperature



# Case FR-Schönberg – UTCI

14:00 UTC (CEST 16:00) at 2 m height



# Overview

## ■ Introduction

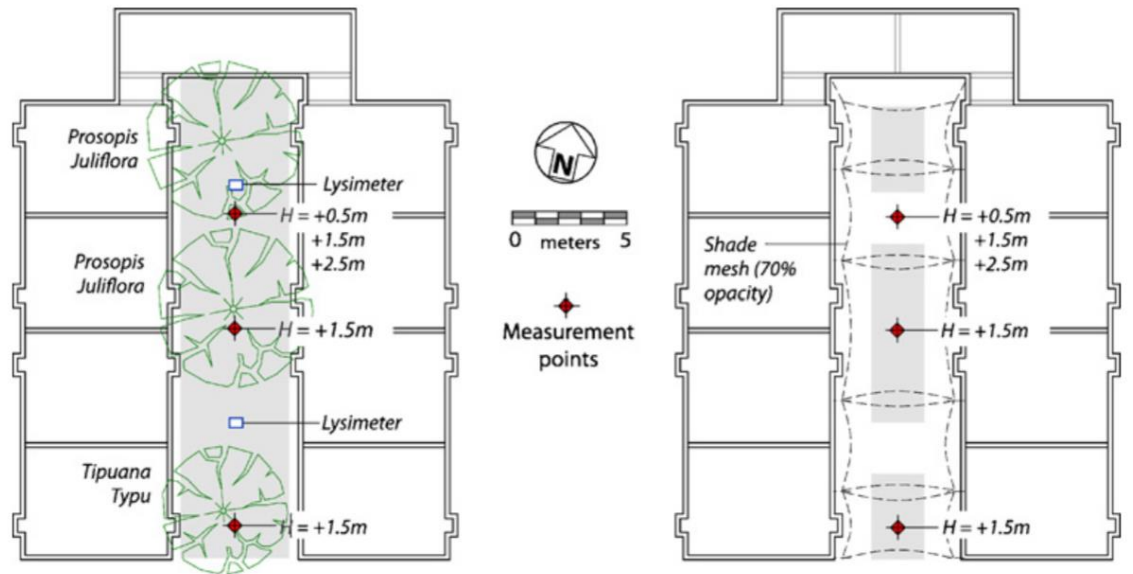
- Urban heat island (UHI) effect
- Causes of UHI
- Outdoor thermal comfort
- Countermeasures to UHI
- Wind flow in urban environment

## ■ Modeling and application examples

- Numerical modeling of microclimate
- Evaporative cooling
- Impact of urban trees and high-rise buildings
- Case study: Schönberg, Fribourg
- Shading devices



# Measured air temperature in a courtyard

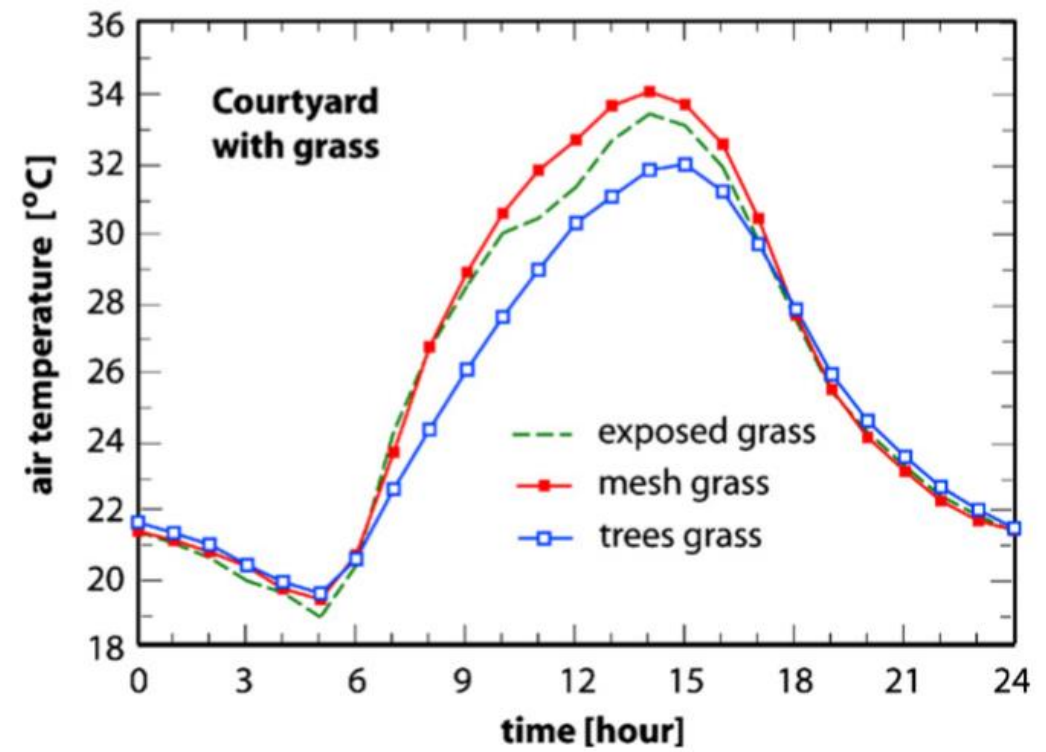
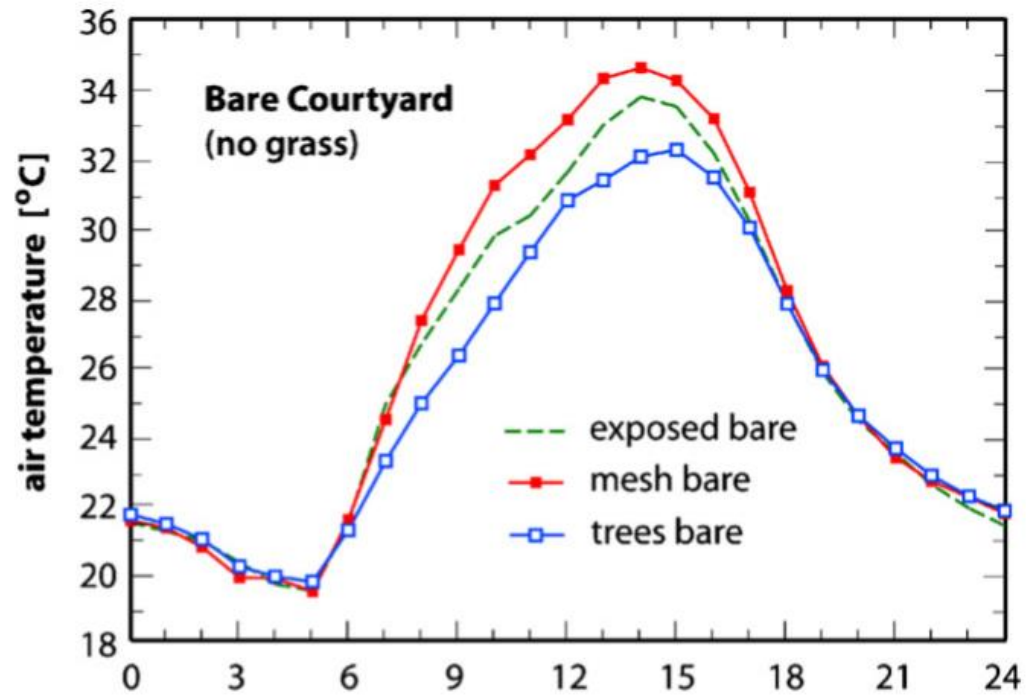


- 6 cases in two neighboring courtyards
- Exposed – bare ground and grass cover
- Trees – bare ground and grass cover
- Shading device – bare ground and grass cover



Shashua-Bar et al., 2009, Landscape and Urban Planning 92, 179–186  
Shashua-Bar et al., 2011, International Journal of Climatology 31, 1498–1506

# Measured air temperature in a courtyard



(Shashua-Bar et al., 2009)

Higher air temperature measured in the courtyard when the mesh shading is used!

# Field Measurement and survey with shading device SAVE

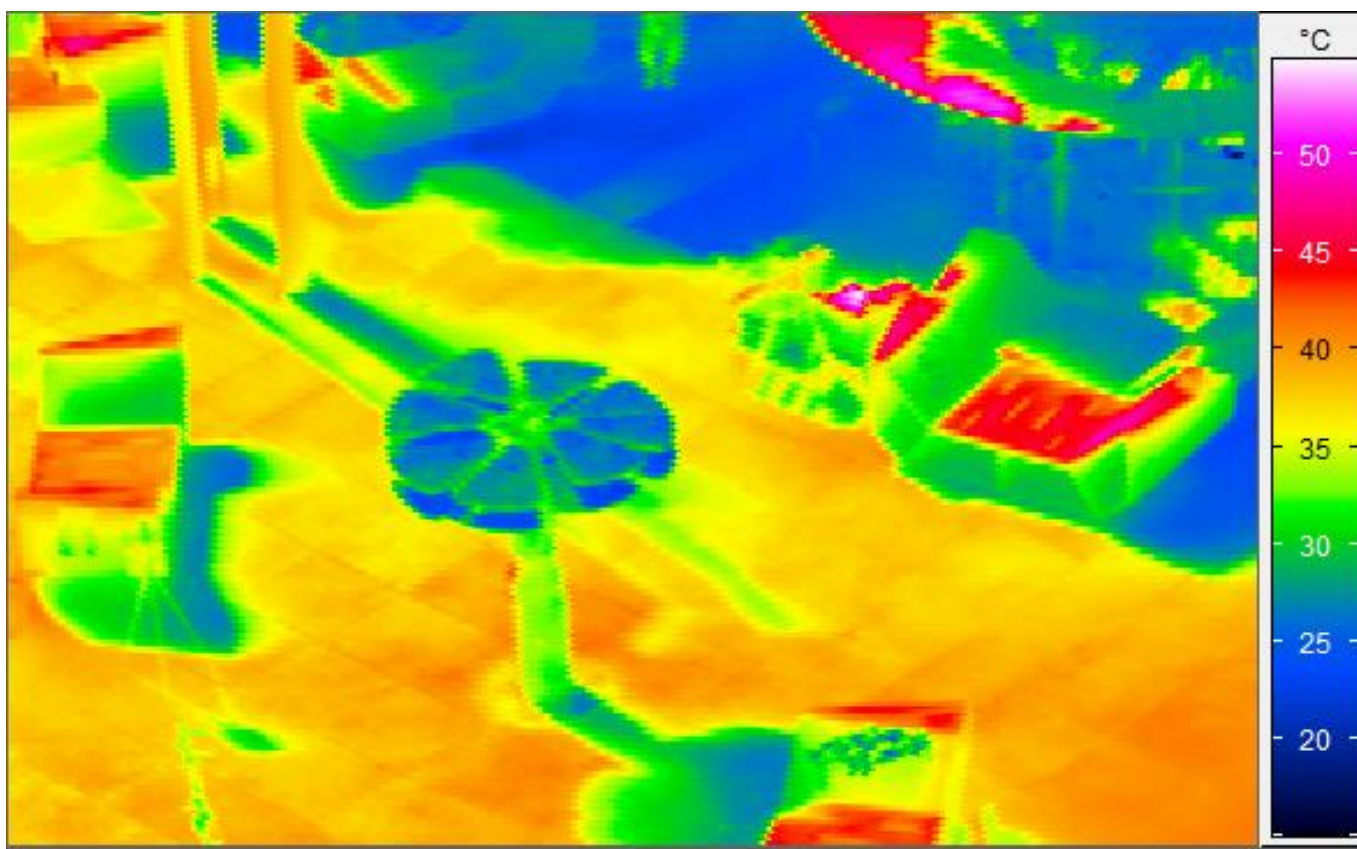


- Provides shading
- Evaporative cooling through the wetted fabric on top of the “leaves”
- “Leaves” can be rotated/closed to enhance night-time cooling
- Measurements performed in August 2024 at ETH Hönggerberg
- In collaboration with University of Cambridge

Images courtesy of Haiwei Flora Li

# Field Measurement and survey with shading device SAVE

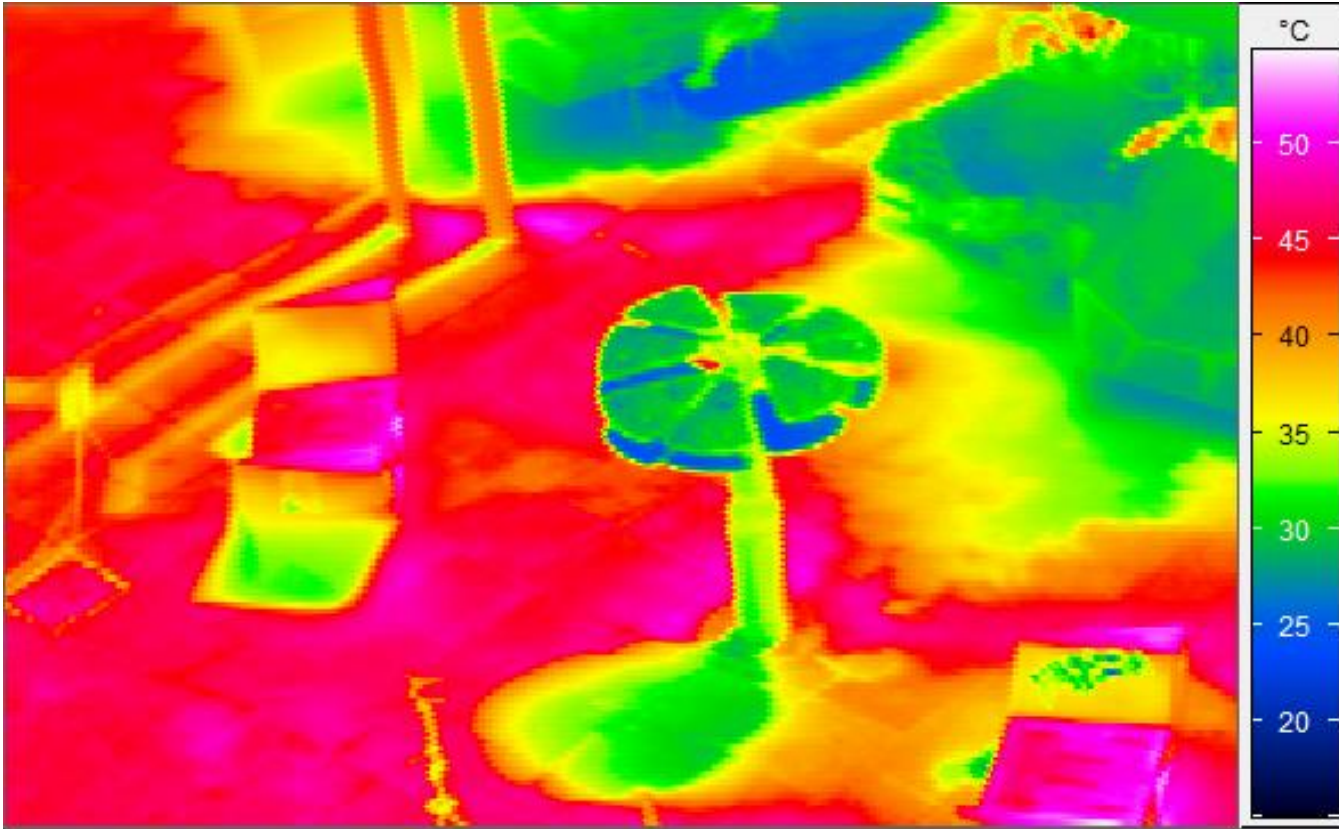
11:00 CET



Images courtesy of Haiwei Flora Li

# Field Measurement and survey with shading device SAVE

15:00 CET



Images courtesy of Haiwei Flora Li

# Overview

## ■ Introduction

- Urban heat island (UHI) effect
- Causes of UHI
- Outdoor thermal comfort
- Countermeasures to UHI
- Wind flow in urban environment

## ■ Modeling and application examples

- Numerical modeling of microclimate
- Evaporative cooling
- Impact of urban trees and high-rise buildings
- Case study: Schönberg, Fribourg
- Shading devices

## ■ Final remarks

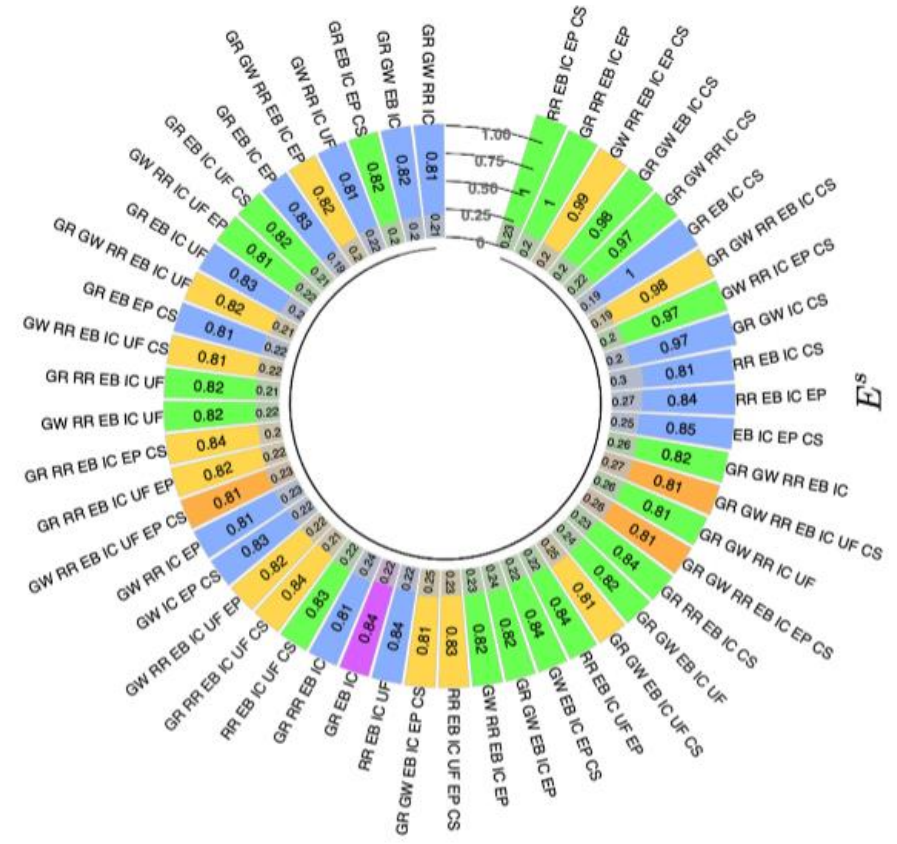
# What are the possible counter measures for Urban Heat Island mitigation?

Purpose	Large Category	Small Category
Cool Surface	Use of Green	Maintenance of Green Land
		Garden
		Roof Greening/Garden
		Street Greening/Trees
	Use of Structural Material	Water Permeable Material
		Water Contained Material
		High Albedo Painting
		Photocatalyst
	Use of Water	Water Park/Waterfront
		Water Sprinkler
Creation of Shading Area	Arcade	
	Pergola	
Promotion of Urban Ventilation	City Block Configuration	Ventilation Lane
		Arrangement of Buildings
	Building Configuration	Minimization of Aspect Area
		Pilloti
Reduction of Anthropogenic Heat	Energy-Saving	Energy-Saving Machinery
		Transport Management
		Energy-Saving Life Style
	Heat Release Treatment	Water Cooling Tower
		Heat Sink (River, Sea, Ground)

R. Ooka et al. 2010  
Seminar at Hong Kong Polytechnic University

# Set of mitigation measures based on:

- Compatibility
- Costs
- Time to implement



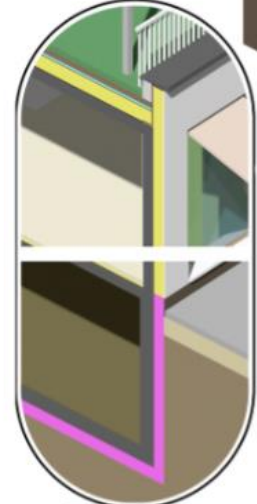
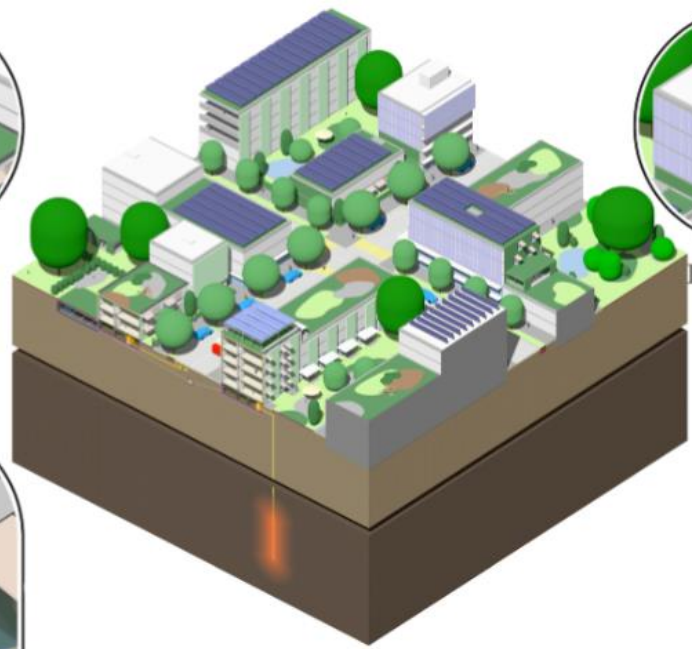
Green roofs (GR)



Reflective roofs (RR)



Green walls (GW)



Thermally efficient building (EB)



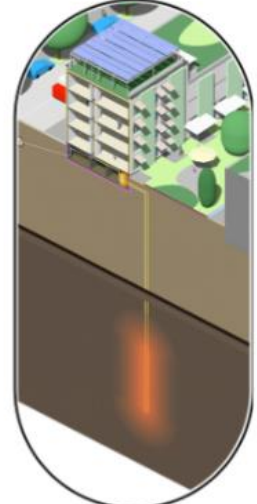
Evaporative pavement (EP)



Constructed shade (CS)



Urban forestry (UF)



Indoor cooling (IC)

Zhao, Y. et al. 2023. Beating urban heat: Multimeasure-centric solution sets and a complementary framework for decision-making. **Renewable and Sustainable Energy Reviews**





Thank you for your attention

Questions?