

# CANCER DE L' OESOPHAGE



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**GENOLIER**  
*Swiss Radio-Oncology Network*

# Introduction

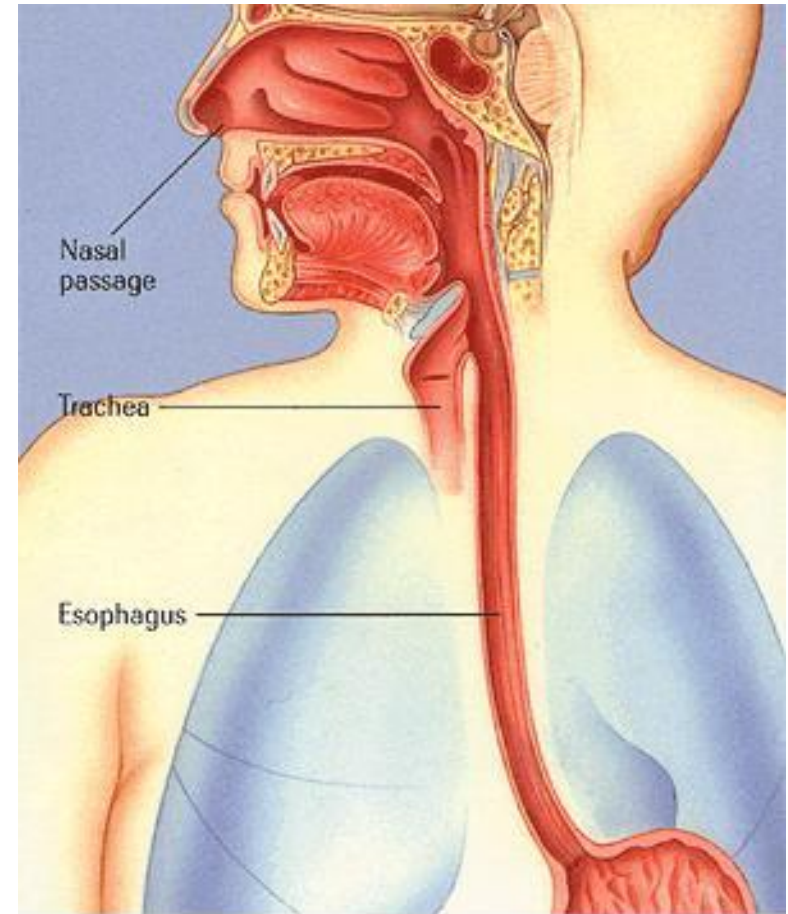
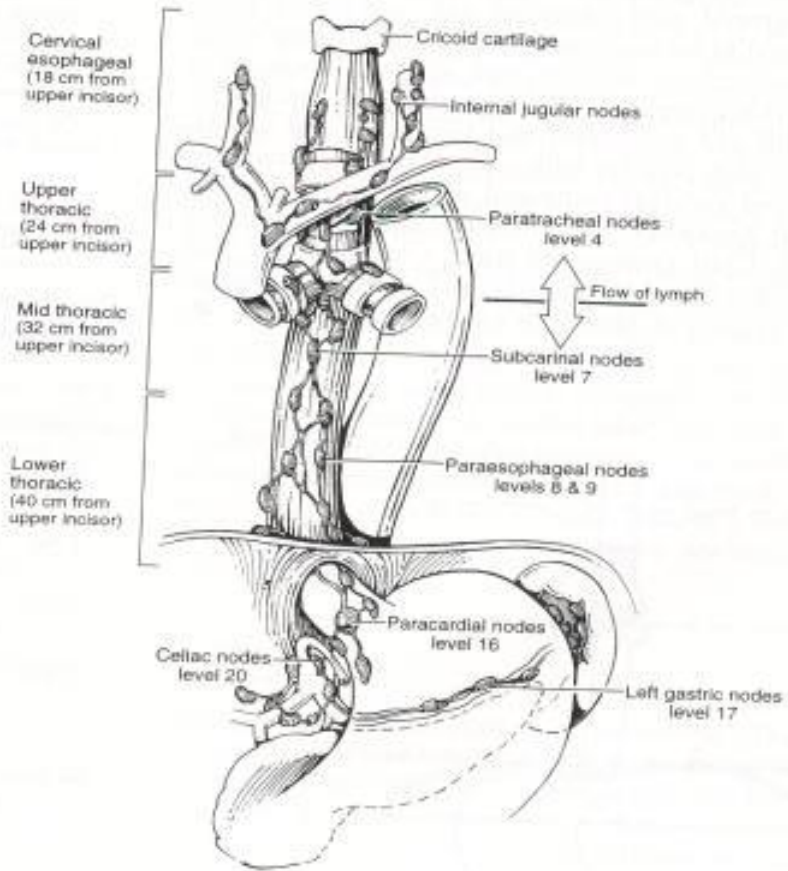


Image made available by a generous grant from Bristol-Myers Squibb

# DEFINITION / ANATOMIE

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**Cancer pouvant affecter toute portion de l'œsophage:**

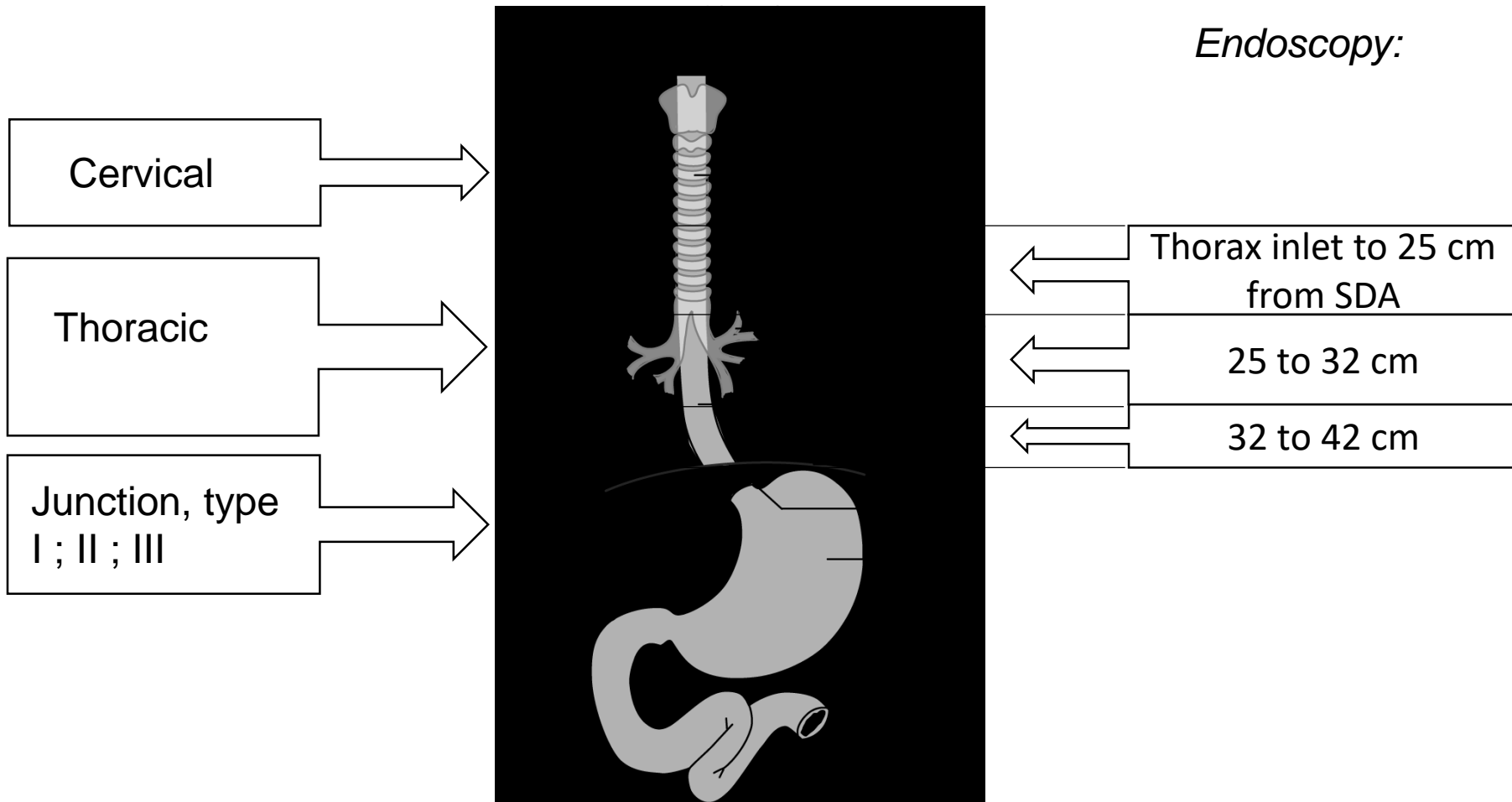
**-1/3 sup**

**-1/3 moy**

**-1/3 inf (jonction oeso-gastrique)**

**Evolution dépend de la localisation mais en général très mauvais pronostic.**

# Sub-site Anatomy Oesophagus





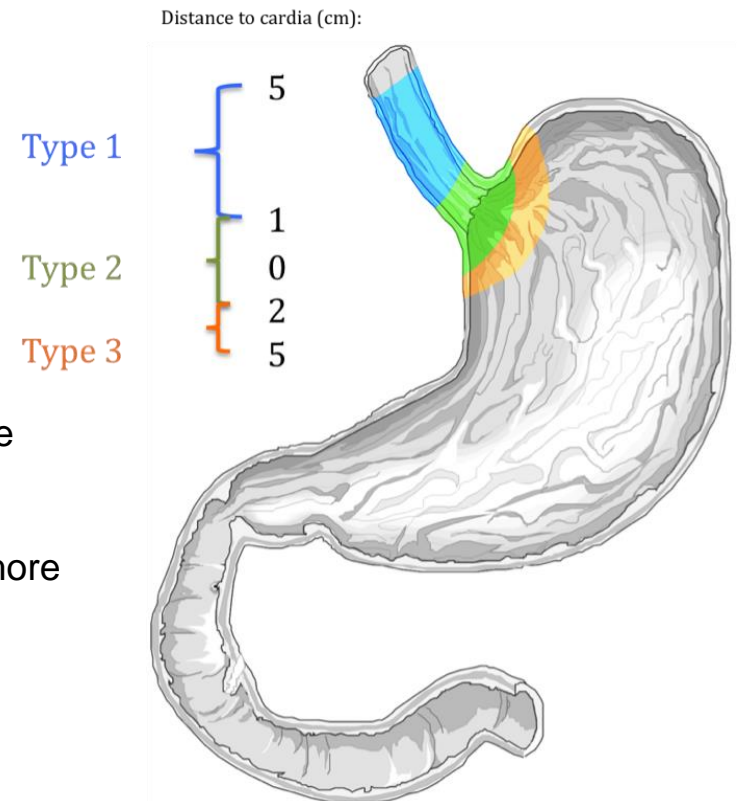
# Gastroesophageal junction

Based on the anatomic location of the tumour centre three subtypes can be defined :

Type I tumours have their tumour centres more than 1 cm above the anatomical gastroesophageal junction.

Type II tumours are the true carcinomas of the cardia and have their tumour centres located within 1 cm oral and 2 cm aboral of the anatomical gastroesophageal junction.

Type III tumours have their tumour centre more than 2 cm but not more than 5 cm below the anatomical gastroesophageal junction.



# **Etiologie**

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- **Rôle de l' alcool, du tabac / Calvados.**
- **Probablement association avec mauvaise alimentation.**
- **Syndrome de Plummer-Vinson (déficiences multiples).**
- **Brûlures caustiques.**
- **Géographie : Région Caspienne ? Sols alcalins.**

## **EPIDEMIOLOGIE :**

- **3 – 12 / 100' 000/an (F/H)**

## **PATHOLOGIE :**

**Fréquents : Ca épidermoïde : 60%**

**Adénocarcinome (1/3 inférieur)**

**Rares : Sarcomes  
Lymphomes**

## **CLINIQUE**

- **Dysphagie, perte de poids (> 90 %)**
- **Odynophagie, toux, hématomèse**

# **MODE D' EXTENSION**

## **Locale :**

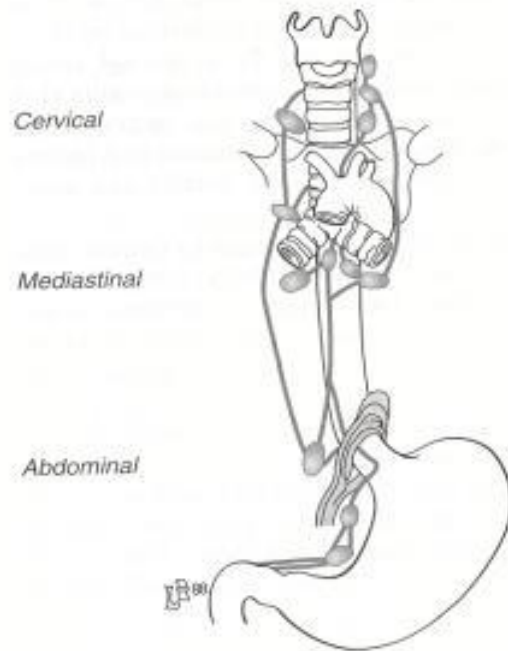
**Absence de barrière naturelle – pas de séreuse**

- Réseau lymphatique dense**
- Extension axiale (« skip tumors »)**
- Extension radiale (médiastin – fistules oeso-trachéales)**

# MODE D' EXTENSION

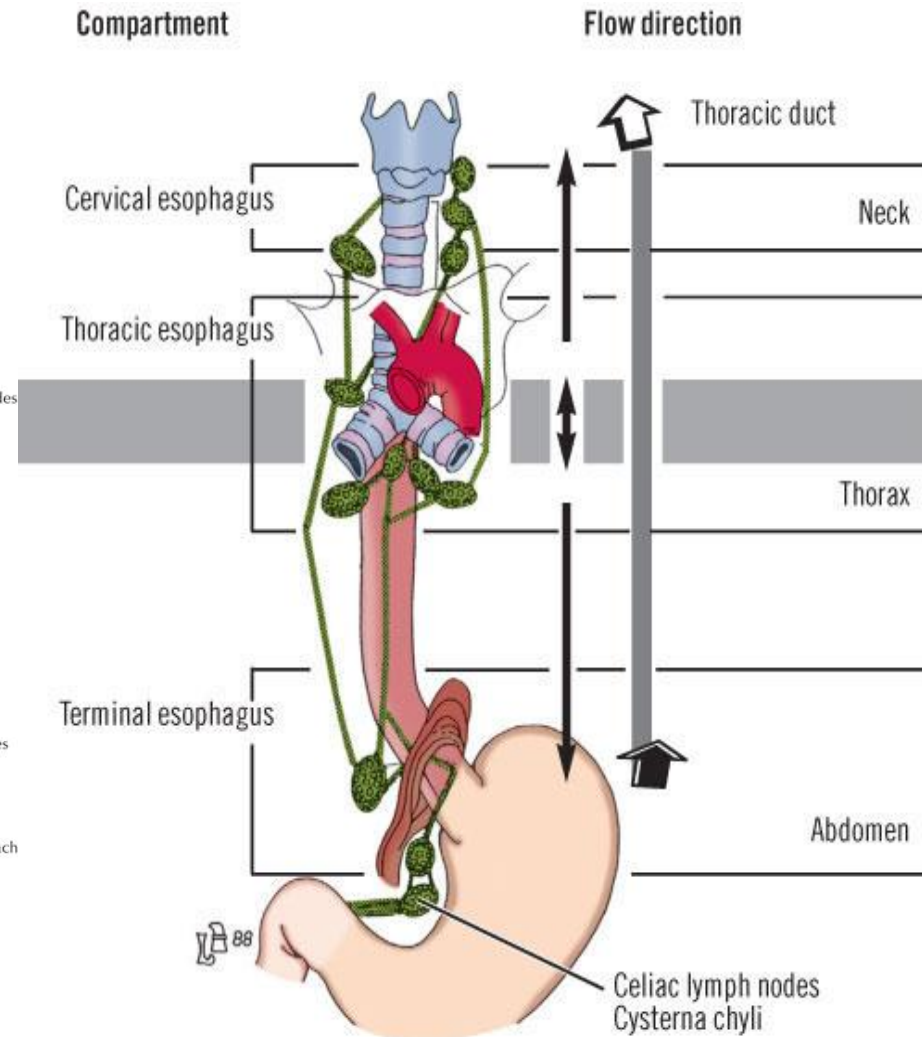
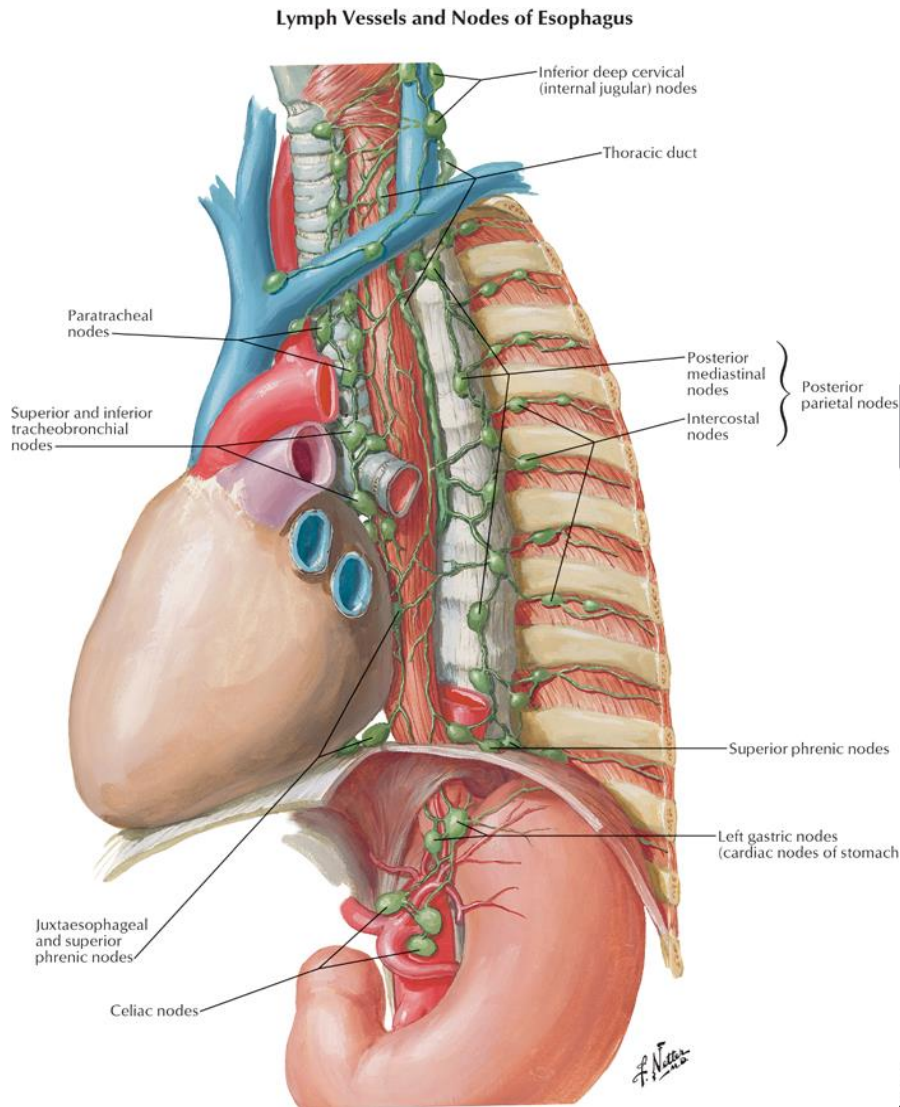
Régionale (ganglions) :

**Fréquente, dépend de la localisation**

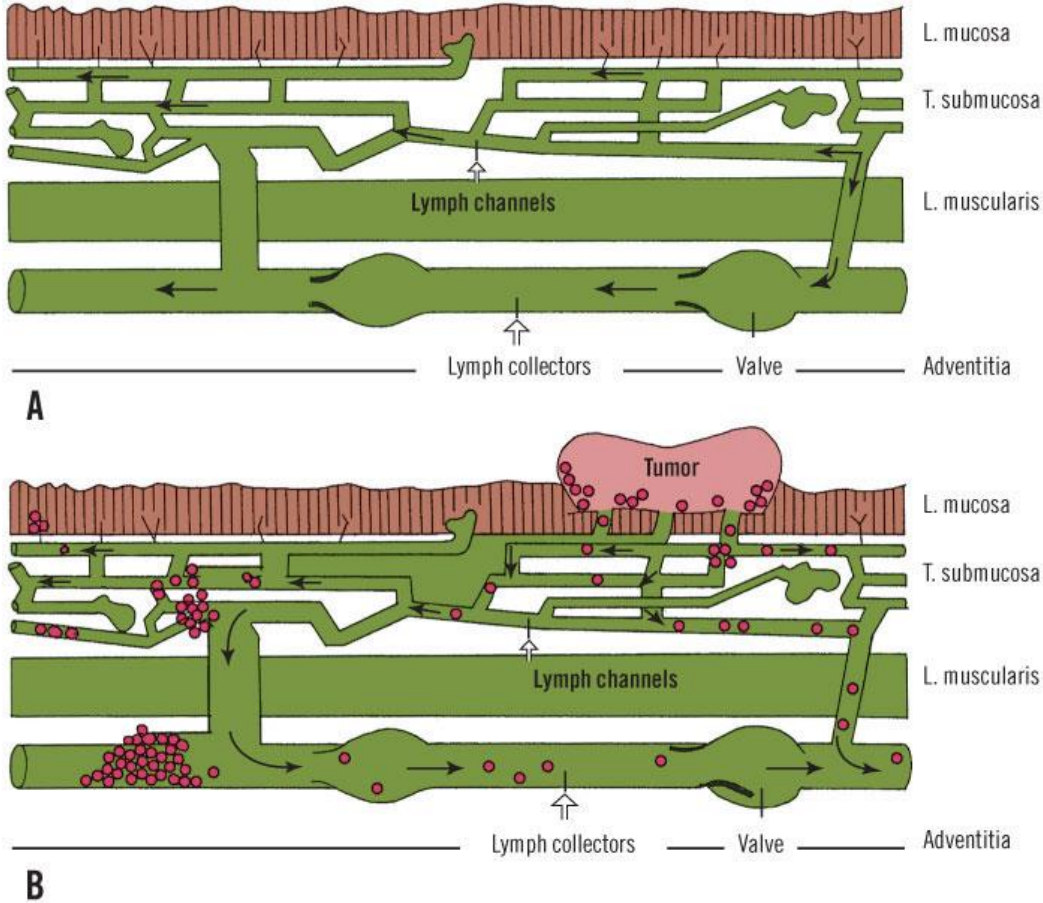




# Lymphatic drainage



# Lymphatic drainage (2)



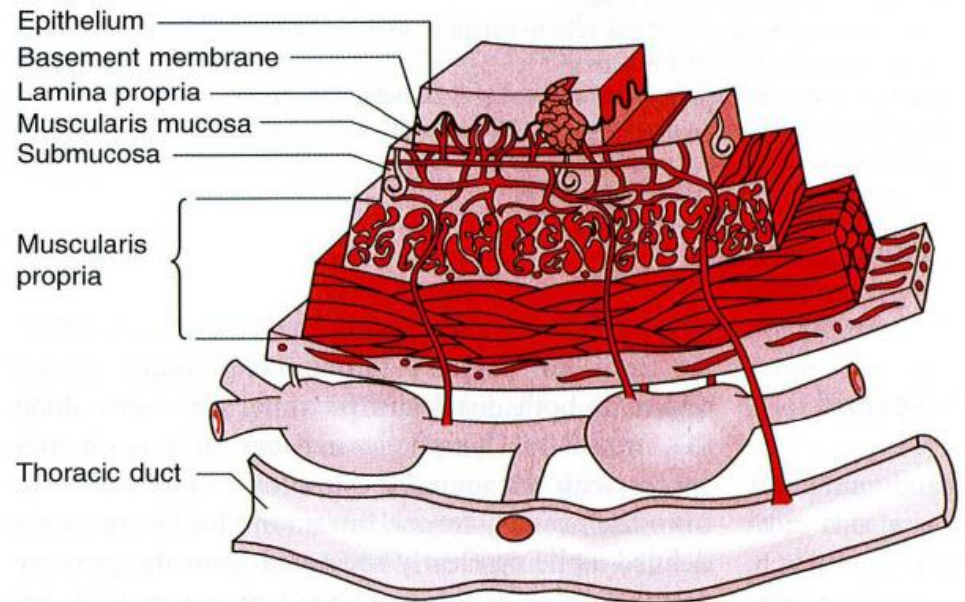
Copyright ©2006 by The McGraw-Hill Companies, Inc. All rights reserved.

# Regional lymph node involvement

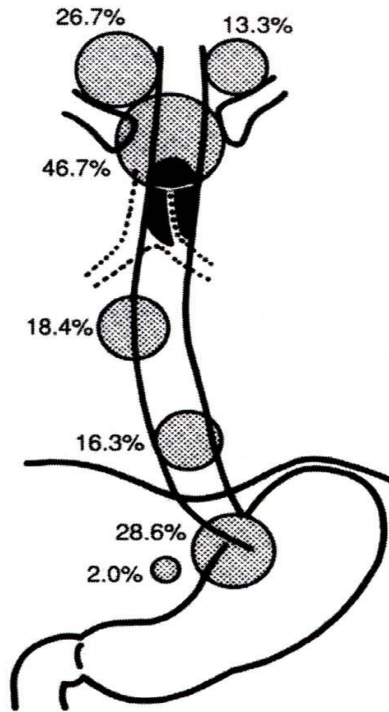
Distant lymph node metastasis:

‘Skip metastasis’

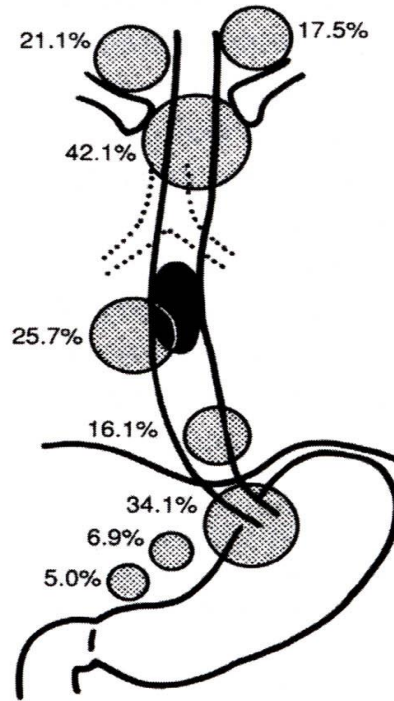
- Tis 0%
- T1b 31-56%
- T2 58-78%
- T3 83-100%



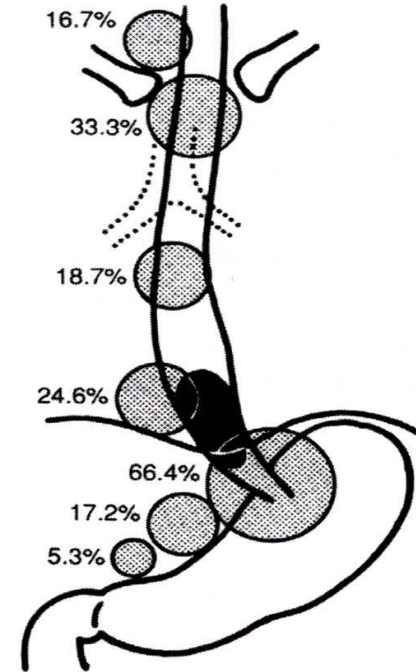
# A COMPLEX LYMPHATIC NETWORK



Upper esophageal cancer  
(n\*=15)  
(n=49)



Middle esophageal cancer  
(n\*=57)  
(n=261)



Lower esophageal cancer  
(n\*=24)  
(n=134)

# **MODE D' EXTENSION**

**Hématogène :**

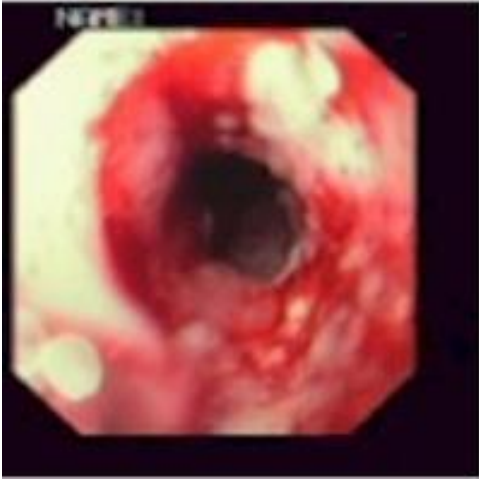
**Localisations métastatiques fréquentes :**

**poumon / plèvres**

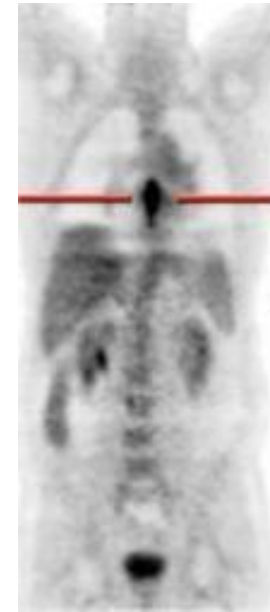
**foie / os**



# BILAN - INVESTIGATIONS

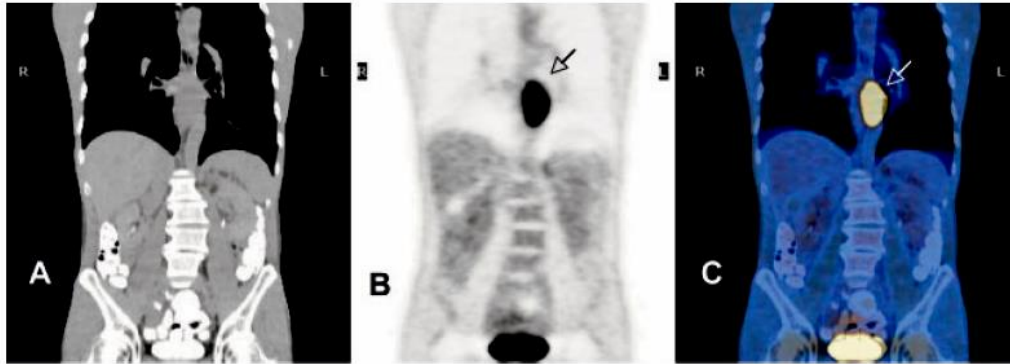


Anamnèse  
Status, ex. ORL  
RX thorax  
Transit oesophagien  
Endoscopie (oeso-gastrosocopie)  
US endo-oesophagien  
CT thoraco-abdominal  
PET-CT





# PET - CT



**FIGURE 1.** Integrated PET/CT of a patient showing NPA = 1 in the primary (arrow). (A) CT scan; (B) PET scan; (C) integrated image.



**FIGURE 2.** Integrated PET/CT of a patient showing NPA = 2 in the primary and mediastinum (arrows). (A) CT scan; (B) PET scan; (C) integrated image.

# Resection versus PET scan

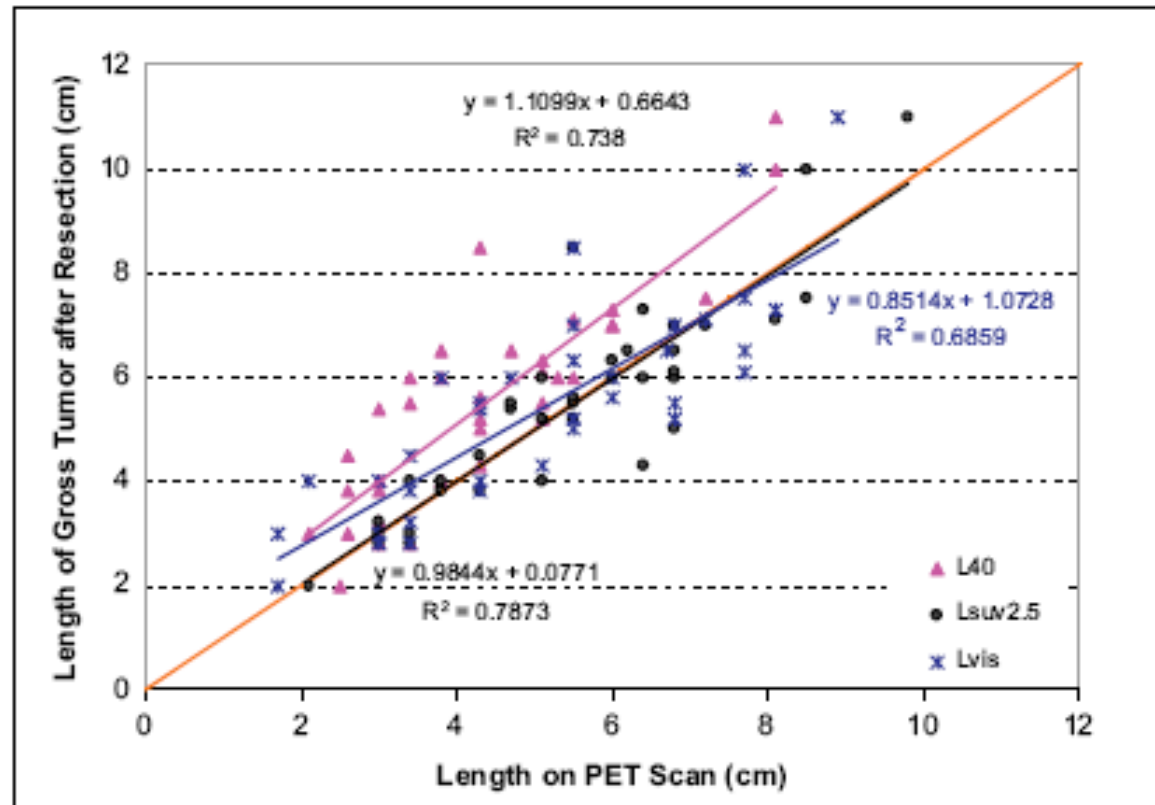
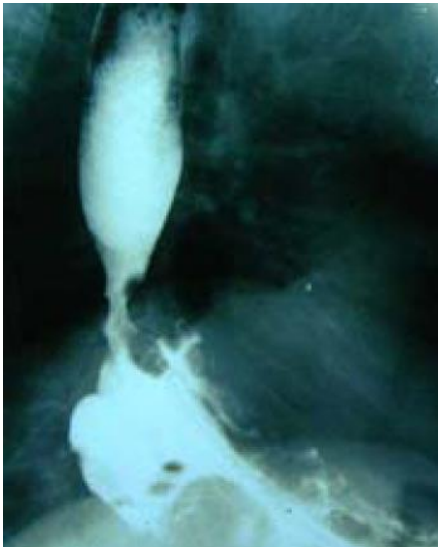


Fig. 2. Image-pathology correlations.

# CLASSIFICATION TNM SIMPLIFIEE

Tis:	cancer in situ
T1:	atteinte sous muqueuse
T2:	atteinte musculature
T3:	atteinte adventice
T4:	atteinte organes adjacents
N0:	pas de gg
N1:	gg atteints
M0:	absence de métastases
M1:	présence de métastases

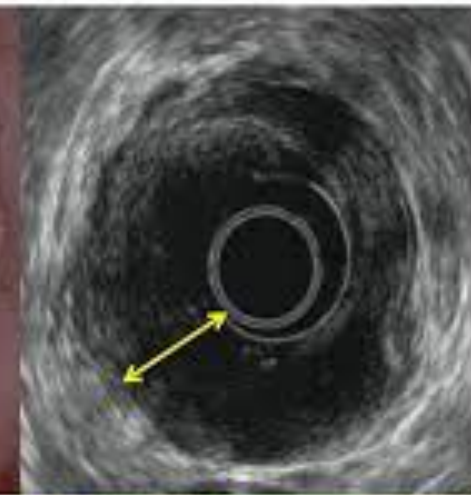
# GTV



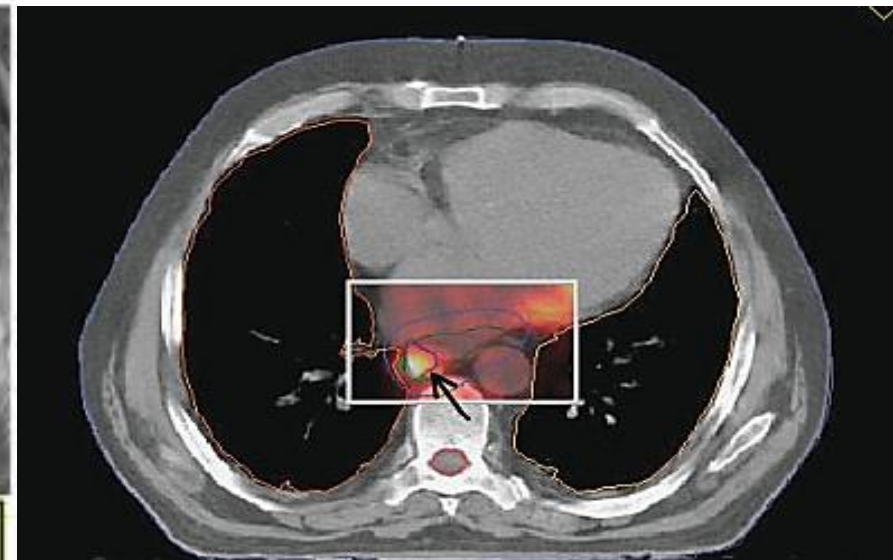
- Barium swallow
- CT-Scan
- Endoscopy
- EUS
- PET - CT



Circumferential esophageal cancer



EUS showing the cancer invading the inner layers of the esophagus



# CTV

Generally applied margins for esophageal cancer

ICRU 50 Definitions: GTV plus areas at risk of microscopic extension

**CTV: Gross tumor (GTV)**

**+ 3 to 5 cm margin craniocaudal**

**+ extension to involved nodes**

**+1 to 2 cm circumferential margin**

CTV to PTV: 1 cm

i.e.: field border 5 cm craniocaudal from GTV

# LNM distribution

TABLE 3. Rate of LNM to Different Regions According to the Location of the Primary Tumor

Location	Cervical	Um	Mm	Lm	Abdominal
Ut	12/82 (14.6)	24/82 (29.3)	7/82 (8.5)	8/82 (9.8)	6/82 (7.3)
Mt	55/1266 (4.3)	63/1266 (5.0)	417/1266 (32.9)	32/1266 (2.5)	189/1266 (14.9)
Lt	11/545 (2.0)	12/545 (2.2)	84/545 (15.4)	208/545 (38.1)	150/545 (27.5)
Total	78/1893 (4.1)	99/1893 (5.2)	508/1893 (26.8)	248/1893 (13.1)	345/1893 (18.2)

LNM, lymph node metastasis; Ut, upper thoracic; Mt, middle thoracic; Lt, lower thoracic; Um, upper mediastinal; Mm, middle mediastinal; Lm, lower mediastinal.

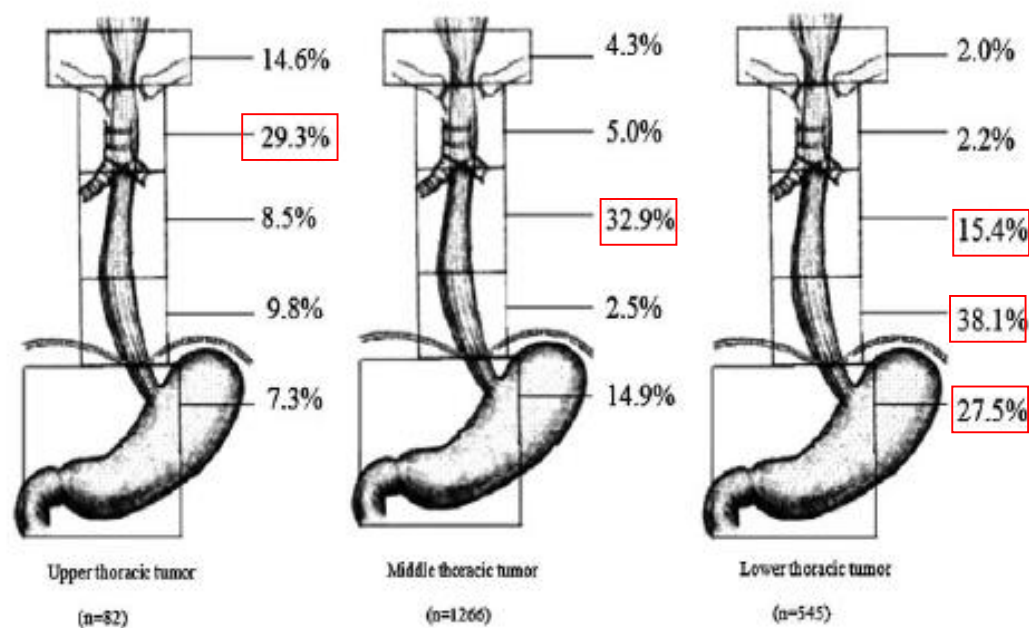


FIGURE 1. Rate of LNM in different regions according to the location of the primary tumor. LNM, lymph node metastasis.



# Control

- On surgical specimens: n= 34 SCC/32ADK
- Lateral (mean value) =
  - SCC : 10.5 ± 13.5 mm SUP et 10.6 ± 8.1 mm INF
  - ADK : 10.3 ± 7.2 mm SUP et 18.3 ± 16.3 mm INF
- → 50mm = 100% in field
- → 30mm = 94% in field

# Elective CTV

- ***For cervical tumors:***

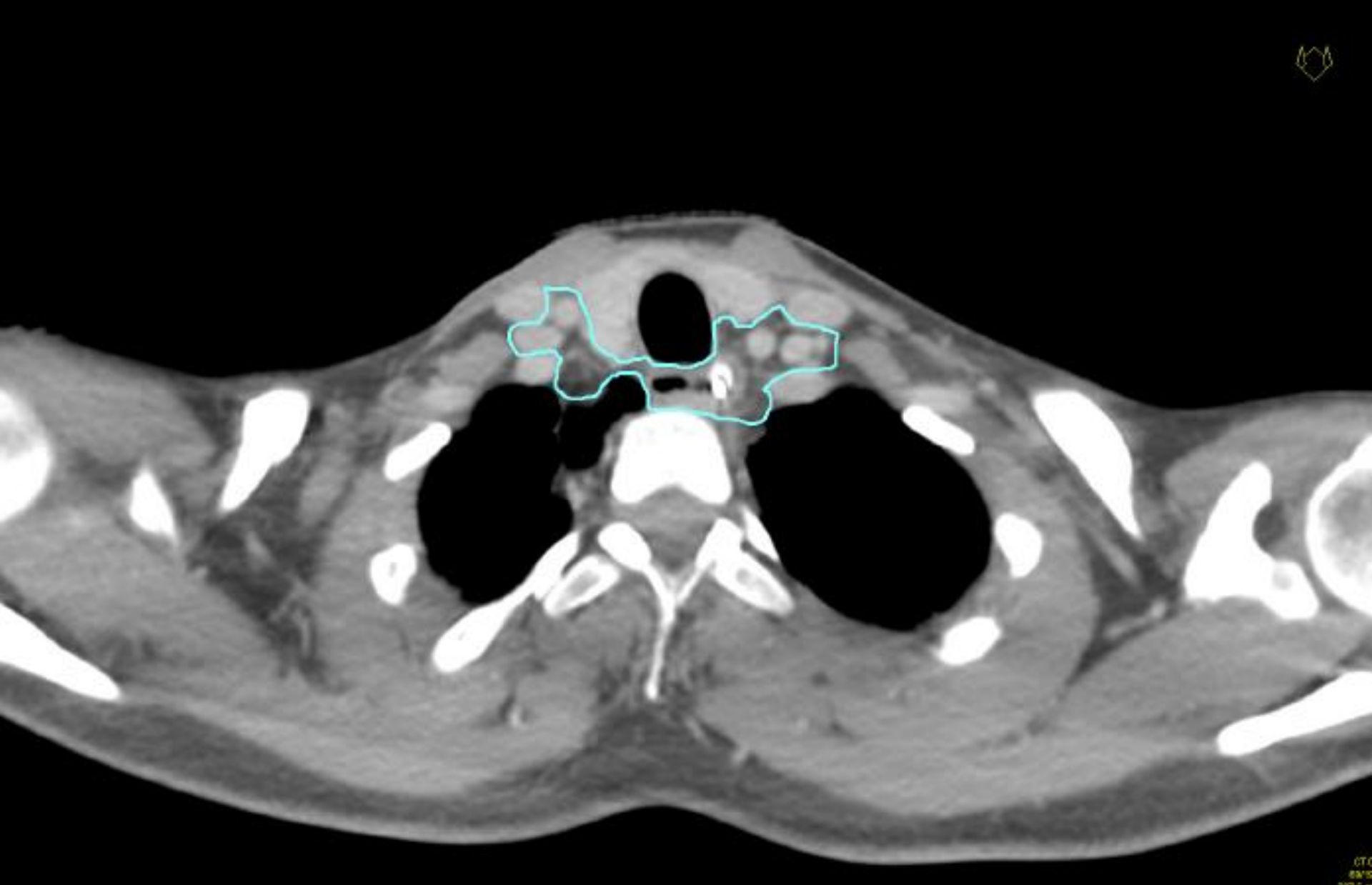
- → supraclavicular, para esophageal, pretracheal and a-p fenestra

- ***For proximal tumors:***

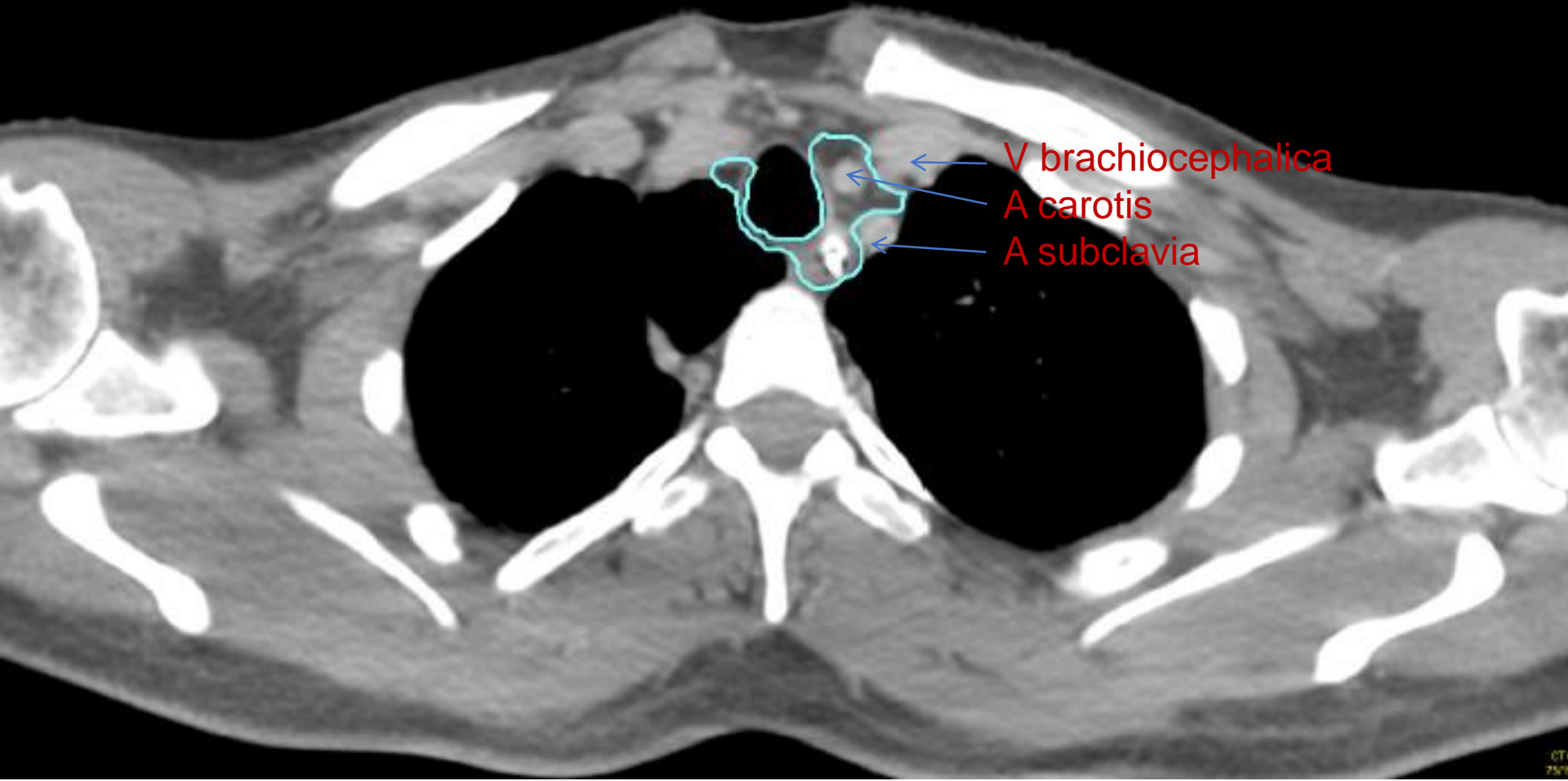
- → supraclavicular, para esophageal, pretracheal and a-p fenestra, (- pre and subcarinal)

- ***For mid esophageal tumors:***

- → para and pretracheal, a-p fenestra, pre and subcarinal and higher and lower para esophageal



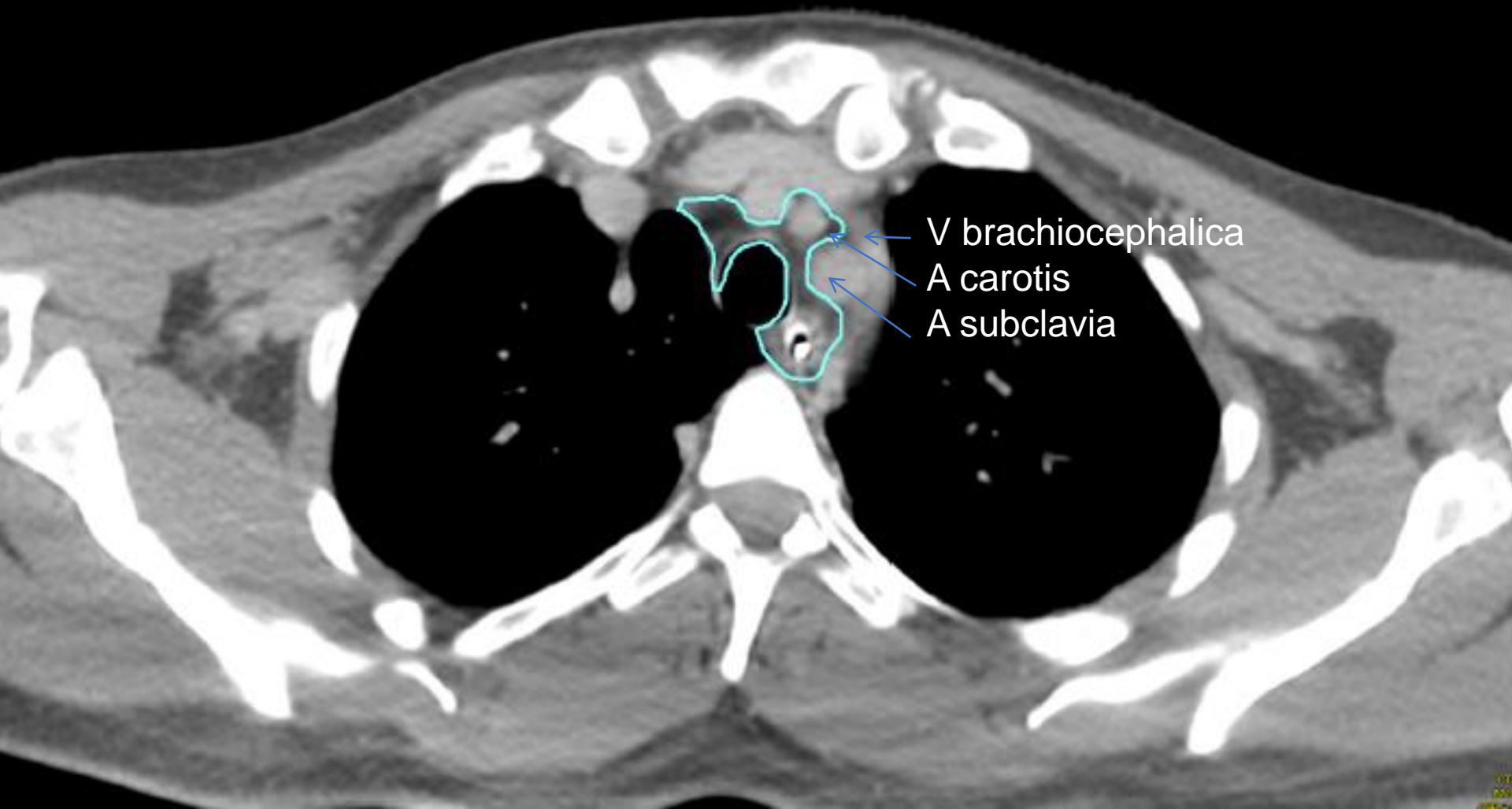
CT  
2015  
001



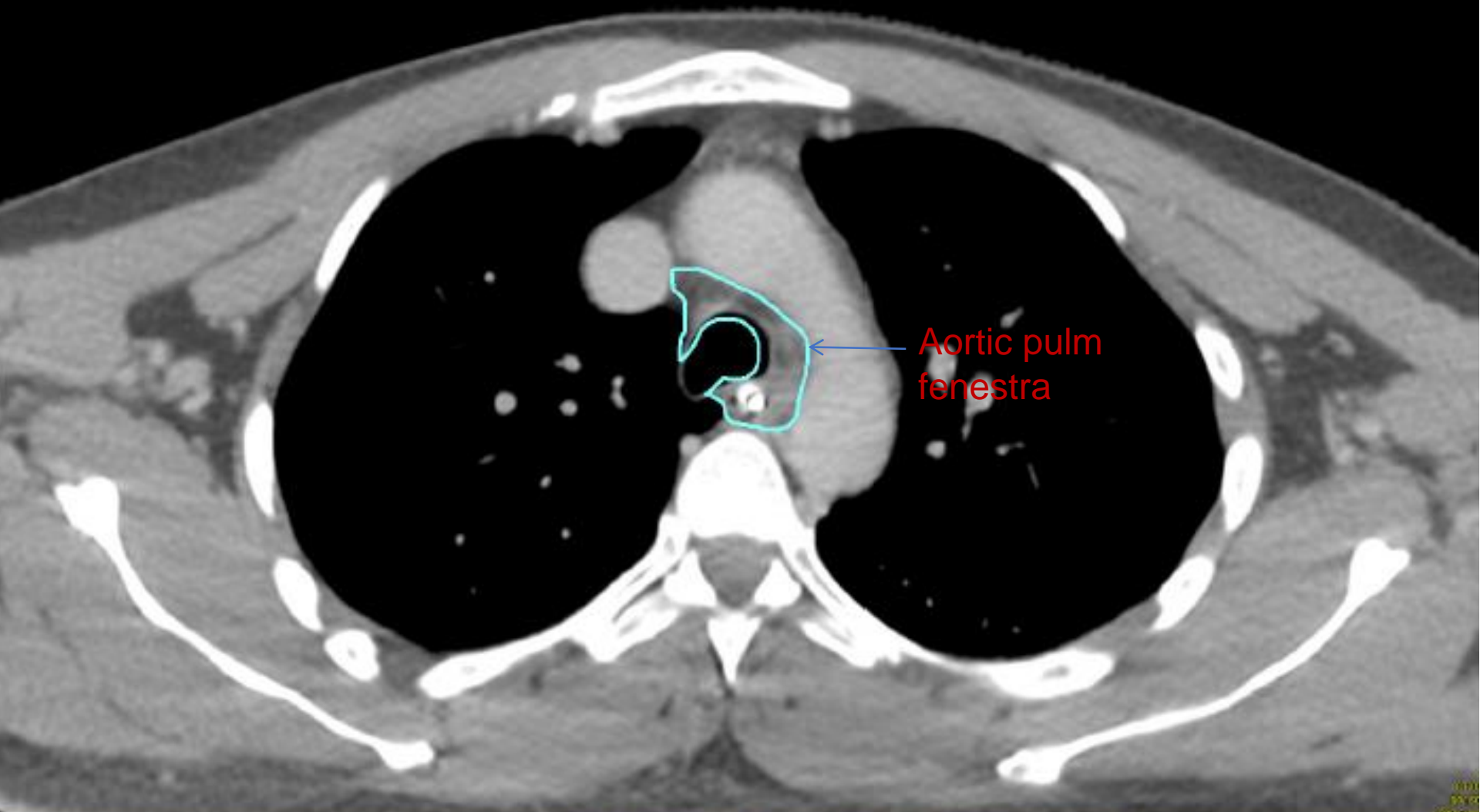
V brachiocephalica

A carotis

A subclavia

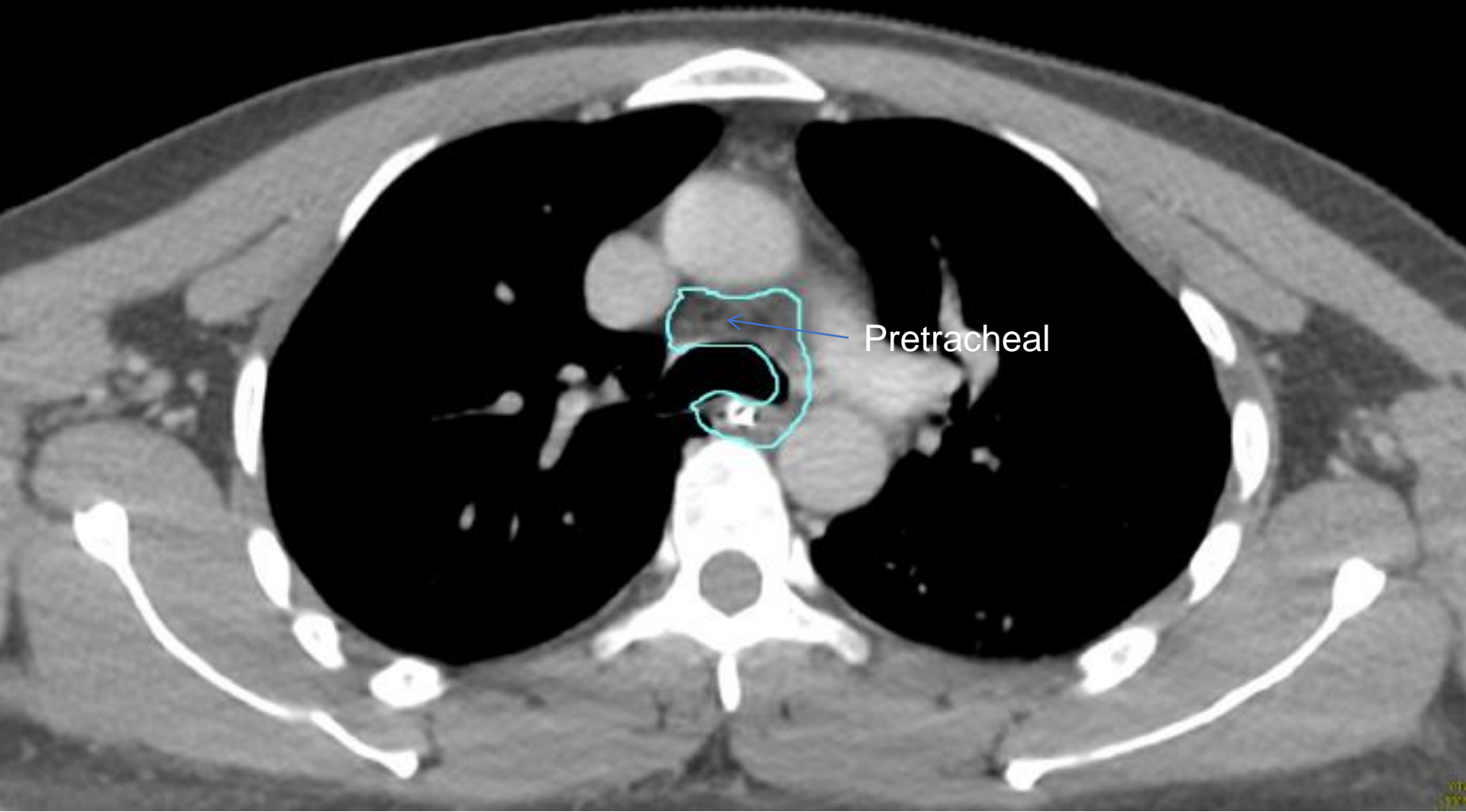


V brachiocephalica  
A carotis  
A subclavia

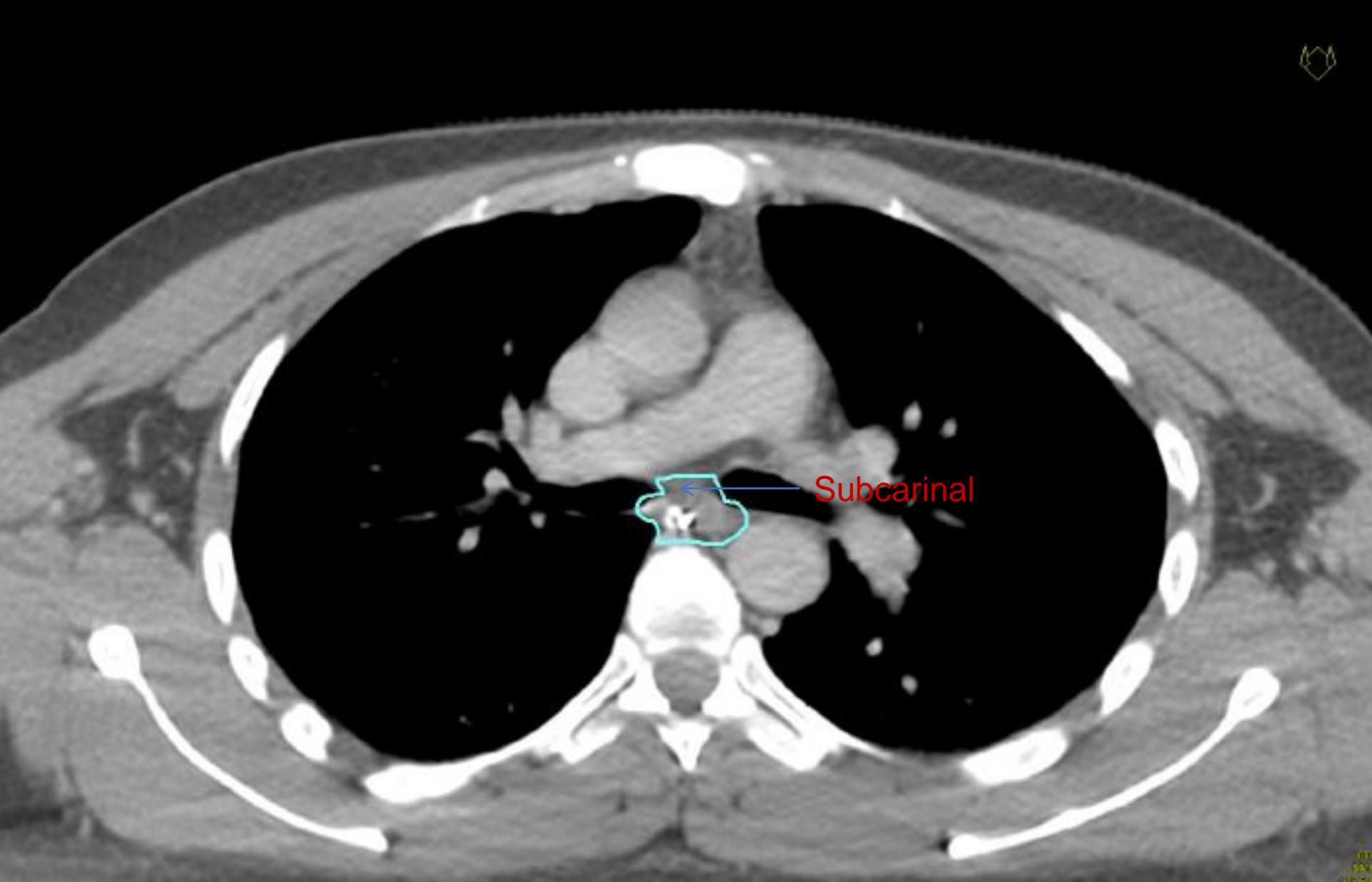


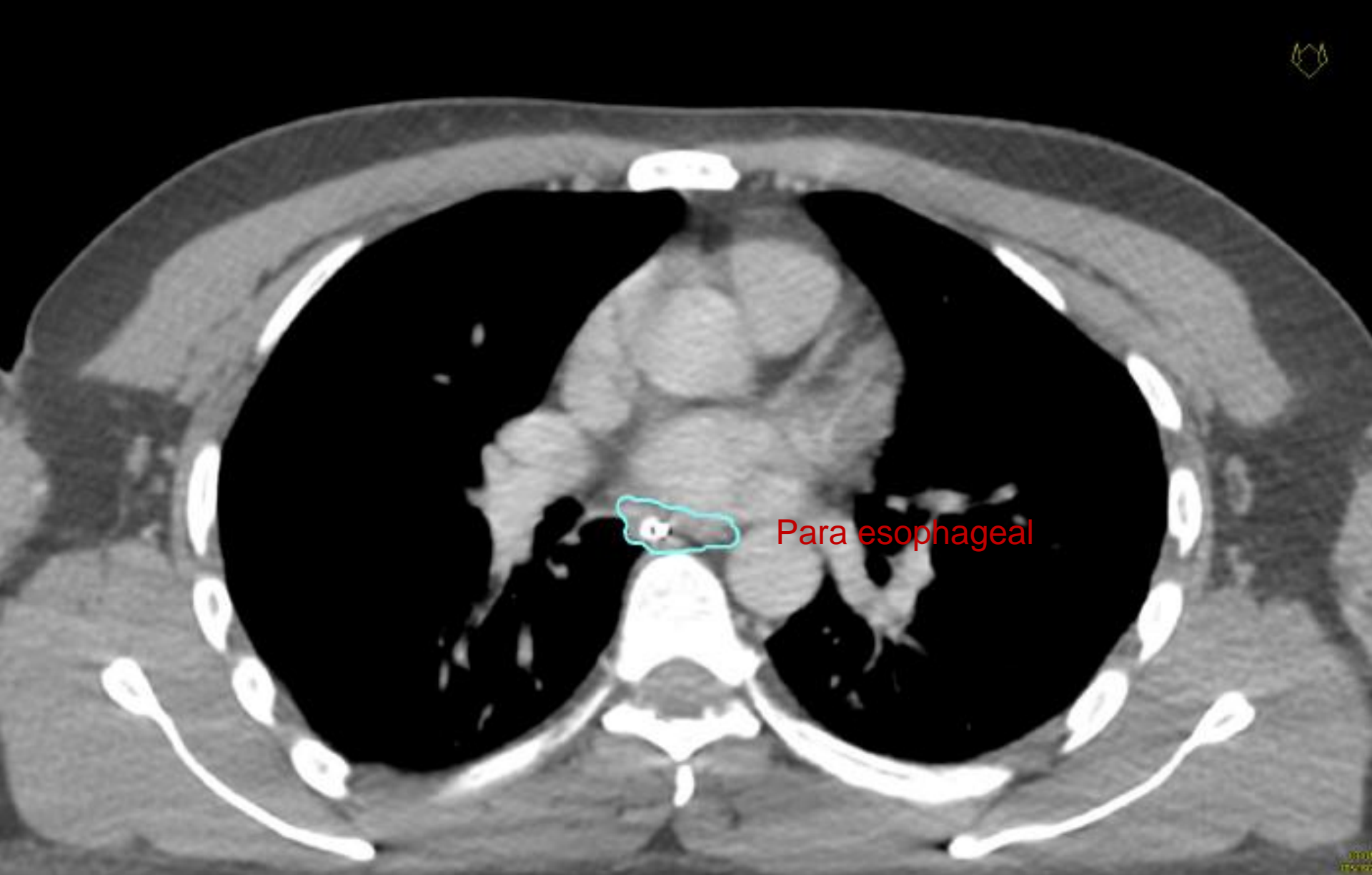
Aortic pulm  
fenestra





Pretracheal

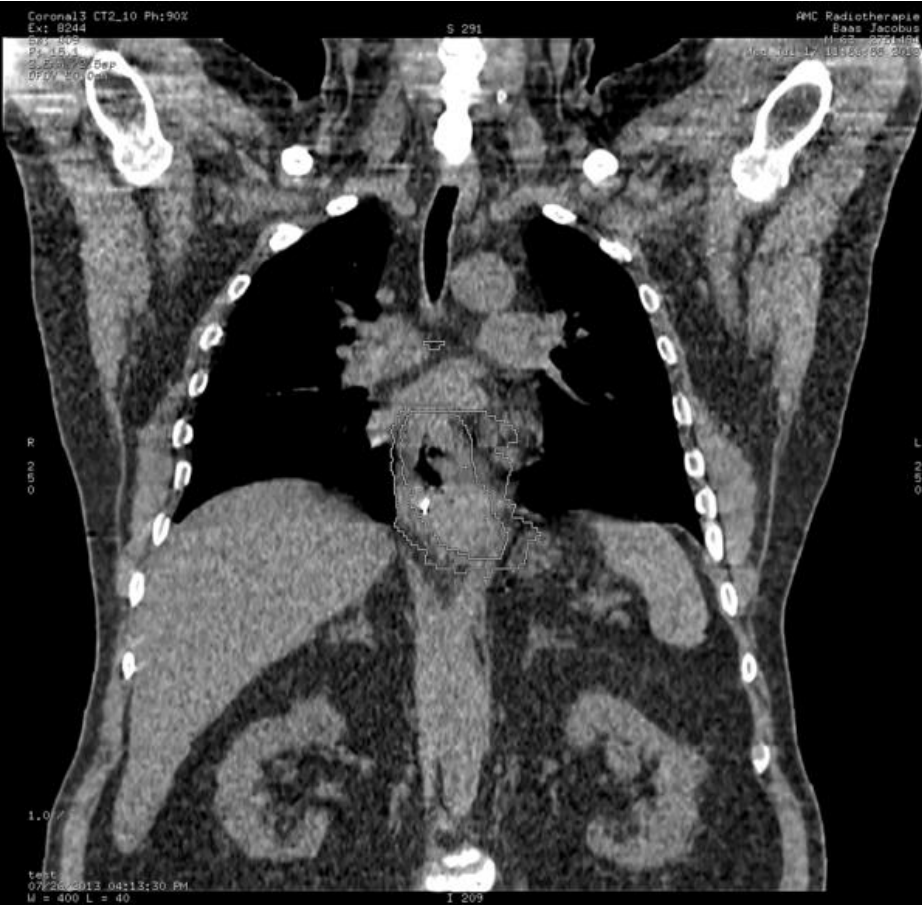




Para esophageal



# Mobility of oesophagus

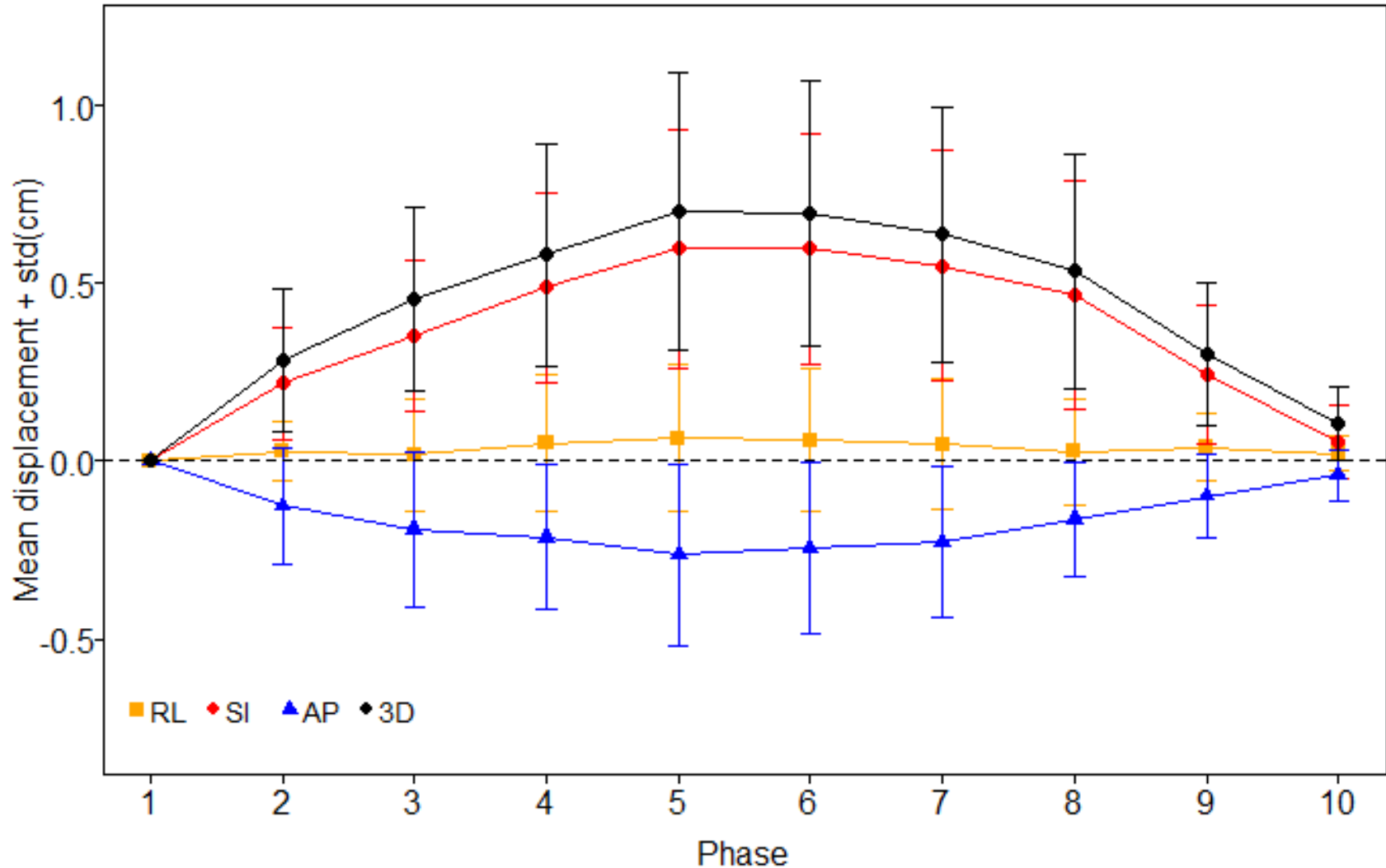


# Effect of breathing on oesophagus

	Thoracic part	Abdominal part
<i>Yaremko 2008</i>	8 mm	10 mm
<i>Welch 1982</i>	4 mm	6 mm
<i>Dieleman 2007</i>	7 mm	9 mm






# Relative marker displacement during breathing

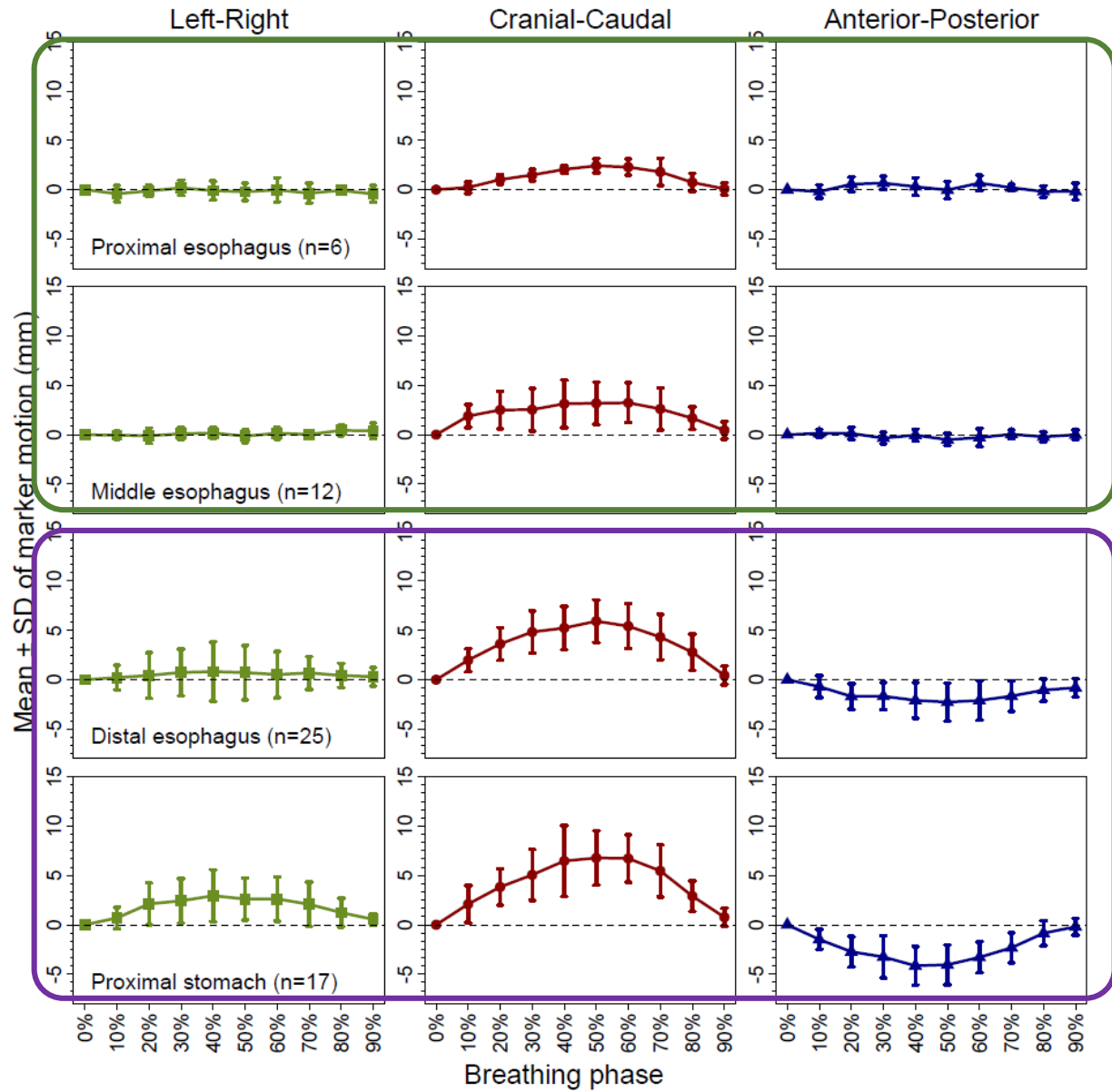


Courtesy from Maarten C C M Hulshof



## Motion in each phase

- CC > LR & AP
- Lower > Upper
- Exhalation
  - To left 
  - To cranial 
  - To posterior 
- Induced by respiration





CTV-ITV margin proximal and mid- esophageal tumors

- APPA: 7-8 mm
- Lateral: 5-7 mm
- Craniocaudal: 10 mm

# Target Volume definition oesogastric junction tumor

Radiotherapy and Oncology 92 (2009) 164–175



Contents lists available at [ScienceDirect](http://www.sciencedirect.com)

Radiotherapy and Oncology

journal homepage: [www.thegreenjournal.com](http://www.thegreenjournal.com)



## Guidelines

### EORTC-ROG expert opinion: Radiotherapy volume and treatment guidelines for neoadjuvant radiation of adenocarcinomas of the gastroesophageal junction and the stomach

Oscar Matzinger<sup>a,b,\*</sup>, Erich Gerber<sup>c</sup>, Zvi Bernstein<sup>d</sup>, Philippe Maingon<sup>e</sup>, Karin Haustermans<sup>f</sup>, Jean François Bosset<sup>g</sup>, Akos Gulyban<sup>a</sup>, Philip Poortmans<sup>h</sup>, Laurence Collette<sup>a</sup>, Abraham Kuten<sup>d</sup>

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<sup>b</sup> CHU Vaudois, Department of Radiation Oncology, Lausanne, Switzerland

<sup>c</sup> Radiation Oncologist, Vienna, Austria

<sup>d</sup> Rambam Health Care Campus, Oncology Department, Haifa, Israel

<sup>e</sup> Centre Georges-Francois Leclerc, Department of Radiation Oncology, Dijon, France

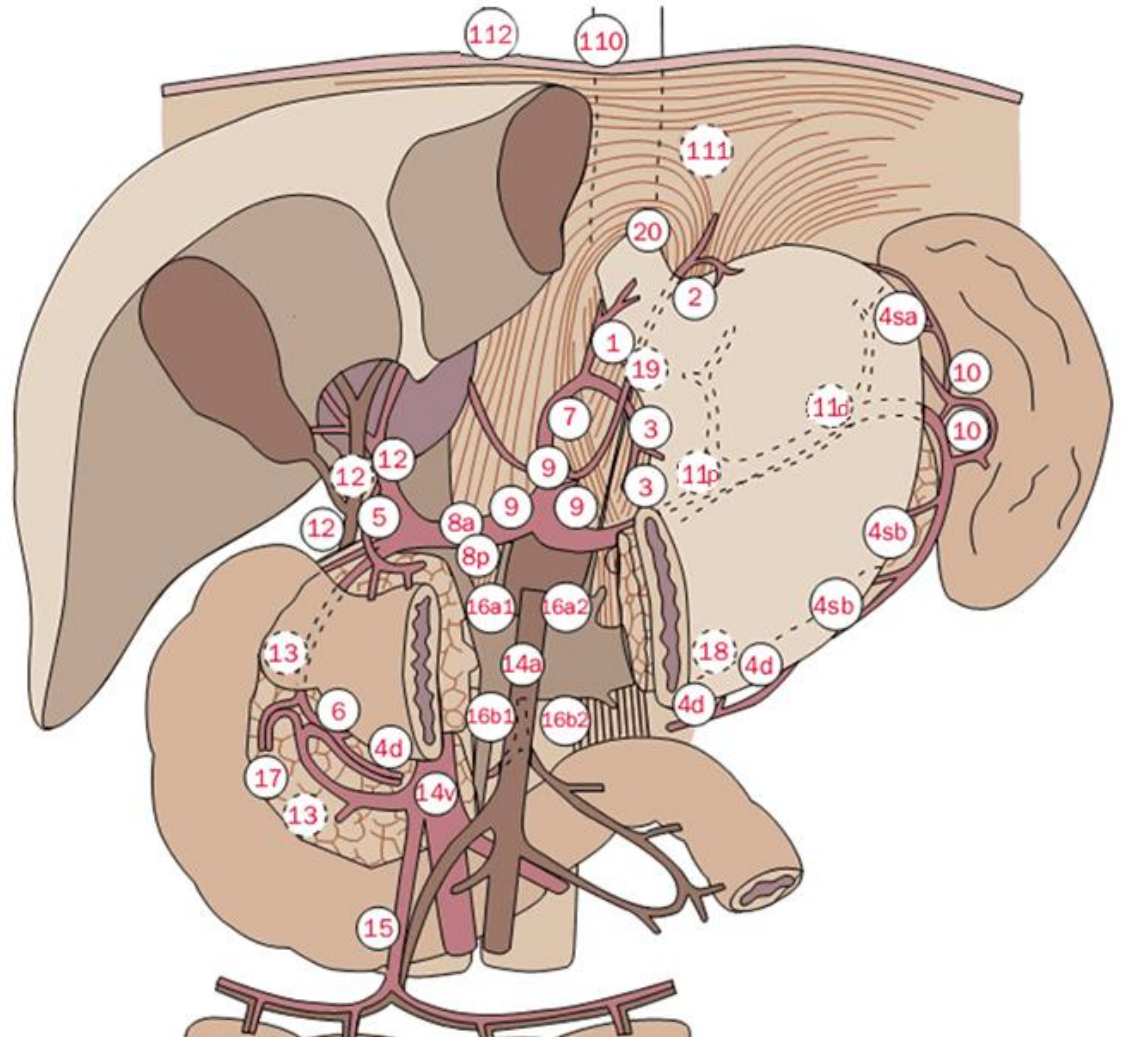
<sup>f</sup> U.Z. Gasthuisberg, Department of Radiation Oncology, Leuven, Belgium

<sup>g</sup> CHR de Besancon, Department of Radiation Oncology, Besancon, France

<sup>h</sup> Dr. Bernard Verbeeten Institute, Department of Radiation Oncology, Tilburg, The Netherlands

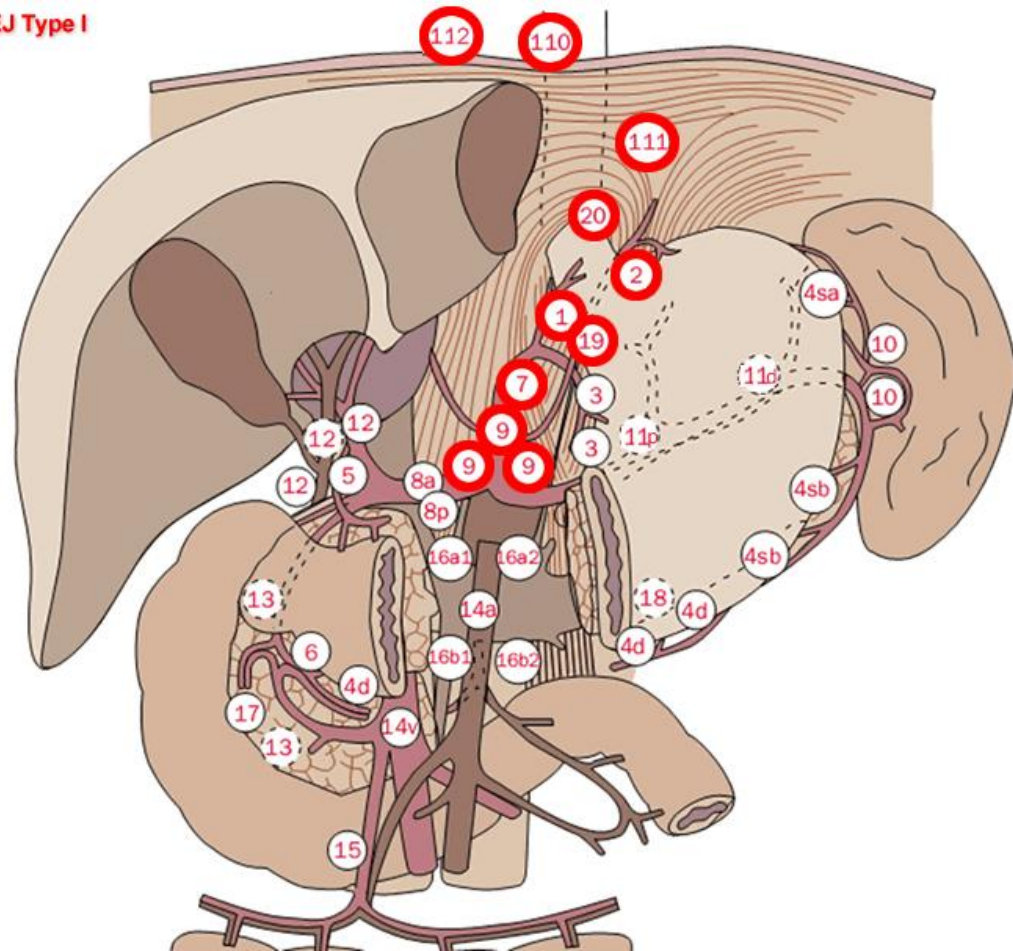
# The classification of the lymph node stations of the stomach and the perigastric region according to the JGCA

- No. 1 Right paracardial LN
- No. 2 Left paracardial LN
- No. 3 LN along the lesser curvature
- No. 4sa LN along the short gastric vessels
- No. 4sb LN along the left gastroepiploic vessels
- No. 4d LN along the right gastroepiploic vessels
- No. 5 Suprapyloric LN
- No. 6 Infrapyloric LN
- No. 7 LN along the left gastric artery
- No. 8a LN along the common hepatic artery (Anterosuperior group)
- No. 8p LN along the common hepatic artery (Posterior group)
- No. 9 LN around the celiac artery
- No. 10 LN at the splenic hilum
- No. 11p LN along the proximal splenic artery
- No. 11d LN along the distal splenic artery
- No. 12a LN in the hepatoduodenal ligament (along the hepatic artery)
- No. 12b LN in the hepatoduodenal ligament (along the bile duct)
- No. 12p LN in the hepatoduodenal ligament (behind the portal vein)
- No. 13 LN on the posterior surface of the pancreatic head
- No. 14v LN along the superior mesenteric vein
- No. 14a LN along the superior mesenteric artery
- No. 15 LN along the middle colic vessels
- No. 16a1 LN in the aortic hiatus
- No. 16a2 LN around the abdominal aorta (from the upper margin of the celiac trunk to the lower margin of the left renal vein)
- No. 16b1 LN around the abdominal aorta (from the lower margin of the left renal vein to the upper margin of the inferior mesenteric artery)
- No. 16b2 LN around the abdominal aorta (from the upper margin of the inferior mesenteric artery to the aortic bifurcation)
- No. 17 LN on the anterior surface of the pancreatic head
- No. 18 LN along the inferior margin of the pancreas
- No. 19 Infradiaphragmatic LN
- No. 20 LN in the esophageal hiatus of the diaphragm
- No. 110 Paraesophageal LN in the lower thorax
- No. 111 Supradiaphragmatic LN
- No. 112 Posterior mediastinal LN



# Lymph node stations of gastroesophageal junction tumors: Type I

GEJ Type I



1 Right paracardial LN

2 Left paracardial LN

7 LN along the left gastric artery

9 LN around the celiac artery

19 Infradiaphragmatic LN

20 LN in the oesophageal hiatus of the

diaphragm

110 Paraoesophageal LN in the lower thorax

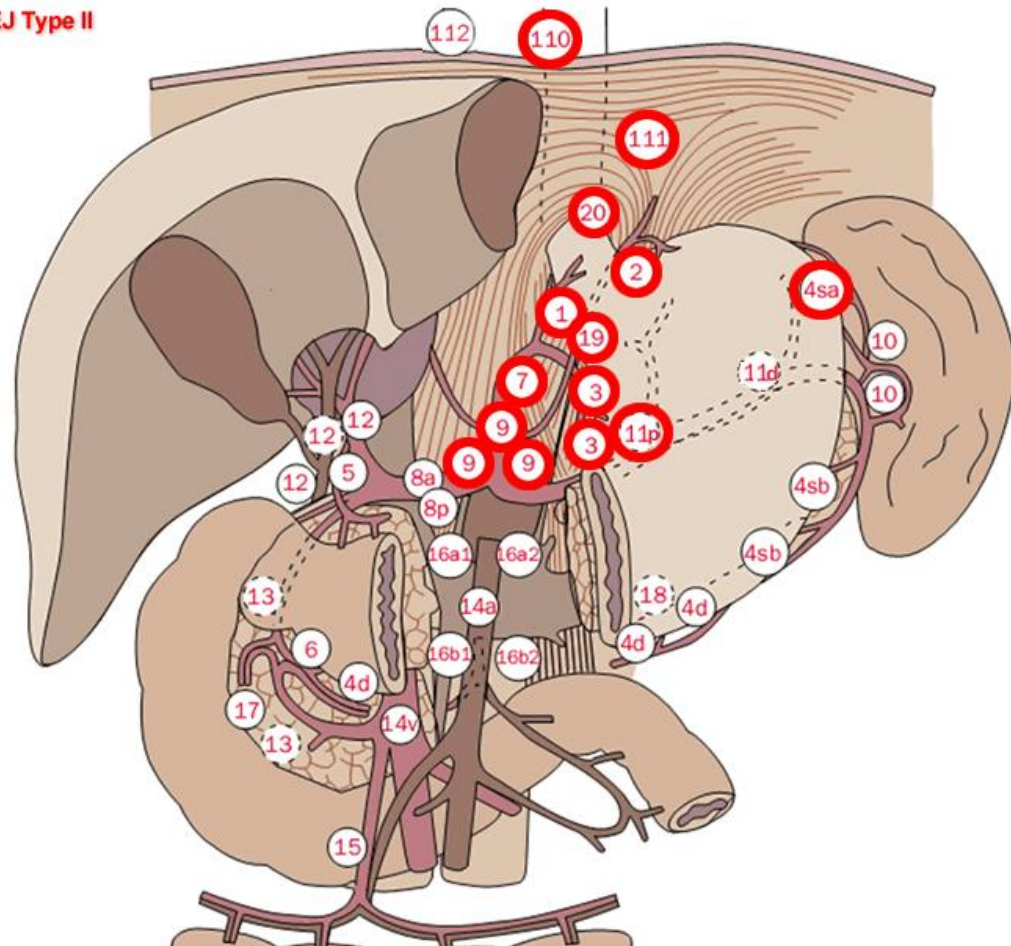
111 Supradiaphragmatic LN

112 Posterior mediastinal LN



# Lymph node stations of gastroesophageal junction tumors: Type II

GEJ Type II

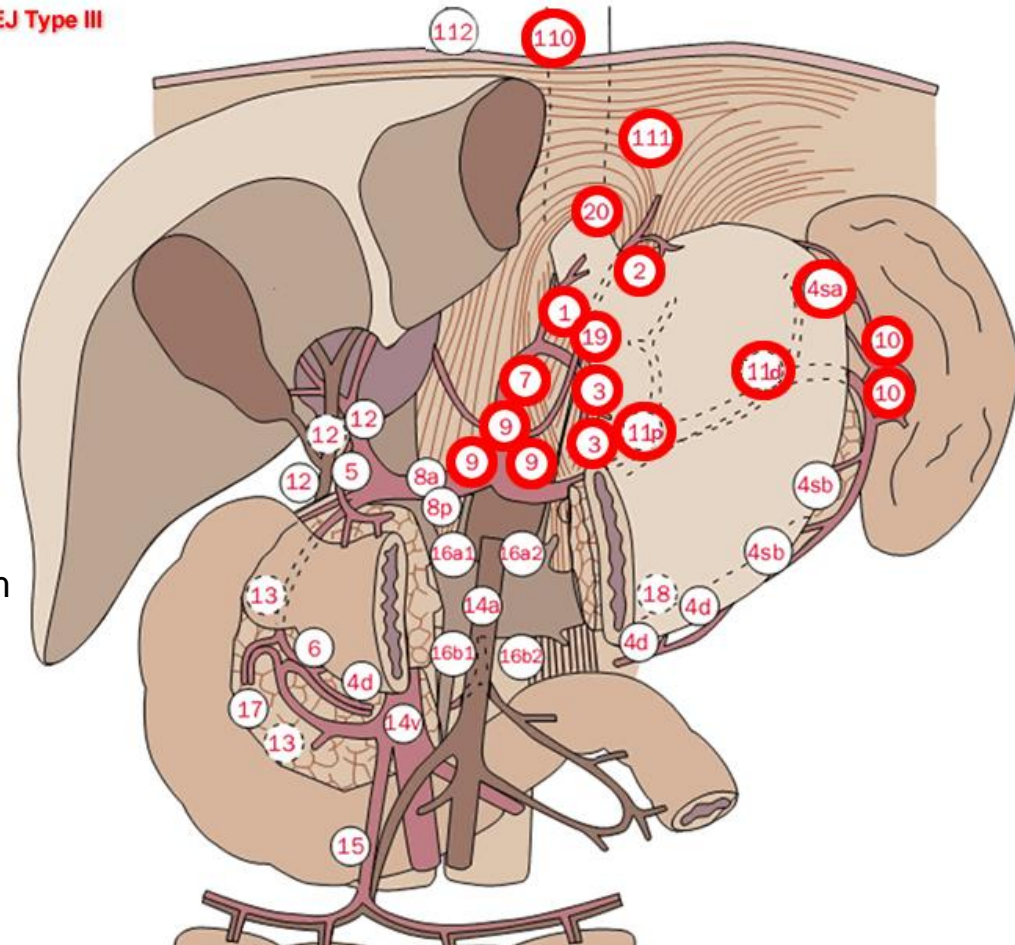


- 1 Right paracardial LN
- 2 Left paracardial LN
- 3 LN along the lesser curvature
- 4sa LN along the short gastric vessels
- 7 LN along the left gastric artery
- 9 LN around the celiac artery
- 11p LN along the proximal splenic artery
- 19 Infradiaphragmatic LN
- 20 LN in the oesophageal hiatus of the diaphragm
- 110 Paraoesophageal LN in the lower thorax
- 111 Supradiaphragmatic LN

# Lymph node stations of gastroesophageal junction tumors: Type III

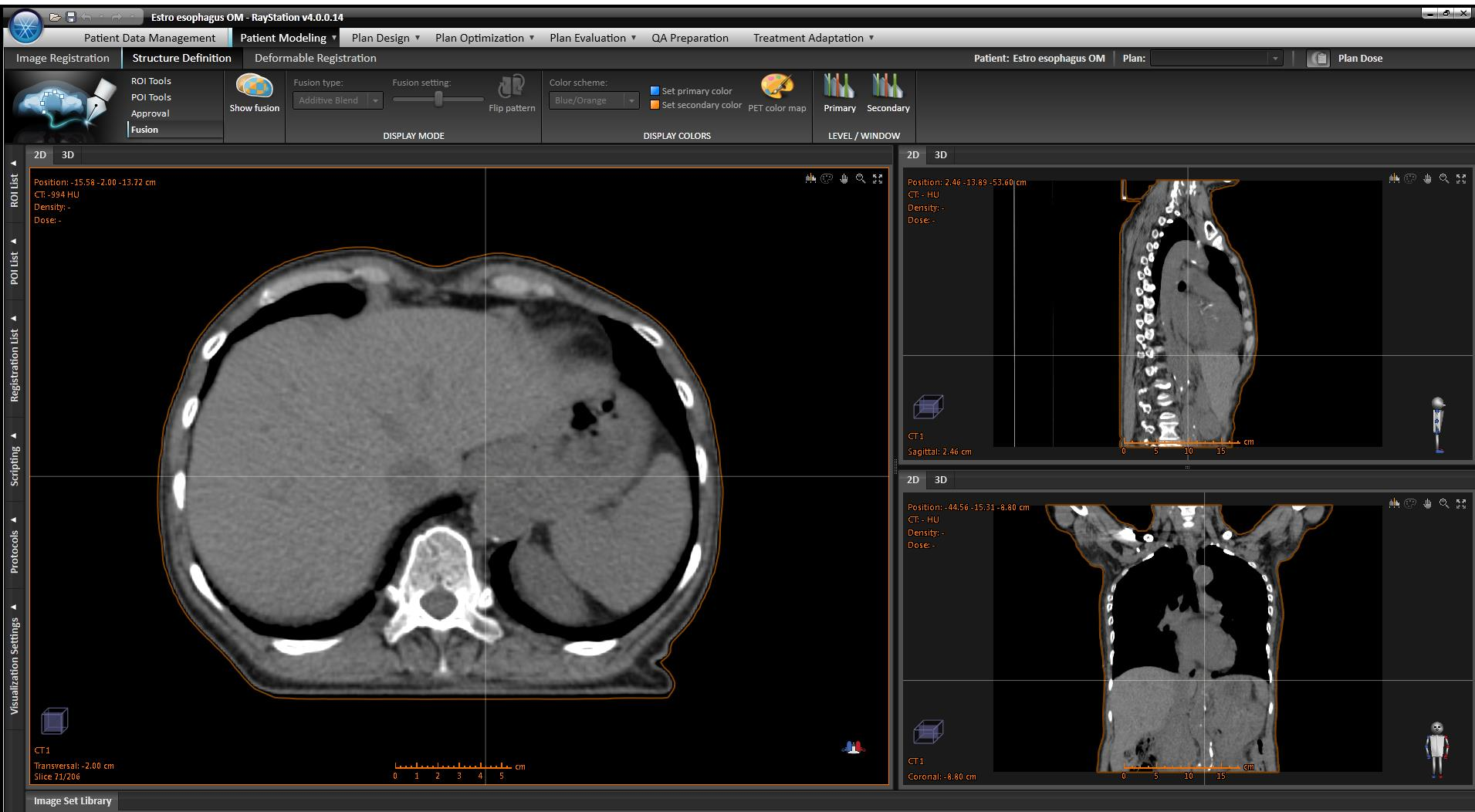
GEJ Type III

- 1 Right paracardial LN
- 2 Left paracardial LN
- 3 LN along the lesser curvature
- 4sa LN along the short gastric vessels
- 7 LN along the left gastric artery
- 9 LN around the celiac artery
- 10 LN at the splenic hilum
- 11p LN along the proximal splenic artery
- 11d LN along the distal splenic artery
- 19 Infradiaphragmatic LN
- 20 LN in the oesophageal hiatus of the diaphragm
- 110 Paraoesophageal LN in the lower thorax
- 111 Supradiaphragmatic LN



# Clinical case

## Clinical case: GTV?





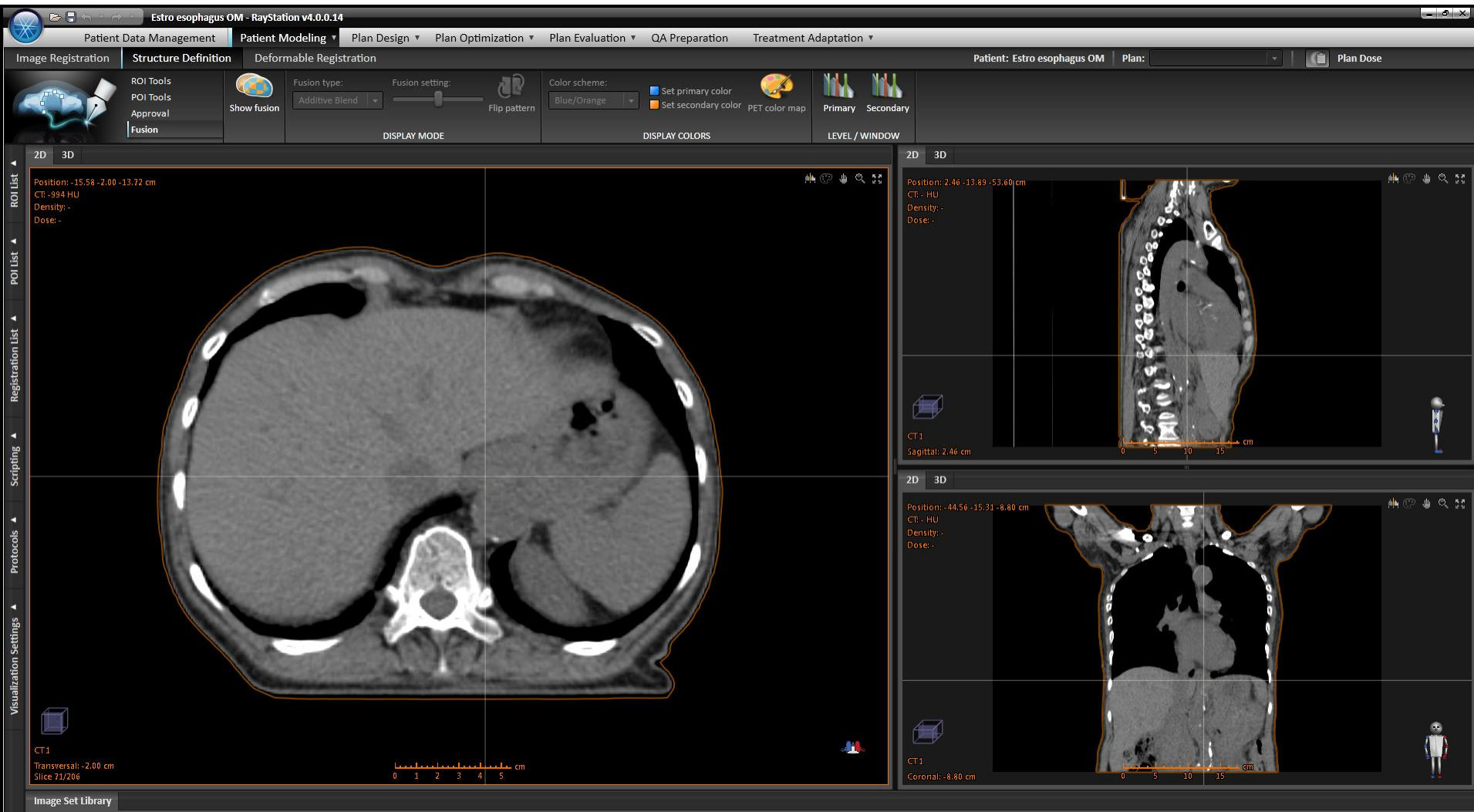
# BTV?

The screenshot displays the RayStation v4.0.0.14 software interface for a patient named "Estro esophagus OM". The interface is divided into several sections:

- Top Menu:** Patient Data Management, Patient Modeling, Plan Design, Plan Optimization, Plan Evaluation, QA Preparation, Treatment Adaptation.
- Toolbar:** Image Registration, Structure Definition, Deformable Registration, Patient: Estro esophagus OM, Plan: [dropdown], Plan Dose.
- Control Panels:**
  - ROI Tools:** ROI Tools, POI Tools, Approval, Fusion.
  - Fusion type:** Additive Blend.
  - Fusion setting:** [slider]
  - Flip pattern:** [toggle]
  - Color scheme:** Blue/Orange.
  - DISPLAY COLORS:** Set primary color, Set secondary color, PET color map.
  - LEVEL / WINDOW:** Primary, Secondary.
- Main Viewport (Left):** 2D 3D view showing a transverse PET/CT fusion. Position: -14.27 -2.80 0.64 cm. CT: -994 HU. Density: -. Dose: -. Primary: CT1, Secondary: PET2, Transversal: -2.80 cm, Slice 67/206.
- Main Viewport (Right):** 2D 3D view showing a sagittal PET/CT fusion. Position: 2.46 -18.41 4.35 cm. CT: - HU. Density: -. Dose: -. Primary: CT1, Secondary: PET2, Sagittal: 2.46 cm.
- Main Viewport (Bottom Right):** 2D 3D view showing a coronal PET/CT fusion. Position: -45.04 27.64 -8.10 cm. CT: - HU. Density: -. Dose: -. Primary: CT1, Secondary: PET2, Coronal: -8.10 cm.
- Left Sidebar:** ROI List, POI List, Registration List, Scripting, Protocols, Visualization Settings, Image Set Library.

# BTV ? CAVEAT...

## SUV & registration



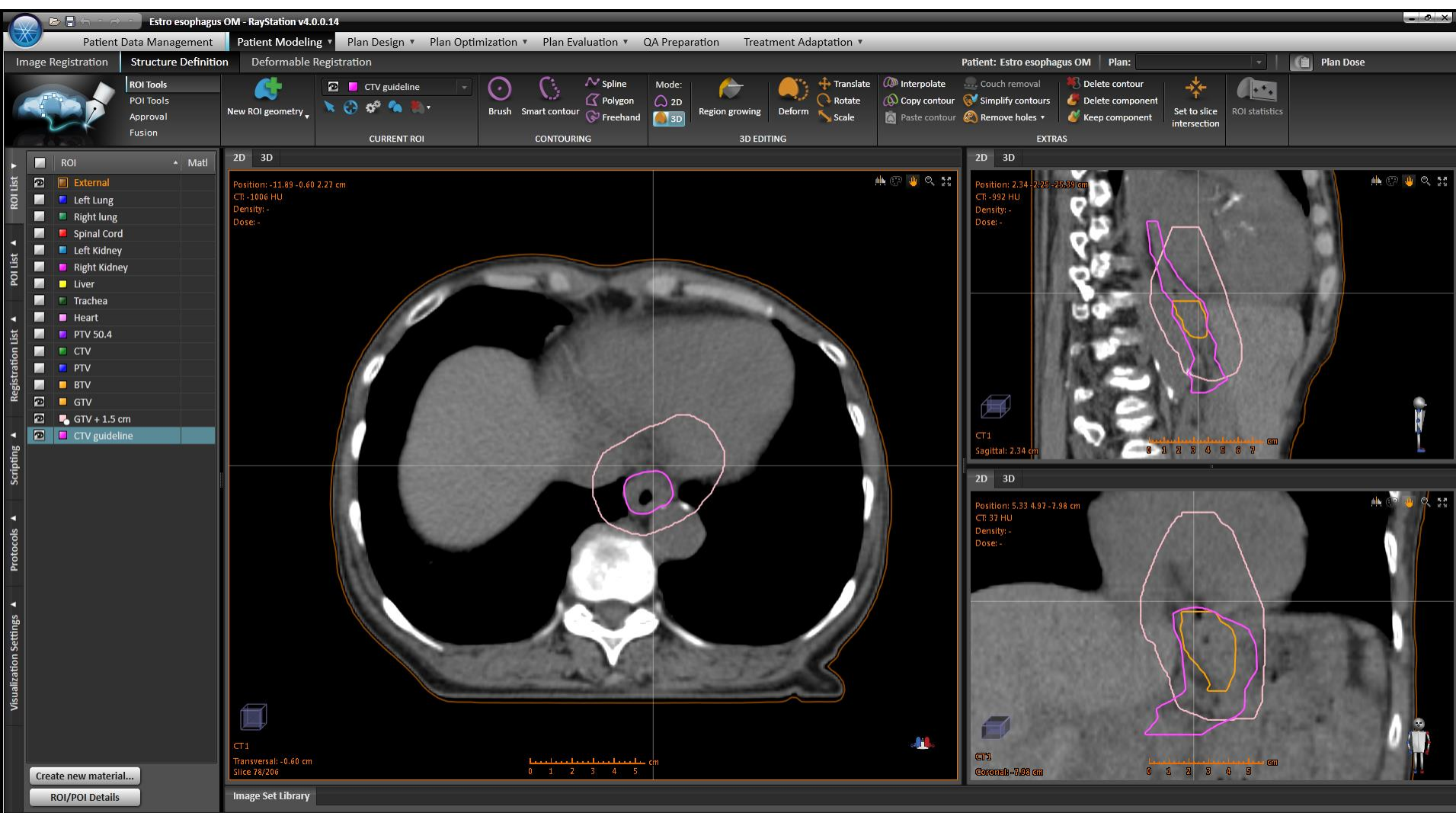
# CTV margins: (1,5 cm; 5cm)?

The screenshot displays the RayStation v4.0.0.14 software interface for a patient named "Estro esophagus OM". The interface is divided into several panels:

- Top Panel:** Contains the main menu with options like "Patient Data Management", "Patient Modeling", "Plan Design", "Plan Optimization", "Plan Evaluation", "QA Preparation", and "Treatment Adaptation". It also shows the current patient name and plan name.
- Left Panel:** Lists various anatomical regions of interest (ROIs) and target volumes (PTV, GTV, BTV). The "GTV + 1.5 cm" ROI is currently selected.
- Top-Right Panel:** A toolbar with various tools for contouring and editing, such as "Interpolate", "Copy contour", "Paste contour", "Simplify contours", "Remove holes", "Delete contour", "Delete component", "Keep component", "Set to slice intersection", and "ROI statistics".
- Main Viewport:** Shows three orthogonal views of a CT scan slice:
  - Transverse View (Top Left):** Shows a cross-section of the chest with a white contour around the esophagus and a yellow contour representing the 1.5 cm margin. Position: 13.74 - 0.20 2.38 cm. CT: -1002 HU. Density: -. Dose: -.
  - Sagittal View (Top Right):** Shows a side view of the chest with a white contour around the esophagus and a yellow contour representing the 1.5 cm margin. Position: 3.52 0.57 - 30.26 cm. CT: -943 HU. Density: -. Dose: -.
  - Coronal View (Bottom Right):** Shows a front view of the chest with a white contour around the esophagus and a yellow contour representing the 1.5 cm margin. Position: 1.48 3.94 - 8.10 cm. CT: 2 HU. Density: -. Dose: -.
- Bottom Panel:** Includes a "Create new material..." button, "ROI/POI Details" button, and an "Image Set Library" section.



# CTV margins: anatomic corrections



# ITV

Estro esophagus OM\* - RayStation v4.0.0.14

Patient Data Management | Patient Modeling | Plan Design | Plan Optimization | Plan Evaluation | QA Preparation | Treatment Adaptation

Image Registration | Structure Definition | Deformable Registration | Patient: Estro esophagus OM | Plan: | Plan Dose

ROI Tools: ROI Tools, POI Tools, Approval, Fusion

NEW ROI geometry

CURRENT ROI: PTV guidelines

DERIVED ROI: Update, Edit, Underwrite, Override

CONTOURING: Brush, Smart contour, Polygon, Freehand

Mode: 2D, 3D

3D EDITING: Region growing, Deform, Translate, Rotate, Scale

EXTRAS: Interpolate, Couch removal, Delete contour, Copy contour, Paste contour, Simplify contours, Remove holes, Delete component, Keep component, Set to slice intersection, ROI statistics

ROI List

- External
- Left Lung
- Right lung
- Spinal Cord
- Left Kidney
- Right Kidney
- Liver
- Trachea
- Heart
- PTV 50.4
- CTV
- PTV
- BTV
- GTV
- GTV + 1.5 cm
- CTV guideline
- ITV
- PTV guidelines

Registration List

Scripting

Protocols

Visualization settings

Create new material...

ROI/POI Details

2D 3D

Position: -17.89 -2.70 -1.18 cm  
CT: -1008 HU  
Density: -  
Dose: -

2D 3D

Position: 2.34 12.25 -25.36 cm  
CT: 989 HU  
Density: -  
Dose: -

2D 3D

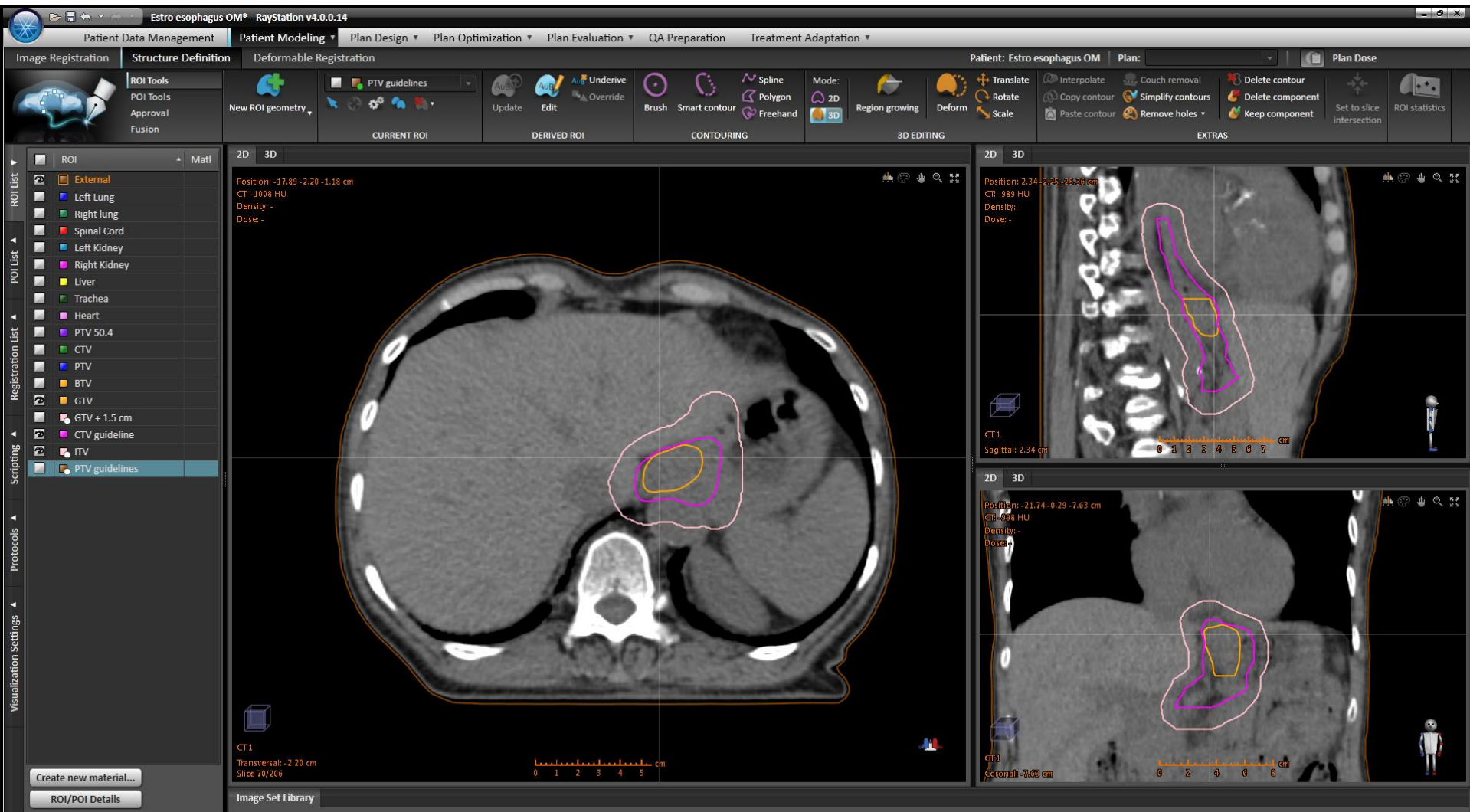
Position: -21.74 -0.29 -7.63 cm  
CT: 498 HU  
Density: -  
Dose: -

CT1 Transversal: -2.20 cm  
Slice 70/206

CT1 Sagittal: 2.34 cm

CT1 Coronal: -7.63 cm

Image Set Library



# Planning Target Volume (PTV)

- According to the ICRU 50 and 62 report
  - PTV will then be defined as the ITV-volume plus a 3-D margin of **5 mm** (except if the centre has defined its own measures of positioning inaccuracy).

# PTV

Estro esophagus OM\* - RayStation v4.0.0.14

Patient Data Management | Patient Modeling | Plan Design | Plan Optimization | Plan Evaluation | QA Preparation | Treatment Adaptation

Image Registration | Structure Definition | Deformable Registration

Patient: Estro esophagus OM Plan: Plan Dose

ROI Tools: POI Tools, Approval, Fusion

NEW ROI geometry: PTV guidelines, Update, Edit, Underive, Override

CURRENT ROI: Brush, Smart contour, Polygon, Freehand

DERIVED ROI: Spline, Mode: 2D, 3D

CONTOURING: Region growing, Deform

3D EDITING: Translate, Rotate, Scale, Interpolate, Couch removal, Delete contour, Delete component, Keep component, Set to slice intersection, ROI statistics

EXTRAS: Copy contour, Paste contour, Simplify contours, Remove holes

ROI List: External, Left Lung, Right Lung, Spinal Cord, Left Kidney, Right Kidney, Liver, Trachea, Heart, PTV 50.4, CTV, PTV, BTV, GTV, GTV + 1.5 cm, CTV guideline, ITV, PTV guidelines

Registration list: PTV guidelines

Scripting | Protocols | Visualization Settings

Create new material... ROI/POI Details

2D 3D

Position: -18.32 -2.20 -10.19 cm  
CT: -1007 HU  
Density: -  
Dose: -

2D 3D

Position: 2.34 -2.25 -25.56 cm  
CT: 989 HU  
Density: -  
Dose: -

2D 3D

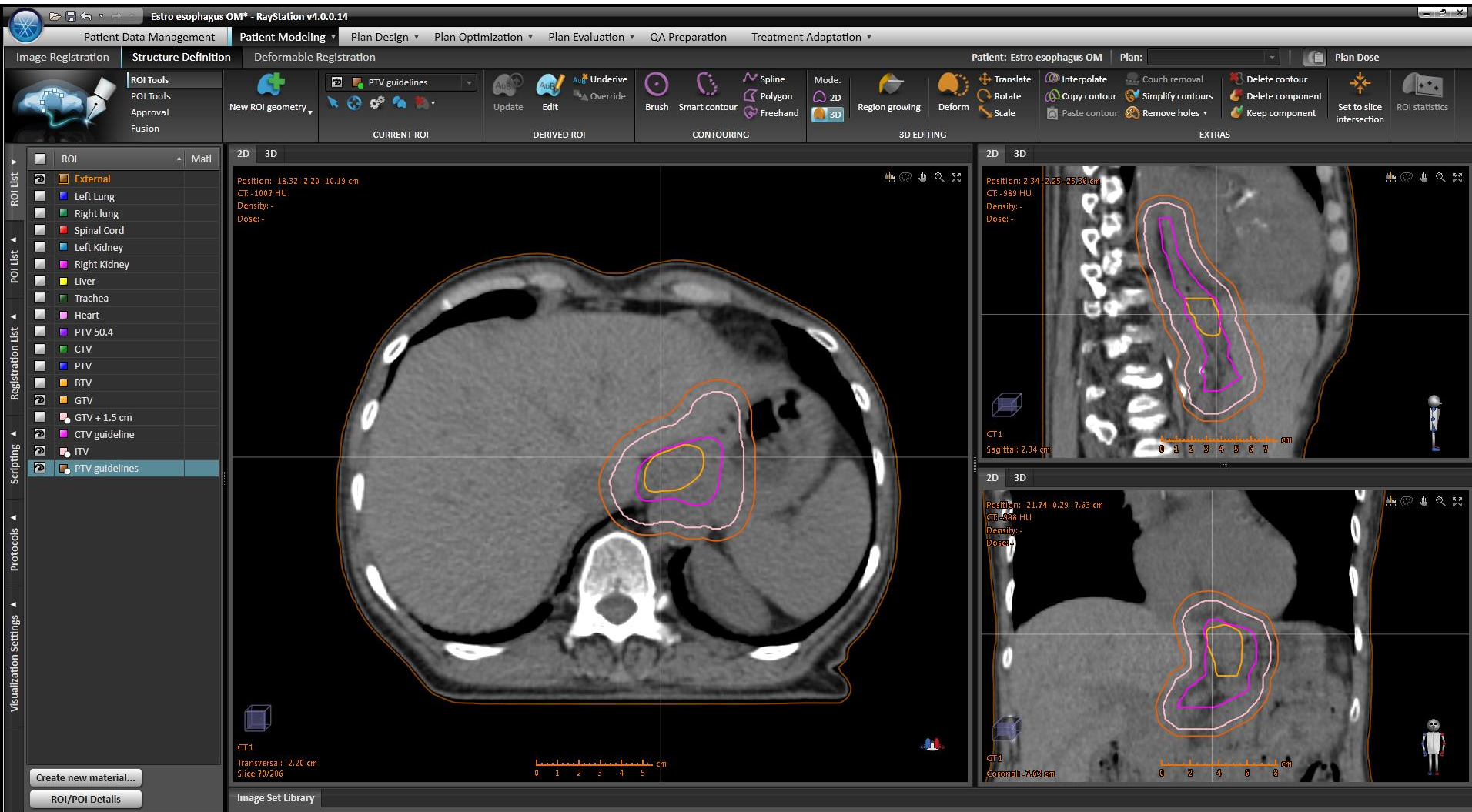
Position: -21.74 -0.29 -7.63 cm  
CT: 938 HU  
Density: -  
Dose: -

CT1 Transversal: -2.20 cm Slice 70/206

CT1 Sagittal: 2.34 cm

CT1 Coronal: -7.63 cm

Image Set Library



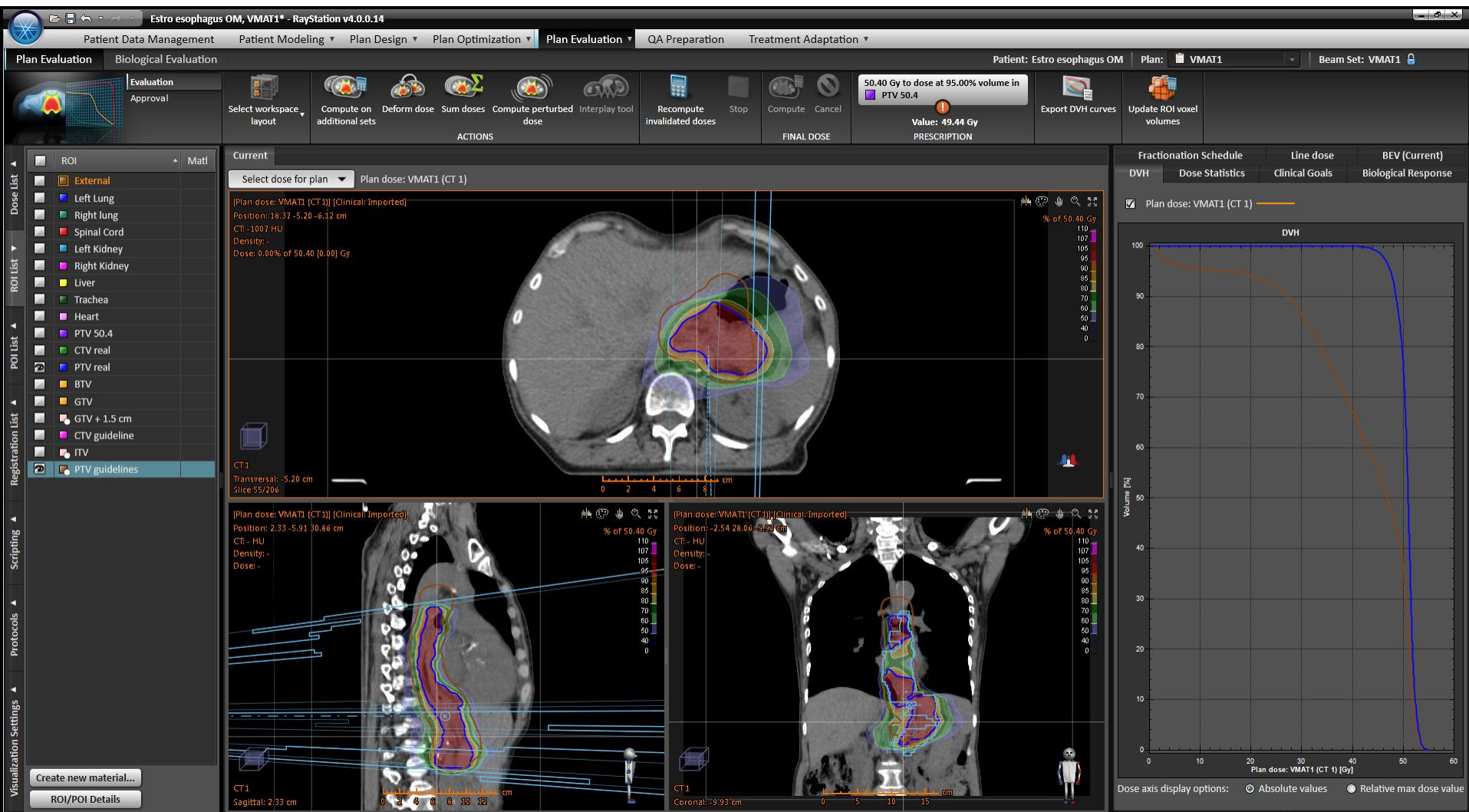


# Reality vs guidelines (I)

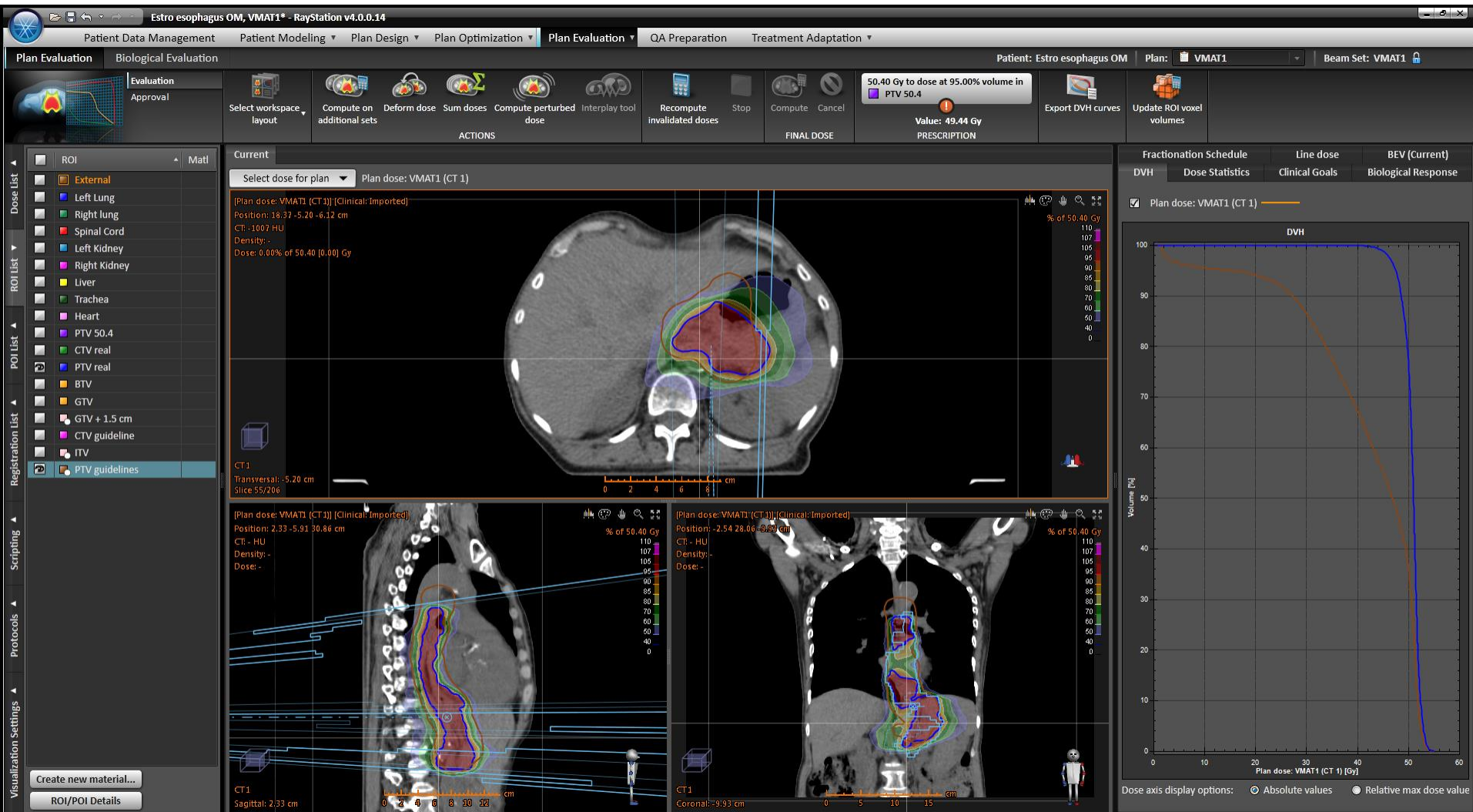
The screenshot displays the RayStation v4.0.0.14 software interface for a patient named "Estro esophagus OM". The interface is divided into several functional areas:

- Top Menu Bar:** Includes Patient Data Management, Patient Modeling, Plan Design, Plan Optimization, Plan Evaluation, QA Preparation, and Treatment Adaptation.
- Sub-Menus:** Image Registration, Structure Definition, and Deformable Registration are active.
- Toolbars:** The top toolbar contains tools for ROI Tools (Approval, Fusion), CURRENT ROI (New ROI geometry), DERIVED ROI (Update, Edit, Override), CONTOURING (Brush, Smart contour, Spline, Polygon, Freehand), 3D EDITING (Region growing, Deform), and EXTRAS (Interpolate, Couch removal, Delete contour, Copy contour, Paste contour, Simplify contours, Remove holes, Delete component, Keep component, Set to slice intersection, ROI statistics).
- Left Panel:** Lists various ROIs and POIs, including External, Left Lung, Right Lung, Spinal Cord, Left Kidney, Right Kidney, Liver, Trachea, Heart, PTV 50.4, CTV real, PTV real, BTV, GTV, GTV + 1.5 cm, CTV guideline, ITV, and PTV guidelines.
- Main Viewport:** Shows three CT slices with contours. The top-left view is a Transversal slice (Position: -14.16 2.00 -3.32 cm, CT: 999 HU, Density: -, Dose: -). The top-right view is a Sagittal slice (Position: 1.88 -6.42 -45.84 cm, CT: - HU, Density: -, Dose: -). The bottom-right view is a Coronal slice (Position: 9.72 8.14 -8.21 cm, CT: 850 HU, Density: -, Dose: -). Each view shows a blue contour (likely the target) and an orange contour (likely the organ at risk).
- Bottom Panel:** Includes an Image Set Library and buttons for "Create new material..." and "ROI/POI Details".

# Reality vs guidelines (II)



# Reality vs guidelines (II) does it matter?



# APPROCHE MULTIDISCIPLINAIRE

## Approche curative :

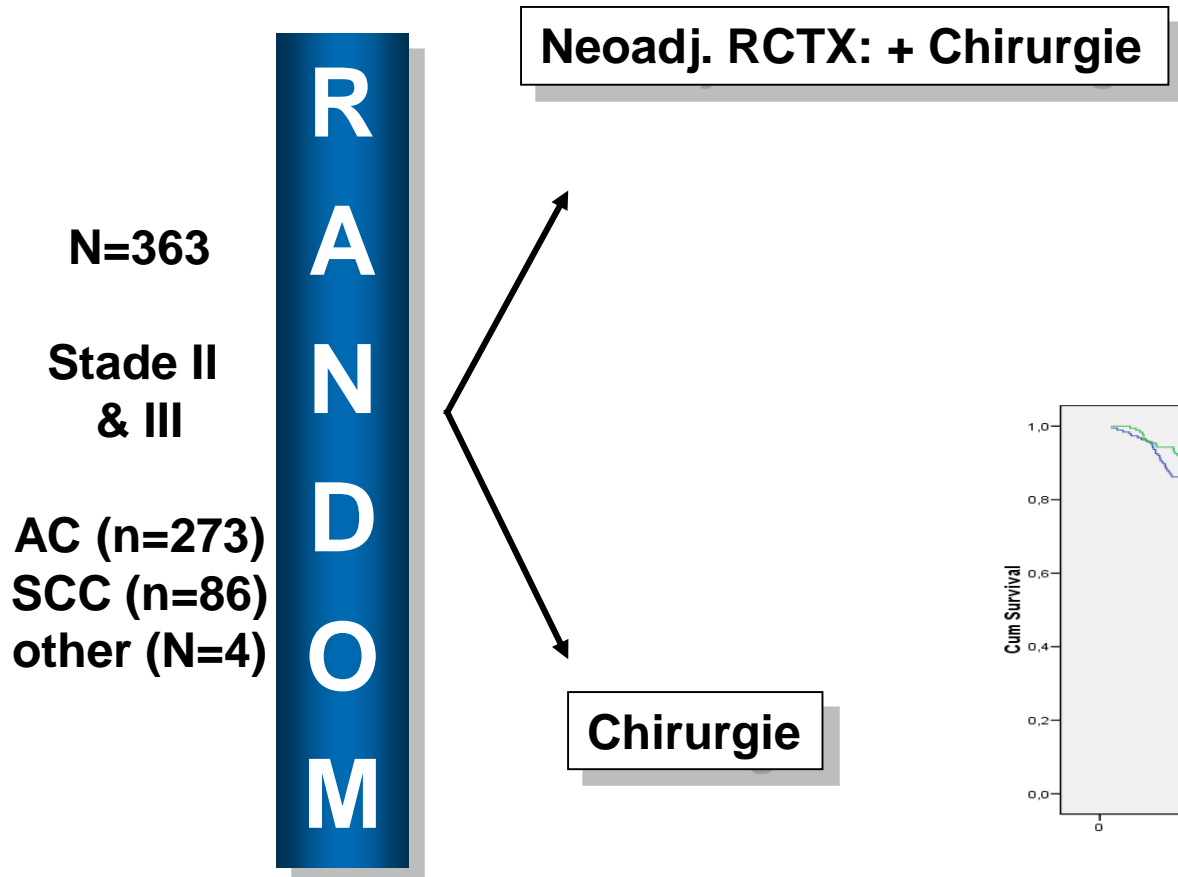
### OPTIONS POSSIBLES :

- Chirurgie seule (ex. ca précoce du tiers moyen).
- RT seule : ca précoce du tiers supérieur, curiethérapie.
- Associations:
  - RT + CT.
  - RT + CT + Chir. (tumeurs localement avancées)

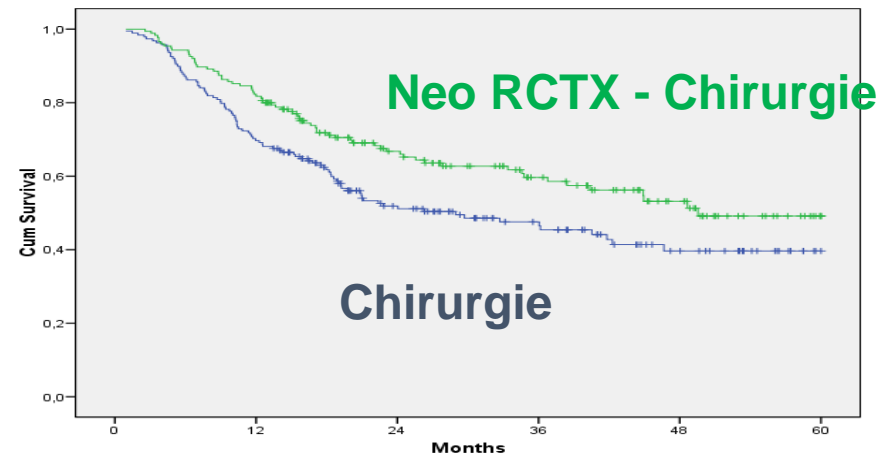
# Radio-chimiothérapie Neo-adjuvante

<i>Etude</i>	<i>n</i>	<i>Histologie</i>	<i>neo RCTX</i>	<i>CHIR</i>	<i>p</i>
<b>Walsh 1996</b>	<b>113</b>	<b>AC</b>	<b>16 M</b>	<b>10 M</b>	<b>0.02</b>
<b>Bosset 1997</b>	<b>297</b>	<b>SCC</b>	<b>19 M</b>	<b>19 M</b>	<b>ns</b>
<b>Urba 2001</b>	<b>100</b>	<b>AC &gt; SCC</b>	<b>17 M</b>	<b>18 M</b>	<b>ns</b>
<b>Burmeister 2005</b>	<b>256</b>	<b>AC &gt; SCC</b>	<b>21 M</b>	<b>18 M</b>	<b>ns</b>
<b>Tepper 2008</b>	<b>56</b>	<b>AC &gt; SCC</b>	<b>4.5 a</b>	<b>1.8 a</b>	<b>0.02</b>

# Etude CROSS, ASCO 2010: Radio-chimiothérapie neo-adjuvante



## Survie





## Tendances actuelles :

### **Tumeurs potentiellement opérables :**

#### **Protocoles « néo-adjuvants »**

**RT (45-50 Gy) +  
CT**

**suivi d' une résection.**

**Avantages : « downstaging », parfois (20 %) disparition de la tumeur sur la pièce opératoire.**

**Inconvénients : morbidité et mortalité significative**



## Tendances actuelles :

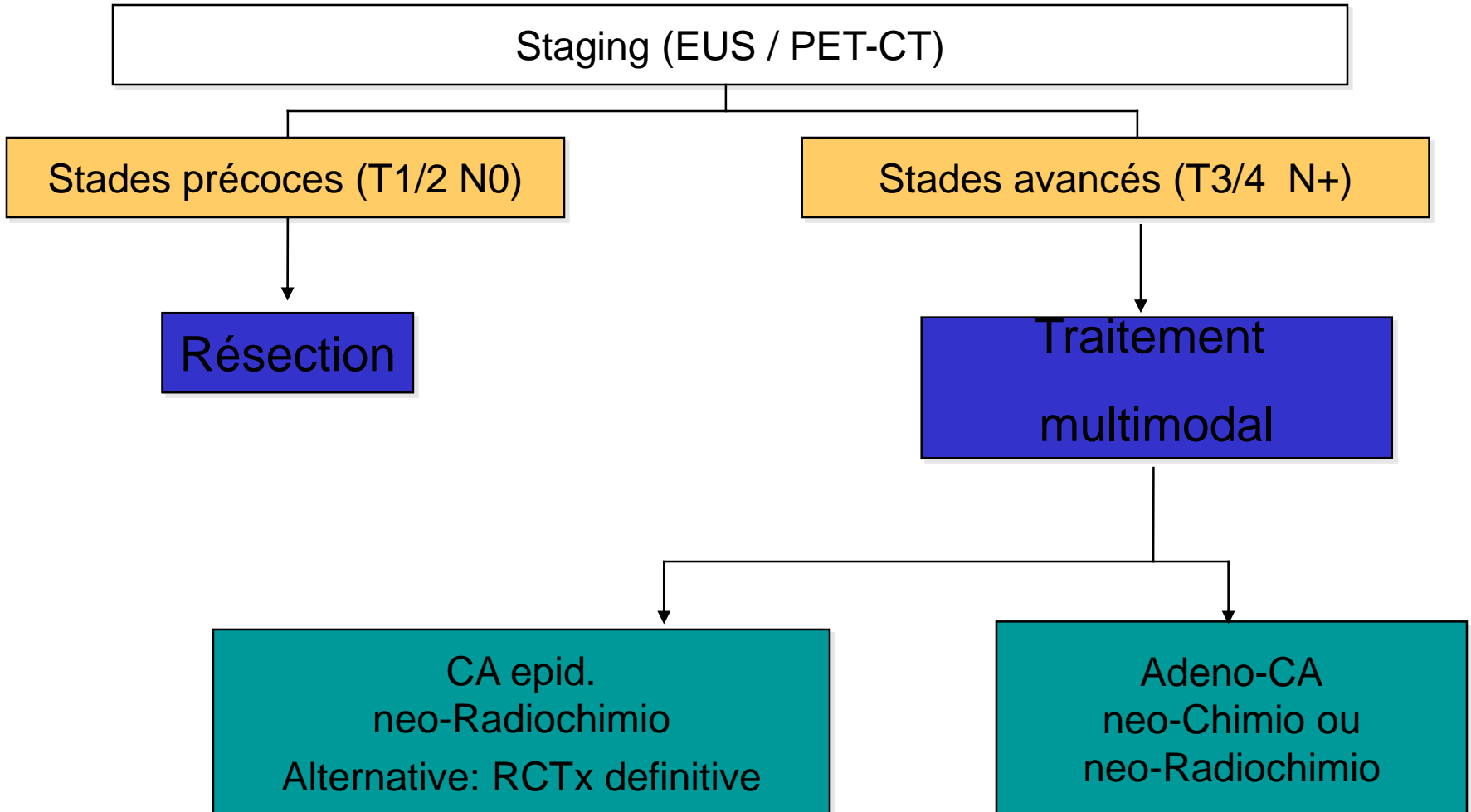
**Tumeurs potentiellement inopérables, mais M0 :**

**protocoles d'association radio-chimiothérapeutique**

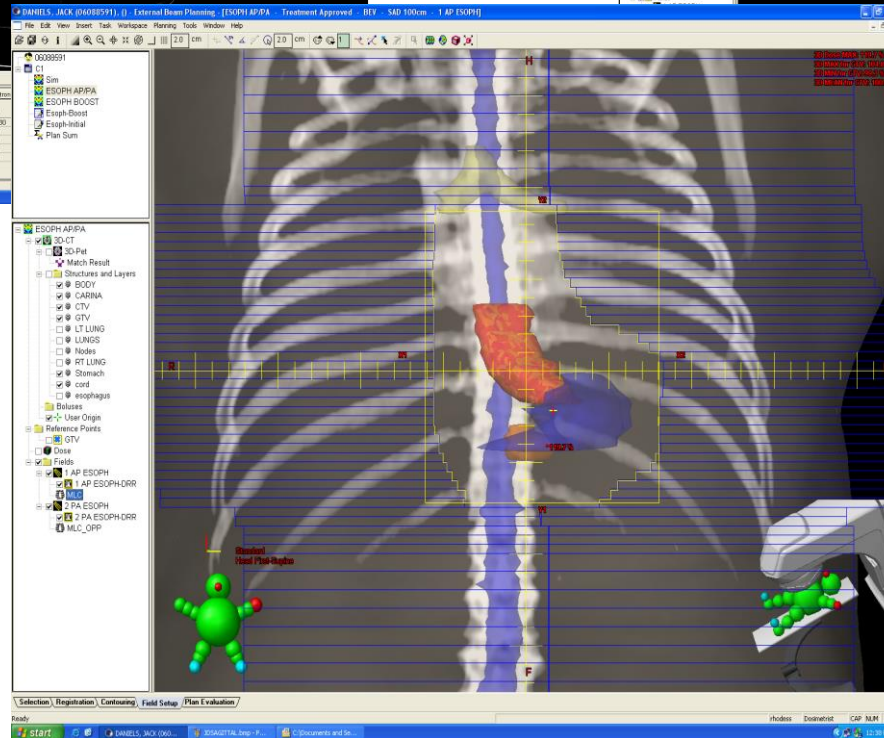
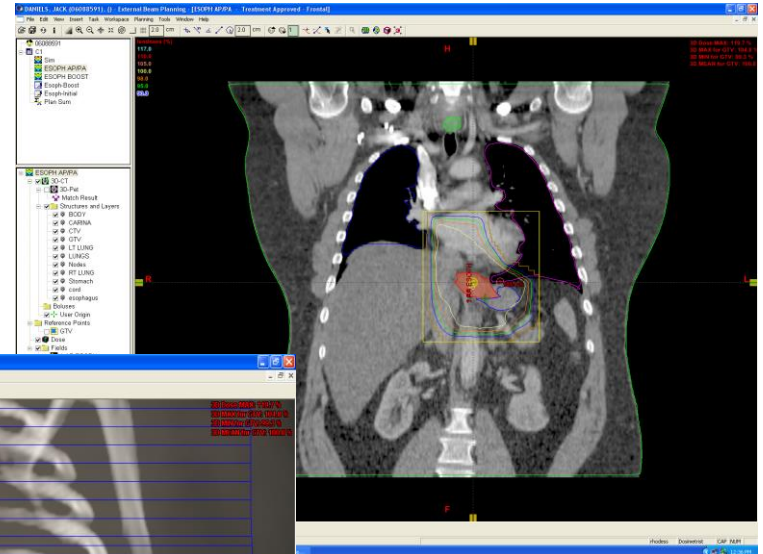
**RT (50 Gy) + CT.**

# Tendances actuelles : algorithme

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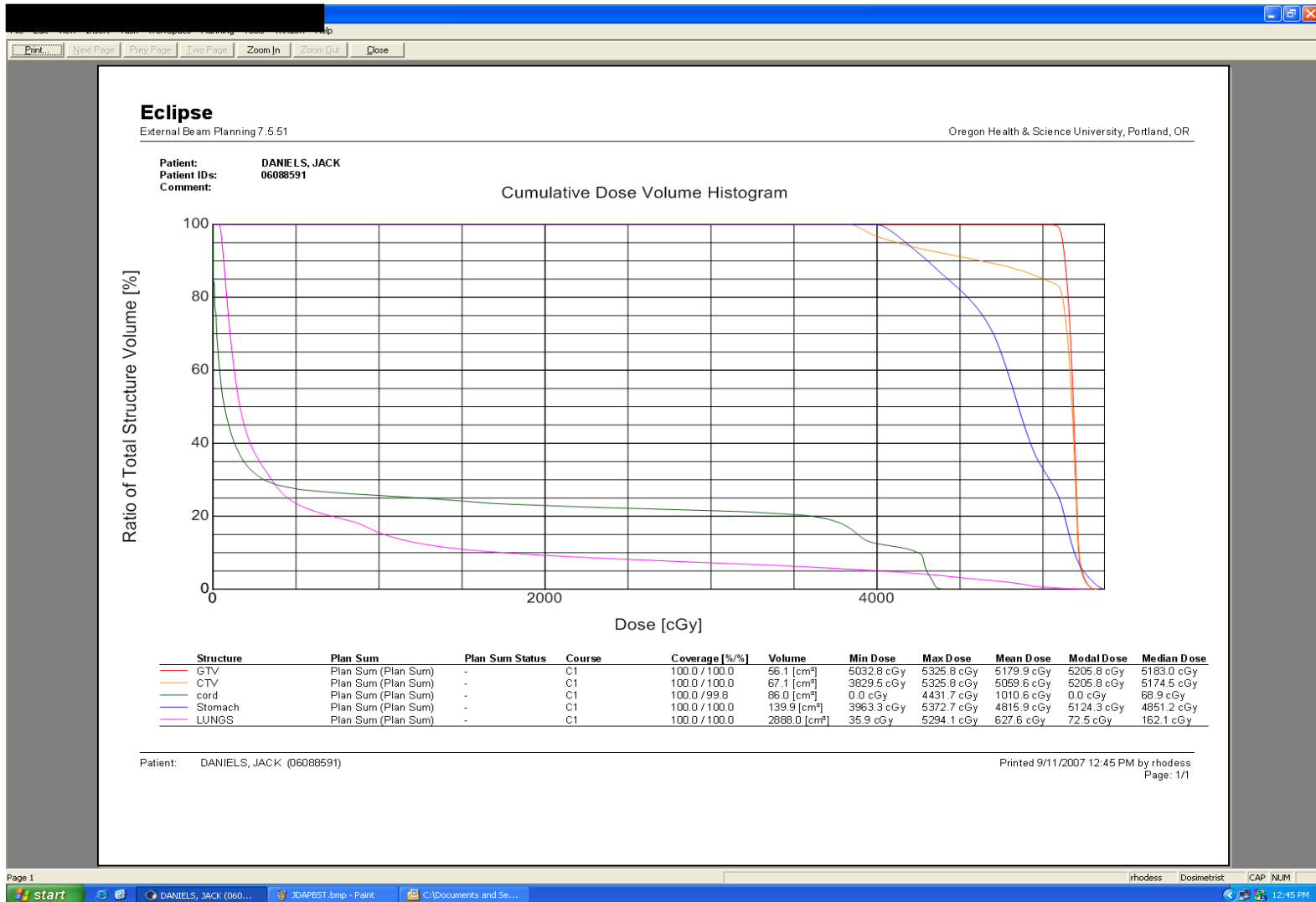
# Planning - 3D



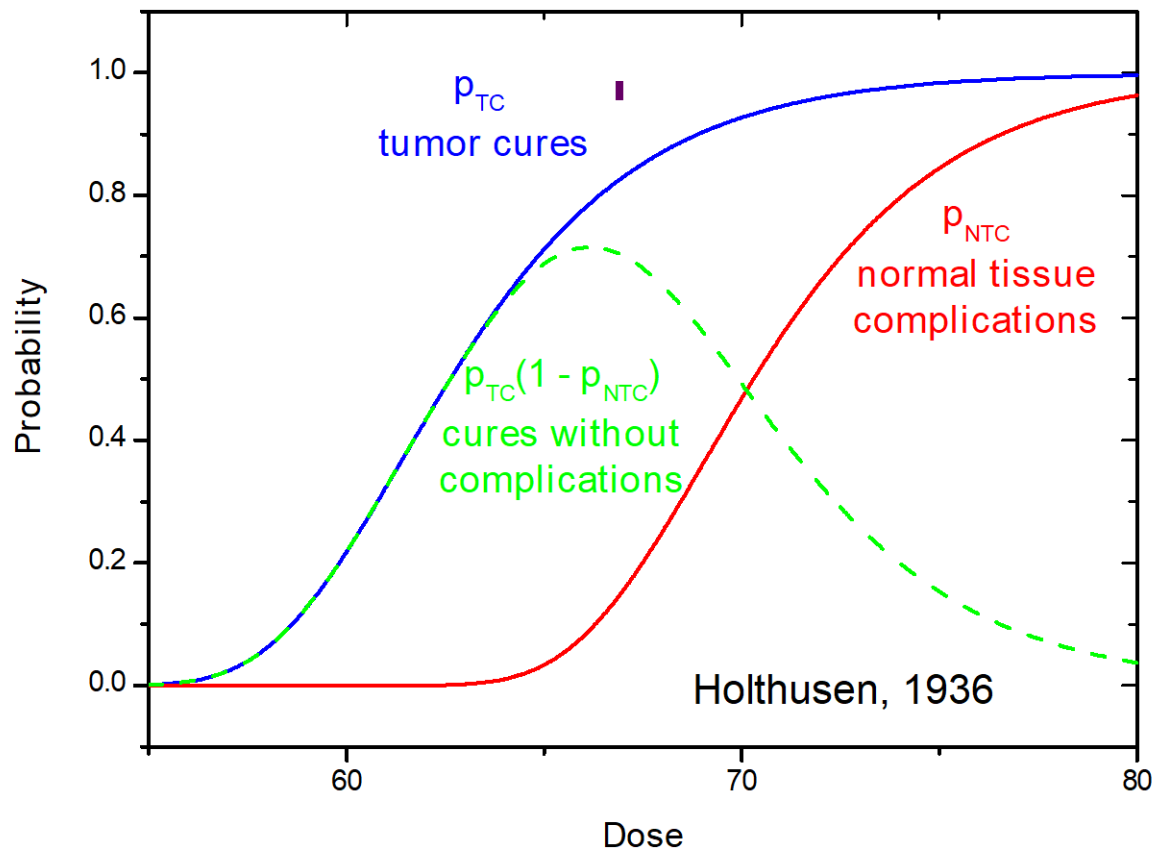
# **COMPLICATIONS POTENTIELLES DE LA RADIOTHERAPIE**

- **Sténose oesophagienne (> 50-60 Gy).**
- **Pneumonie actinique / fibrose pulmonaire (> 25 Gy).**
- **Atteintes cardiaques (rares) (> 40 Gy).**
- **Myélite actinique (rare) (> 45 Gy).**

# Planning - 3D DVH



- Radiation therapy affects both tumor cells and uninvolved normal cells



# Introduction

1972: First formal attempt to address normal tissue tolerance to radiation

*Rubin P, Cassarett G. A direction for clinical radiation pathology. In: Vaeth JM, et al., eds. Frontiers of radiation therapy and oncology VI. Baltimore, MD: University Park Press, 1972:1–16.*

1991: A committee reviewed available published data

→ but much of the data was nonexistent

→ rely on experience of 8 clinicians from major institutions in the US

CAVE:

*Literature review up to 1991.*

*Pre-dated the 3D-CRT, IMRT- IGRT era.*

*Dose-volume histograms were not in routine clinical use.*

*Arbitrary decision: organs be divided into one-third, two-thirds, and whole organ volumes*

*It was only for external beam radiation with conventional fractionation.*

*Only one severe complication was chosen as an endpoint*

*Emami B, Lyman J, Brown A, et al. Tolerance of normal tissue to therapeutic irradiation. Int J Radiat Oncol Biol Phys 1991;21(1):109–122.*





# Normal Tissue Tolerance Dose Metrics for Radiation Therapy of Major Organs

Michael T. Milano, MD, PhD, Louis S. Constine, MD, and Paul Okunieff, MD

**Table 2** Summary of Dosimetric Parameters for Clinical Toxicity

Organ	Emami <sup>2</sup> TD 5/5	Emami <sup>2</sup> TD 50/5	Endpoints	Dosimetric Parameters	Endpoints
Brainstem	1/3: 60 Gy 2/3: 53 3/3: 50	1/3: - 2/3: - 3/3: 65 Gy	Necrosis, infarction	V60 <0.9 mL	<5% grade ≥1 toxicity
Spinal cord	5 cm: 50 Gy 10 cm: 50 20 cm: 47	5 cm: 70 Gy 10 cm: 70 20 cm: -	Myelitis, necrosis	max <50 Gy	<5% grade ≥3 toxicity
Cervical spinal cord	—	—	—	EUD <52 Gy, max. <55 Gy	<5% grade ≥3 toxicity
Parotid	1/3: - 2/3: 32 Gy 3/3: 32	1/3: - 2/3: 46 Gy 3/3: 46	Xerostomia	Mean dose <26 Gy	Late grade 2 xerostomia resulting from >75% functional loss
Lung	1/3: 45 Gy 2/3: 30 3/3: 17.5	1/3: 65 Gy 2/3: 40 3/3: 24.5	Pneumonitis	V13 <40% V20 <25-30% V30 <10-15% MLD <10-20 Gy	Late grade 2 in <10-20% Late grade 3 in <5-10%
Heart	1/3: 60 Gy 2/3: 45 3/3: 40	1/3: 70 Gy 2/3: 55 3/3: 50	Pericarditis	V33 <60%, V38 <33% V42 <20%	5% excess cardiac mortality
Esophagus	1/3: 60 Gy 2/3: 58 3/3: 55	1/3: 72 Gy 2/3: 70 3/3: 68	Clinical stricture/ perforation	V50 and S50 <30%	5% risk of late toxicity
Rectum	1/3: 60 Gy 2/3: 60 3/3: 60	1/3: 80 Gy 2/3: 80 3/3: 80	Proctitis, necrosis, fistula, stenosis	V70-80 ≤15 cc V70 ≤20-25%	Late grade 2 in <5-10%
Liver	1/3: 50 Gy 2/3: 35 3/3: 30	1/3: 55 Gy 2/3: 45 3/3: 40	Liver failure	1/3: 40-80 Gy 2/3: 30-50 3/3: 25-35	Late grade 3-4 liver toxicity <5%
Kidney	1/3: 50 Gy 2/3: 30 3/3: 23	1/3: - 2/3: 40 Gy 3/3: 28	Clinical nephritis	median dose <17.5 Gy	anemia, azotemia, hypertension and edema

Dose (Gy)                    0                    20                    40                    60                    70

**Spinal Cord**

V o l u m e	0-20%	<1%	<5%	10-50%
	20-40%			
	40-60%			
	60-80%			
	80-100%			

**Lung**

V o l u m e	0-20%	<5%	<5%	<10%	<20%	>20%
	20-40%		10-20%	30-50%	>75%	
	40-60%					
	60-80%		>50%			
	80-100%					

**Parotid**

V o l u m e	0-20%	<5%	5-10%	>25%
	20-40%		10-20%	>50%
	40-60%			
	60-80%			
	80-100%			

**Heart**

V o l u m e	0-20%	<5%	<5%	5-10%	10-25%	
	20-40%		10-15%	<15-20%		
	40-60%					
	60-80%			15-25%	25-40%	>40%
	80-100%					

**Liver**

V o l u m e	0-20%	<1%	<5%	<25%
	20-40%		>50%	>75%
	40-60%			
	60-80%			
	80-100%			

**Rectum**

V o l u m e	0-20%	<1%	5-10%	<10%	<20%
	20-40%			>25%	~50%
	40-60%				
	60-80%				
	80-100%				

**Esophagus**

V o l u m e	0-20%	<1%	5-10%	<10%	<20%
	20-40%			>30%	>50%
	40-60%				
	60-80%				
	80-100%				

# 2010: Quantitative Analysis of Normal Tissue Effects in the Clinic (QUANTEC)



doi:10.1016/j.ijrobp.2009.07.1754

Table 1. QUANTEC Summary: Approximate Dose/Volume/Outcome Data for Several Organs Following Conventional Fractionation (Unless Otherwise Noted)\*

Organ	Volume segmented	Irradiation type (partial organ unless otherwise stated) <sup>1</sup>	Endpoint	Dose (Gy), or dose/volume parameters <sup>1</sup>	Rate (%)	Notes on dose/volume parameters
Brain	Whole organ	3D-CRT	Symptomatic necrosis	Dmax <60	<3	Data at 72 and 90 Gy, extrapolated from BED models
	Whole organ	3D-CRT	Symptomatic necrosis	Dmax = 72	5	
	Whole organ	3D-CRT	Symptomatic necrosis	Dmax = 90	10	
Whole organ	SRS (single fraction)		Symptomatic necrosis	V12 <3–10 cc	<20	Rapid rise when V12 > 5–10 cc
Brain stem	Whole organ	Whole organ	Permanent cranial neuropathy or necrosis	Dmax <54	<5	
	Whole organ	3D-CRT	Permanent cranial neuropathy or necrosis	D1–10 cc <sup>1</sup> ≤59	<5	
	Whole organ	3D-CRT	Permanent cranial neuropathy or necrosis	Dmax <64	<5	Point dose <<1 cc
	Whole organ	SRS (single fraction)	Permanent cranial neuropathy or necrosis	Dmax <12.5	<5	For patients with acoustic tumors

## INTRODUCTORY PAPER

### USE OF NORMAL TISSUE COMPLICATION PROBABILITY MODELS IN THE CLINIC

LAWRENCE B. MARKS, M.D.,\* ELLEN D. YORKE, PH.D.,<sup>†</sup> ANDREW JACKSON, PH.D.,<sup>†</sup>  
 RANDALL K. TEN HAKEN, PH.D.,<sup>†</sup> LOUIS S. CONSTINE, M.D.,<sup>§</sup> AVRAHAM EISBRUCH, M.D.,<sup>‡</sup>  
 SØREN M. BENTZEN, PH.D.,<sup>||</sup> JIHO NAM, M.D.,\* AND JOSEPH O. DEASY, PH.D.<sup>¶</sup>

\*Department of Radiation Oncology, Memorial Sloan-Kettering Cancer Center, New York, NY; <sup>†</sup>Department of Medical Physics, Memorial Sloan-Kettering Cancer Center, New York, NY; <sup>‡</sup>Department of Radiation Oncology, University of Michigan, Ann Arbor, MI; <sup>§</sup>Department of Radiation Oncology, University of Rochester, Rochester, NY; <sup>||</sup>Department of Human Oncology, University of Wisconsin, Madison, WI; and <sup>¶</sup>Department of Radiation Oncology, Alvin J. Siteman Cancer Center, Washington University School of Medicine, St. Louis, MO

Table 1. QUANTEC Summary: Approximate Dose/Volume/Outcome Data for Several Organs Following Conventional Fractionation (Unless Otherwise Noted)\* (Continued)

Organ	Volume segmented	Irradiation type (partial organ unless otherwise stated) <sup>1</sup>	Endpoint	Dose (Gy), or dose/volume parameters <sup>1</sup>	Rate (%)	Notes on dose/volume parameters	
Optic nerve / chiasm	Whole organ	3D-C					
	Whole organ	3D-C					
	Whole organ	3D-C					
Whole organ	SRS <sup>1</sup>						
Spinal cord	Partial organ	3D-C	Bilateral whole parotid glands	3D-CRT		Long term parotid function reduction pre-RT level	
	Partial organ	3D-C					
	Partial organ	3D-C					
	Partial organ	3D-C					
Partial organ	SRS <sup>1</sup>	Pharynx	Pharyngeal constrictors	Whole organ		Symptomatic aspiration	
Partial organ	SRS <sup>1</sup>	Larynx	Whole organ	3D-CRT		Vocal dysfunction	
Cochlea	Whole organ	3D-C	Whole organ	3D-CRT		Aspiration	
	Whole organ	SRS <sup>1</sup>					
Parotid	Bilateral whole parotid glands	3D-C	Whole organ	3D-CRT		Edema	
			Whole organ	3D-CRT		Edema	
	Unilateral whole parotid gland	3D-C	Lung	Whole organ	3D-CRT		Symptomatic
				Whole organ	3D-CRT		Symptomatic
	Whole organ	3D-CRT		Symptomatic			
	Whole organ	3D-CRT		Symptomatic			
	Whole organ	3D-CRT		Symptomatic			
	Whole organ	3D-CRT		Symptomatic			
	Esophagus	Whole organ	3D-CRT	Grade ≥3 ac			
				Grade ≥2 ac			
Grade ≥2 ac							
Grade ≥2 ac							
Heart	Pericardium	3D-CRT	Pericarditis				
			Pericarditis				
	Whole organ	3D-CRT				Long-term ca	

Organ	Volume segmented	Irradiation type (partial organ unless otherwise stated) <sup>1</sup>	Endpoint	Dose (Gy), or dose/volume parameters <sup>1</sup>	Rate (%)	Notes on dose/volume parameters
Liver	Whole liver – GTV	3D-CRT or Whole organ	Classic RILD <sup>1</sup>	Mean dose <30–32	<5	Excluding patients with pre-existing liver disease or hepatocellular carcinoma, as tolerance doses are lower in these patients
				Mean dose <42	<50	
	Whole liver – GTV	3D-CRT or Whole organ	Classic RILD	Mean dose <28	<5	In patients with Child-Pugh A preexisting liver disease or hepatocellular carcinoma, excluding hepatitis B reactivation as an endpoint
				Mean dose <36	<50	
	Whole liver – GTV	SBRT (hypofraction)	Classic RILD	Mean dose <13	<5	3 fractions, for primary liver cancer
				Mean dose <18	<5	6 fractions, for primary liver cancer
Whole liver – GTV	SBRT (hypofraction)	Classic RILD	Mean dose <15	<5	3 fractions, for liver metastases	
			Mean dose <20	<5	6 fractions, for liver metastases	
>700 cc of normal liver	SBRT (hypofraction)	Classic RILD	D <sub>max</sub> <15	<5	Critical volume based, in 3–5 fractions	
Kidney	Bilateral whole kidney <sup>1</sup>	Bilateral whole organ or 3D-CRT	Clinically relevant renal dysfunction	Mean dose <15–18	<5	
				Mean dose <28	<50	
	Bilateral whole kidney <sup>1</sup>	3D-CRT	Clinically relevant renal dysfunction	V12 <55% V20 <32% V23 <30% V28 <20%	<5	For combined kidney
Stomach	Whole organ	Whole organ	Ulceration	D100 <sup>1</sup> <45	<7	
Small bowel	Individual small bowel loops	3D-CRT	Grade ≥ 3 acute toxicity <sup>1</sup>	V15 <120 cc	<10	Volume based on segmentation of the individual loops of bowel, not the entire potential peritoneal space
				V45 <195 cc	<10	Volume based on the entire potential space within the peritoneal cavity

(Continued)

**Table 2 Summary of Dosimetric Parameters for Clinical Toxicity**

Organ	Emami <sup>2</sup> TD 5/5	Emami <sup>2</sup> TD 50/5	Endpoints	Dosimetric Parameters	Endpoints
Brainstem	1/3: 60 Gy 2/3: 53 3/3: 50	1/3: - 2/3: - 3/3: 65 Gy	Necrosis, infarction	V60 <0.9 mL	<5% grade ≥1 toxicity
Spinal cord	5 cm: 50 Gy 10 cm: 50 20 cm: 47	5 cm: 70 Gy 10 cm: 70 20 cm: -	Myelitis, necrosis	max <50 Gy	<5% grade ≥3 toxicity
Cervical spinal cord	—	—	—	EUD <52 Gy, max. <55 Gy	<5% grade ≥3 toxicity
Parotid	1/3: - 2/3: 32 Gy 3/3: 32	1/3: - 2/3: 46 Gy 3/3: 46	Xerostomia	Mean dose <26 Gy	Late grade 2 xerostomia resulting from >75% functional loss
Lung	1/3: 45 Gy 2/3: 30 3/3: 17.5	1/3: 65 Gy 2/3: 40 3/3: 24.5	Pneumonitis	V13 <40% V20 <25-30% V30 <10-15% MLD <10-20 Gy	Late grade 2 in <10-20% Late grade 3 in <5-10%
Heart	1/3: 60 Gy 2/3: 45 3/3: 40	1/3: 70 Gy 2/3: 55 3/3: 50	Pericarditis	V33 <60%, V38 <33% V42 <20%	5% excess cardiac mortality
Esophagus	1/3: 60 Gy 2/3: 58 3/3: 55	1/3: 72 Gy 2/3: 70 3/3: 68	Clinical stricture/ perforation	V50 and S50 <30%	5% risk of late toxicity
Rectum	1/3: 60 Gy 2/3: 60 3/3: 60	1/3: 80 Gy 2/3: 80 3/3: 80	Proctitis, necrosis, fistula, stenosis	V70-80 ≤15 cc V70 ≤20-25%	Late grade 2 in <5-10%
Liver	1/3: 50 Gy 2/3: 35 3/3: 30	1/3: 55 Gy 2/3: 45 3/3: 40	Liver failure	1/3: 40-80 Gy 2/3: 30-50 3/3: 25-35	Late grade 3-4 liver toxicity <5%
Kidney	1/3: 50 Gy 2/3: 30 3/3: 23	1/3: - 2/3: 40 Gy 3/3: 28	Clinical nephritis	median dose <17.5 Gy	anemia, azotemia, hypertension and edema

# Oesophagus: OAR...

- Heart
  - Lungs
  - Spinal cord
  - Vertebrae
  - Thyroid
  - Brachial plexus
  - Stomach
  - Liver
  - Biliary tract
  - Pancreas
  - Spleen
  - Kidneys
- 
- Vessels, pericarde, coronary arteries
  - Esophagus
- 
- Patient at risk

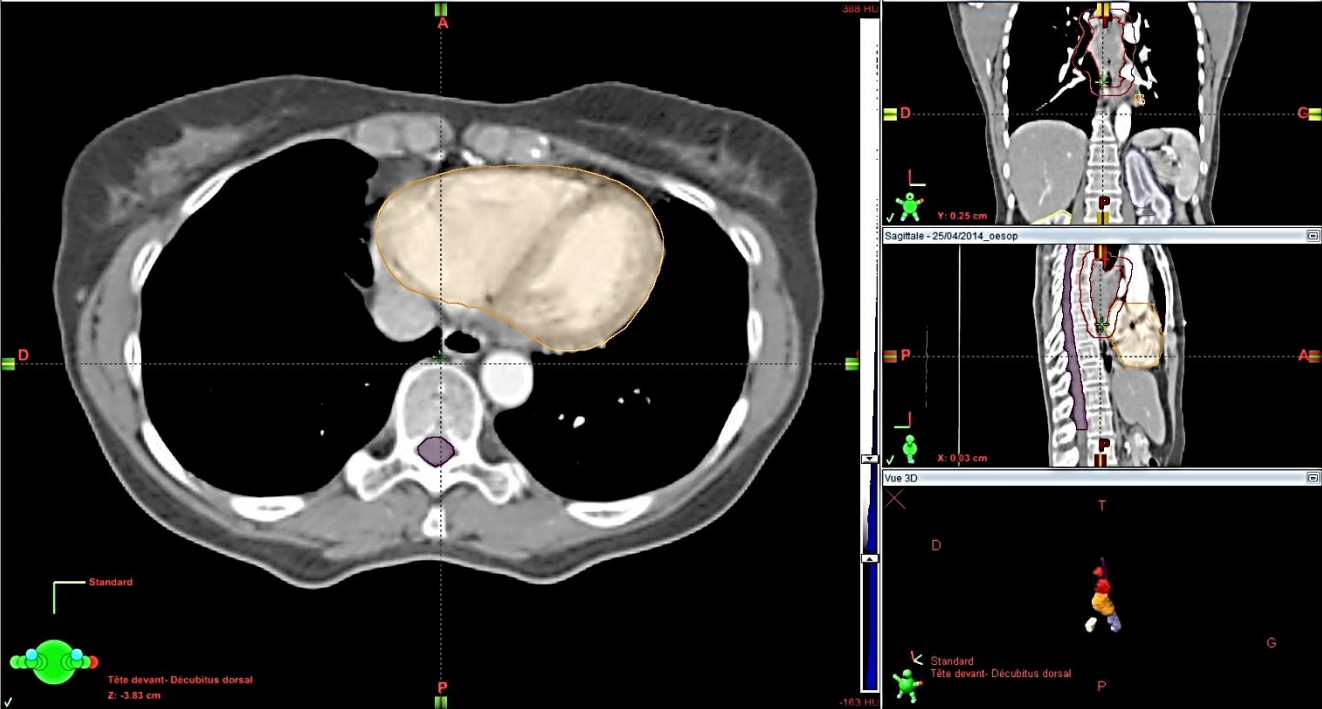
# OAR: Spinal cord

- Spinal cord injury rare but extremely debilitating
- ➔ paralysis, sensory, deficits, pain, and bowel/bladder incontinence (10,30)
- Schultheiss review:
  - risk of myelopathy to be 0.2% at 50 Gy and 5% at 59.3 Gy
- Similar conclusions published by QUANTEC

Organ	Volume segmented	Irradiation type (partial organ unless otherwise stated) <sup>†</sup>	Endpoint	Dose (Gy), or dose/volume parameters <sup>†</sup>	Rate (%)	Notes on dose/volume parameters
Spinal cord	Partial organ	3D-CRT	Myelopathy	Dmax = 50	0.2	Including full cord cross-section
	Partial organ	3D-CRT	Myelopathy	Dmax = 60	6	
	Partial organ	3D-CRT	Myelopathy	Dmax = 69	50	

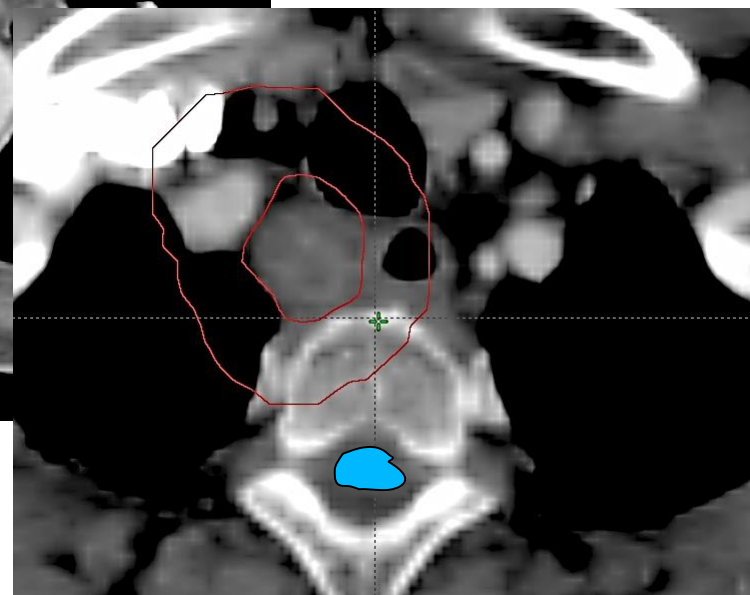
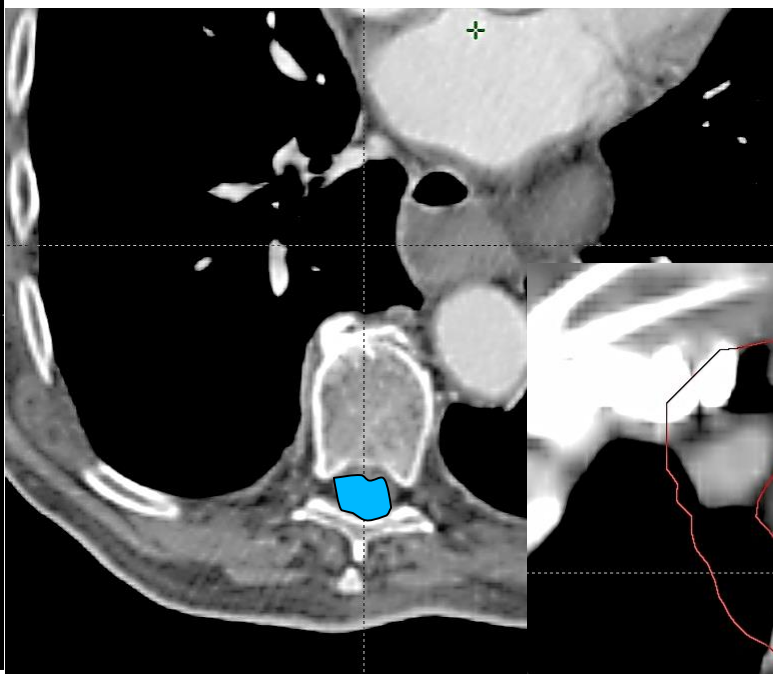
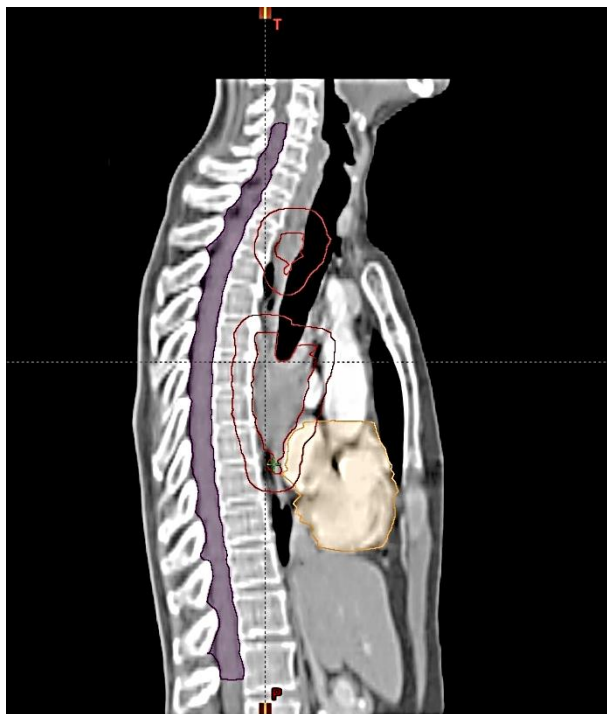
**Schultheiss TE, Kun LE, Ang KK, et al. Radiation response of the central nervous system. *Int J Radiat Oncol Biol Phys* 1995;31:1093–1112.**

# Spinal cord ...





# Spinal cord ... Which one ?



# Dose volume effect in the heart

## Most relevant cardiac toxicities

- Clinical pericarditis
- Long-term cardiac mortality

### Long-term cardiac mortality

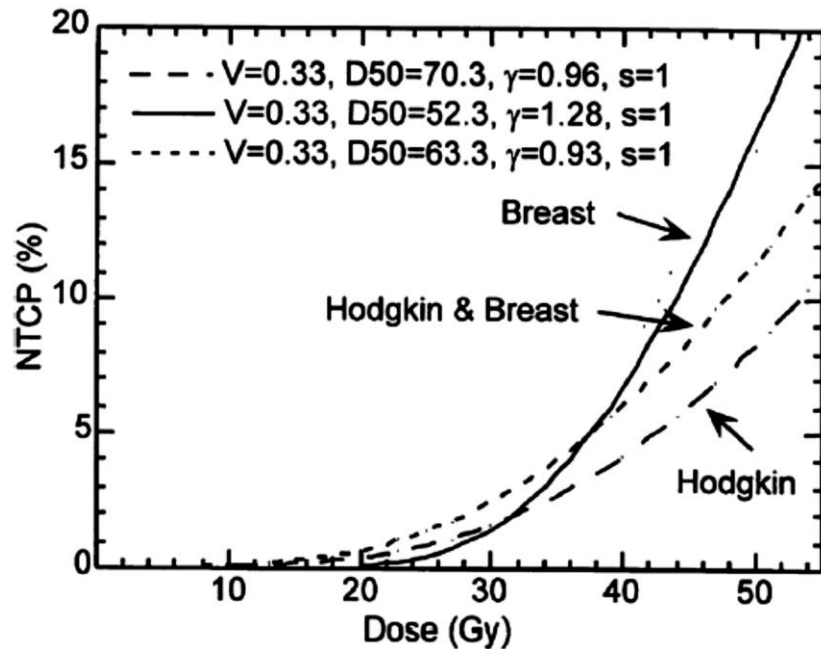


Table 2. Pericarditis/pericardial effusion: Dose-volume predictors and NTCP parameters

Authors, Year, Reference	Diagnosis, No. of patients, Years of treatment	OAR	Fractionation schedule, dose data	Predictive parameters	NTCP parameters
Carmel and Kaplan* 1976 (3)	Hodgkin's 377 Patients 1964-1972	Pericardium		$D_{\text{pericardium}} > 30 \text{ Gy}$ 50% pericarditis, 36% requiring treatment	
Cosset <i>et al.</i> 1991 (65)	Hodgkin's 499 Patients 1971-1984		35-43 Gy/ 2.5-3.3 Gy/fraction pre-3D dose data	$D_{\text{Mediastinum}} \geq 41 \text{ Gy}$ $d/\text{fraction} \geq 3 \text{ Gy}$ (marginal significance)	
Burman <i>et al.</i> 1991 (66)	Historical data				LKB <sup>†</sup> $TD50 = 48 \text{ Gy}$ $m = 0.10$ $n = 0.35$
Martel <i>et al.</i> 1998 (26)	Esophagus 57 Patients 1985-1991	Pericardium	37.5-49 Gy/ 1.5-3.5 Gy / fraction 3D data	$D_{\text{mean}} > 27.1 \text{ Gy}^{\dagger}$ $D_{\text{max}} > 47 \text{ Gy}^{\dagger}$ $d/\text{fraction} 3.5 \text{ Gy}$	LKB (95% CI) $TD50 = 50.6 \text{ Gy} (-9; 23.1)$ $m = 0.13 (-0.07; 0.13)$ $n = 0.64 (-0.58; 3)$
Wei <i>et al.</i> 2008 (27)	Esophagus 101 Patients 2000-2003	Pericardium	45-50.4 Gy 1.8-2.0 Gy/fraction 3D data	$D_{\text{mean,pericardium}} > 26.1 \text{ Gy}$ $V_{30} < 46\%$	

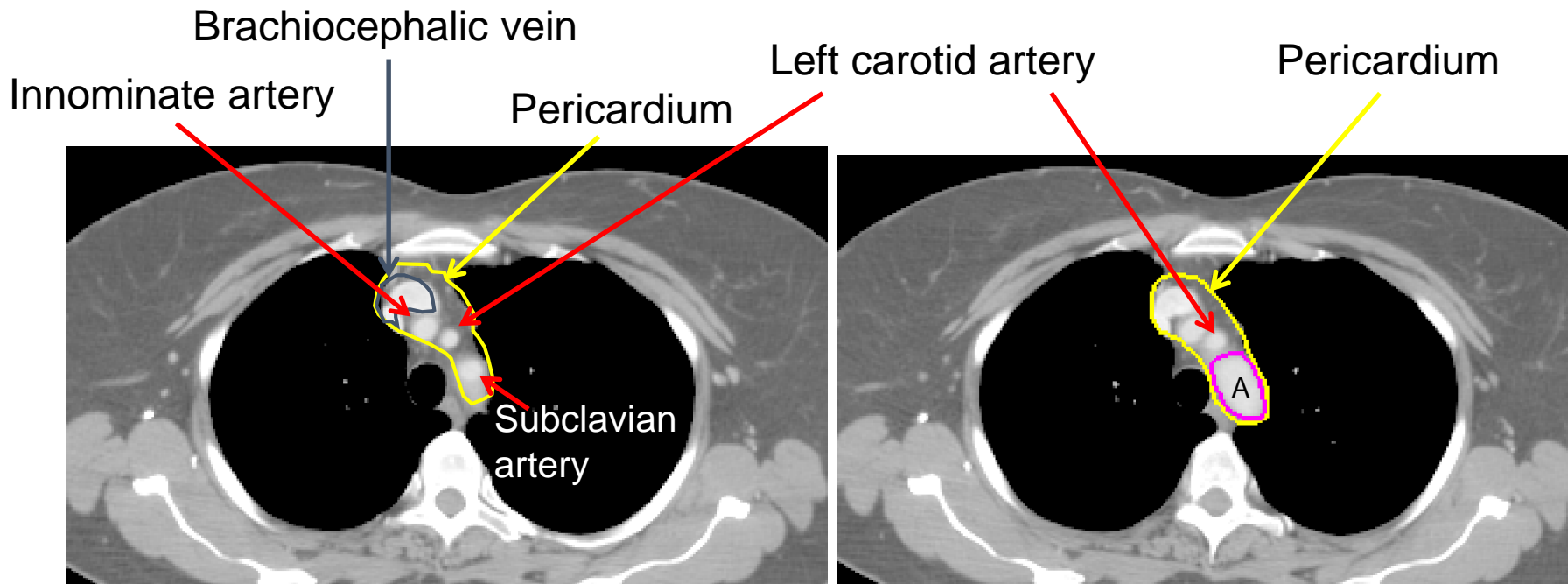
# Dose volume effect in the heart

QUANTEC:

Organ	Endpoint	Rate (%)	Dose-volume parameter	$D_{\max}$ (Gy)	$D_{\text{mean}}$ (Gy)
Heart	Pericarditis	<15	V30 <46%		<26
	Long-term cardiac mortality	<1	V25 <10%		

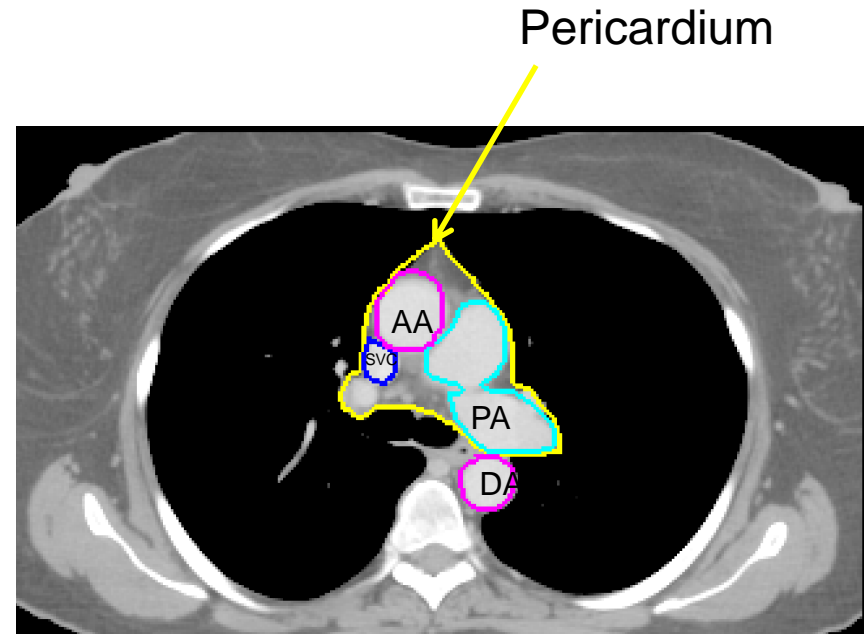
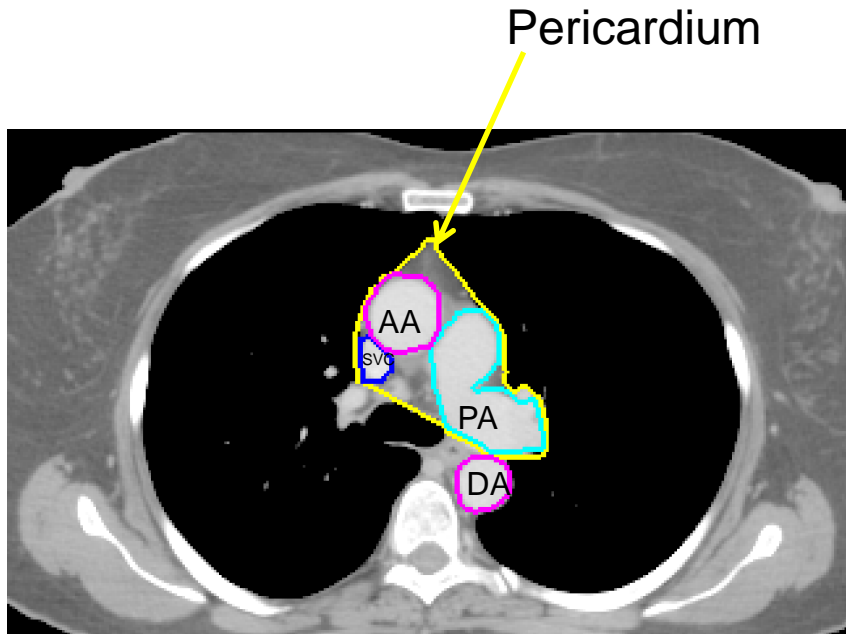
CAVE: ALARA left ventricle

# Pericardium starts ...



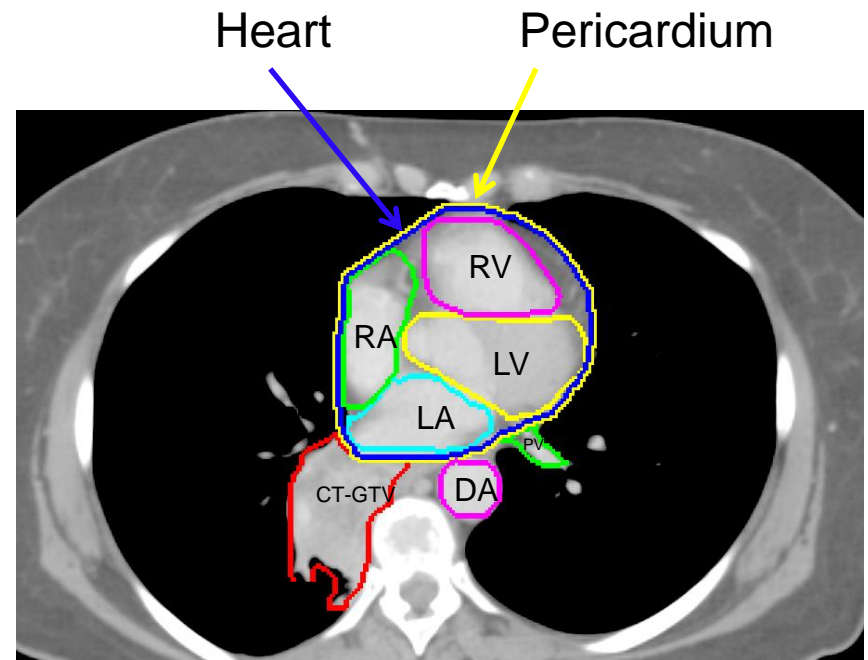
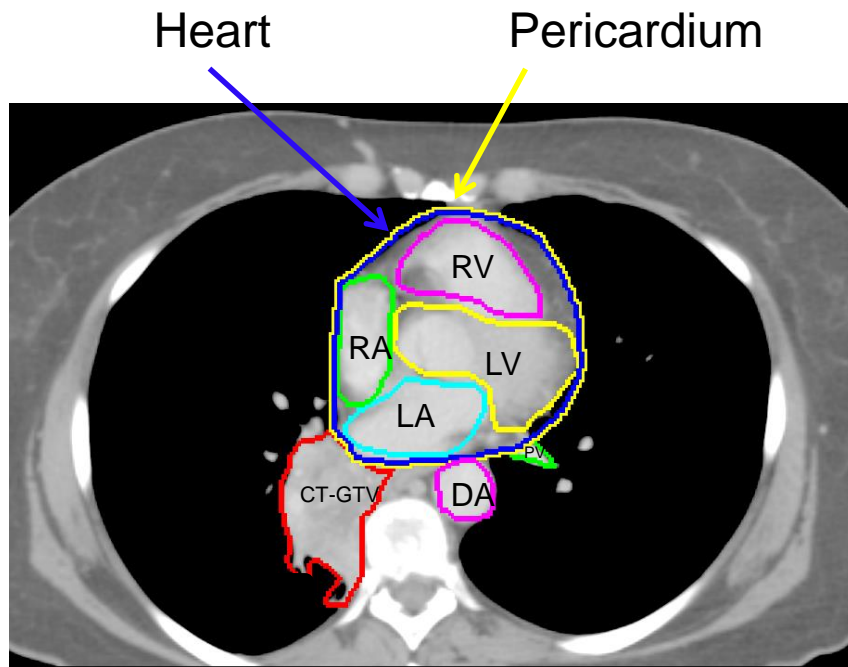
Pericardium starts at 1-2 slices (5-6 mm) above the superior end of the aortic arch

# Pericardium Continues...



SVC=Superior vena cava  
PA=Pulmonary artery  
AA=Ascending aorta  
DA=Descending aorta

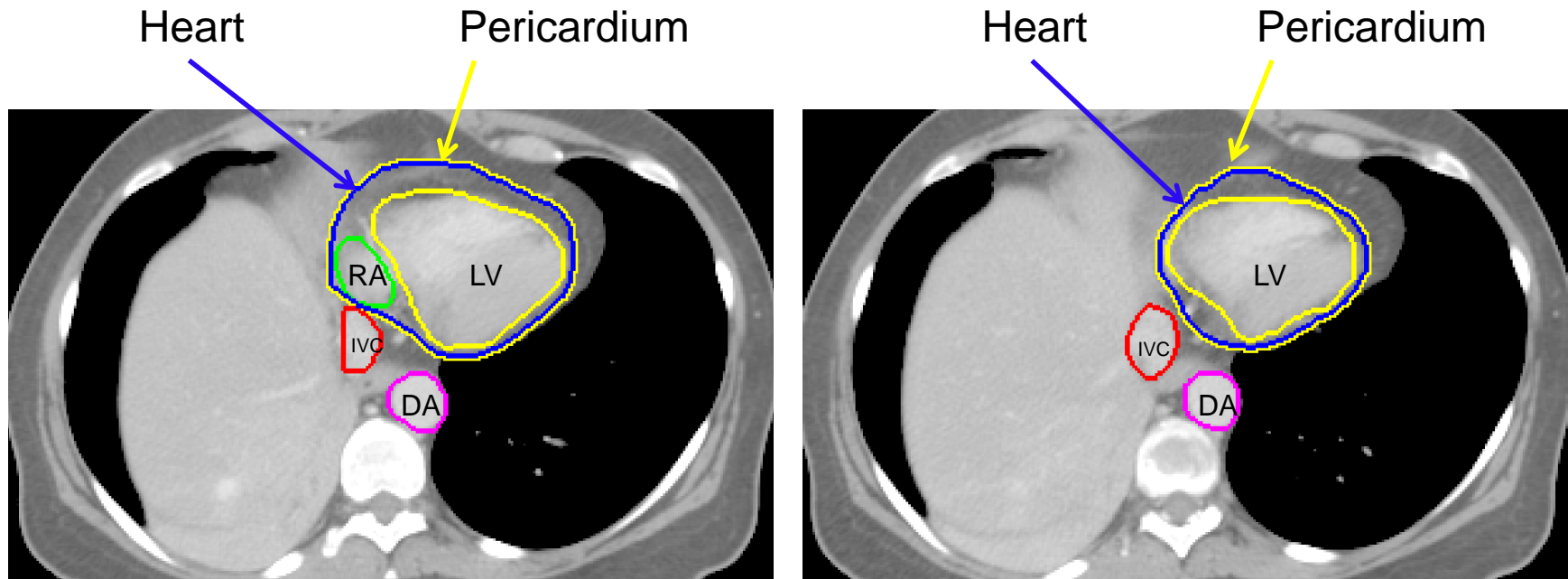
# Heart and pericardium continue...



RA=right atrium, RV=right ventricle  
LV=left ventricle, LA=Left atrium  
DA=descending aorta



# Heart and pericardium continue...



IVC=inferior vena cava  
RA=right ventricle  
LV=left ventricle  
DA=descending aorta

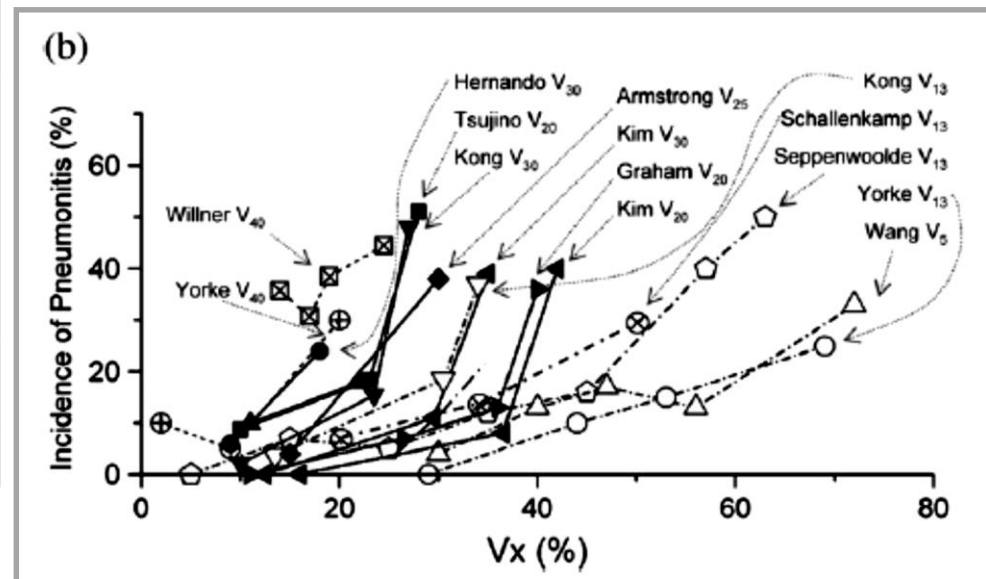
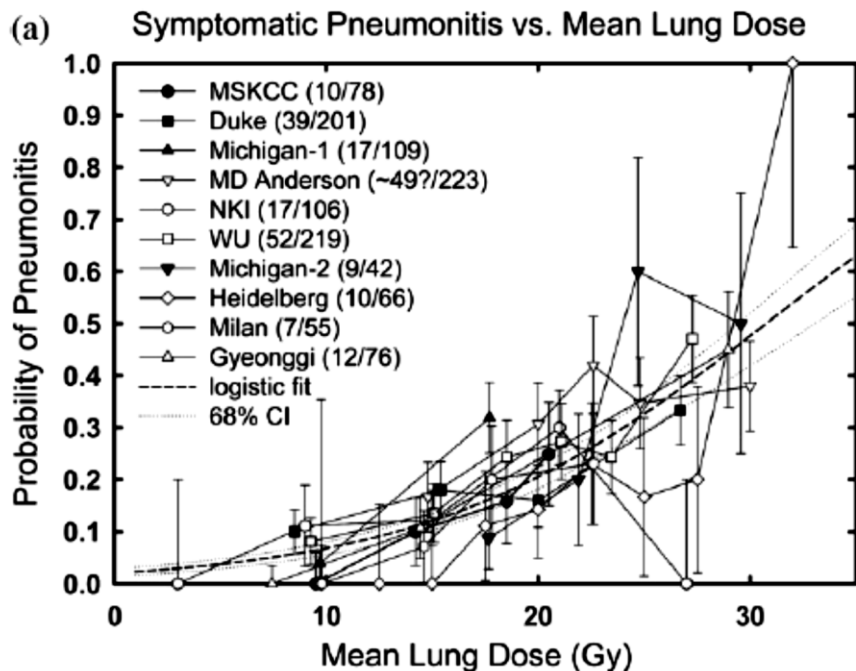
# Radiation Dose-Volume effect in the lung

QUANTEC review >70 articles: mean lung doses & Vx parameters

→ no clear threshold dose

→ 20% risk of pneumonitis for a **mean lung dose** of 20 Gy

→ **V20** most useful parameter



# OAR: lung

QUANTEC:

Organ	Endpoint	Rate (%)	Dose-volume parameter	$D_{\max}$ (Gy)	$D_{\text{mean}}$ (Gy)
Lung	Symptomatic pneumonitis	5	V5 <42%, V20 <22%		7
		10	V20 <31%		13
		20	V20 <40%		20
		30			24
		40			27

# NCCN guidelines

Spinal cord Dmax = 45Gy

Heart 1/3 < 40Gy, ALARA left ventricle

Lungs D max normal lung (2 cm outside PTV) < 40 Gy

•V 20 Gy < 25%; V5 Gy < 50 %

- Liver V60% < 30Gy; 25 Gy mean
- Kidney 2/3 ≤ 20Gy

National Comprehensive Cancer Network guidelines, Clinical practice guidelines in oncology, Esophageal cancer, 02.2016.

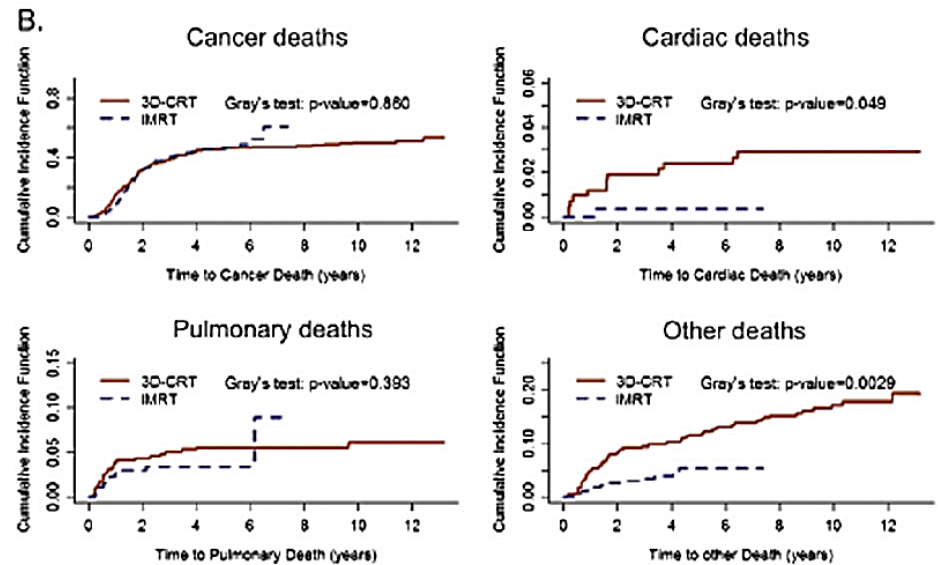
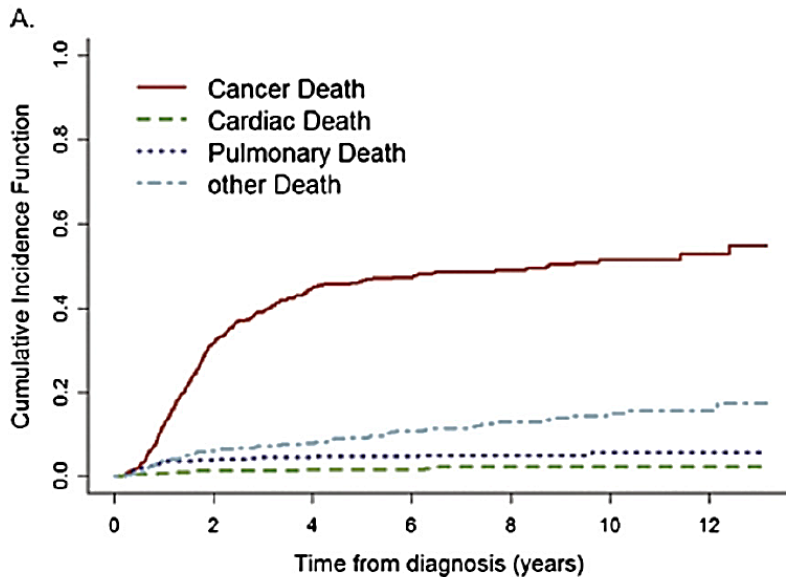
# Last, but ... Esophagus

Organ	Endpoint	Rate (%)	Dose-volume parameter	$D_{\max}$ (Gy)	$D_{\text{mean}}$ (Gy)
Esophagus	Grade $\geq 2$ esophagitis	<30	V35 <50% V50 <40% V70 <20%	<74 Point	
	Grade $\geq 3$ esophagitis	$\leq 10$	V60 <30%		<34

- Dose limit = 50 Gy  
*IJROBP 2003*
- Mean dose > 34 Gy  
*Sing*
- Length of esophagus receiving more than 55 Gy  
*Maguire IJROBP 1999*
- Acute esophageal toxicity is the greatest predictor of late toxicity

# IMRT : Evolution or Revolution?

Propensity score-based comparison of long-term outcomes with 3-dimensional conformal radiotherapy vs intensity-modulated radiotherapy for esophageal cancer.





# Approche palliative (M+) :

- RT palliative pour désobstruction de l'œsophage.  
ex: 10 \* 3Gy
- Curiethérapie
- Pose de prothèses "stent".
- Photothérapie, laserthérapie.
- Chirurgie palliative
- Chimiothérapie palliative

